

# The solar dynamo: changing views

Fan & Fang (2014)

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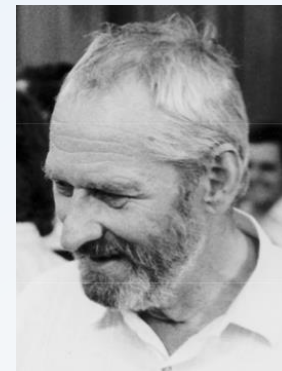
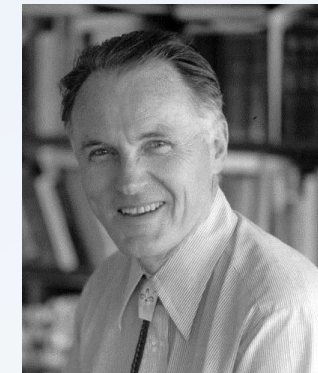
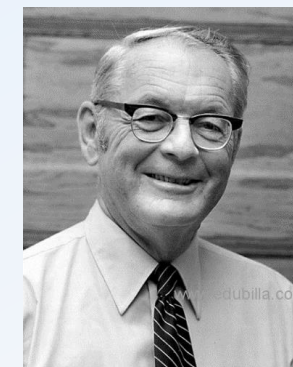
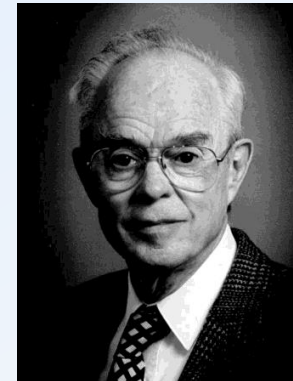
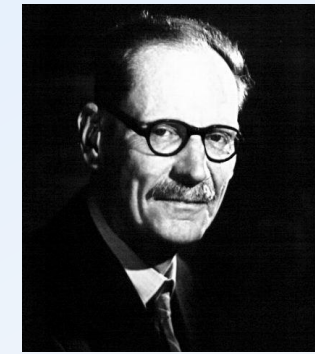
- A brief history
- Challenges to the „current paradigm“
- Babcock-Leighton redux
- Cycle variability

## ➤ **A brief history**

## ➤ Challenges to the „current paradigm“

## ➤ Babcock-Leighton redux

## ➤ Cycle variability



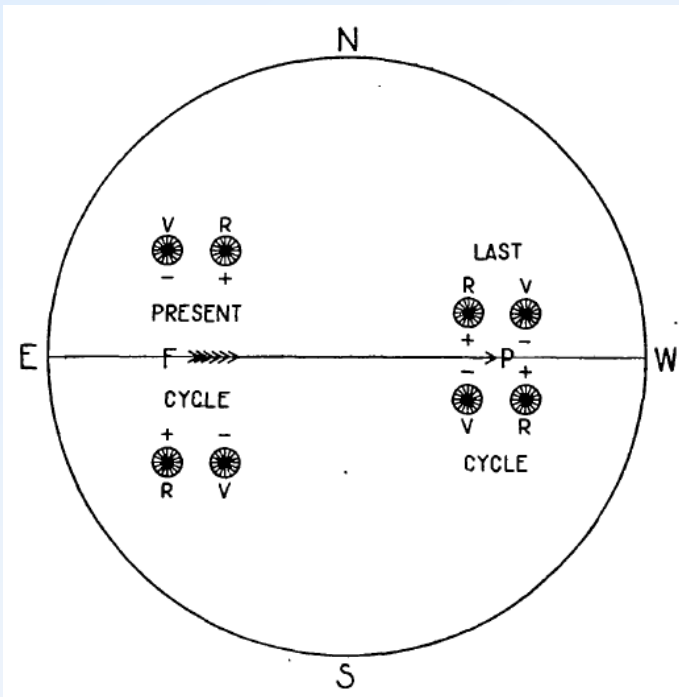
## THE MAGNETIC POLARITY OF SUN-SPOTS<sup>†</sup>

BY GEORGE E. HALE, FERDINAND ELLERMAN,  
S. B. NICHOLSON, AND A. H. JOY

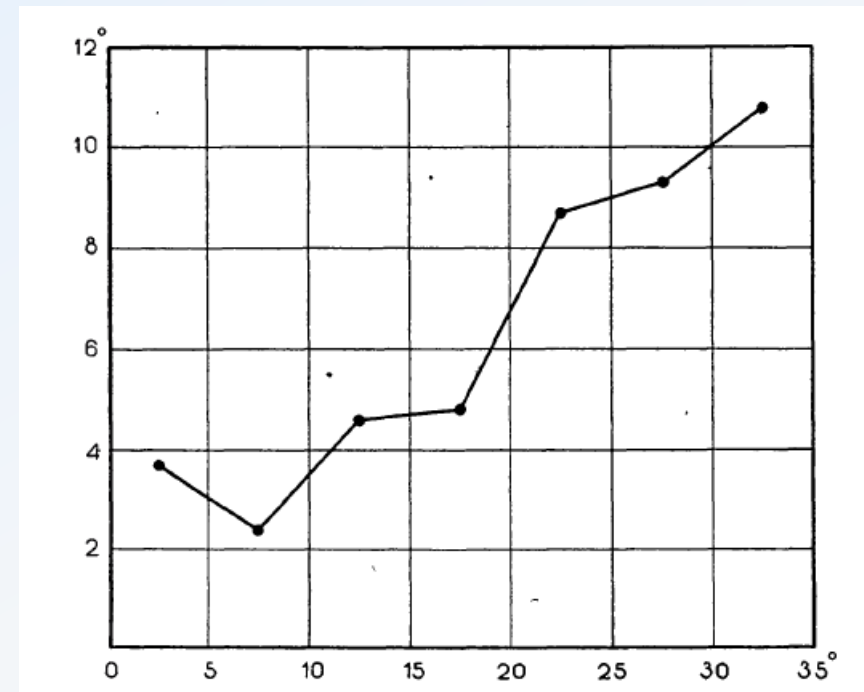
ApJ 49, 153  
(1919)



George Ellery Hale



The 22-year magnetic cycle



Tilt angle of sunspot groups as  $f(\lambda)$



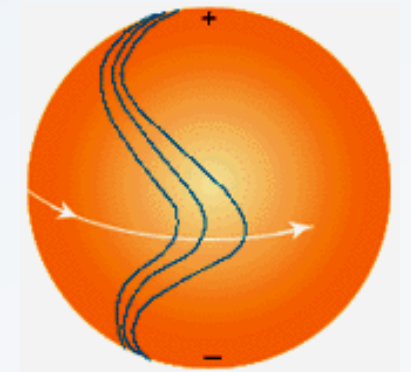


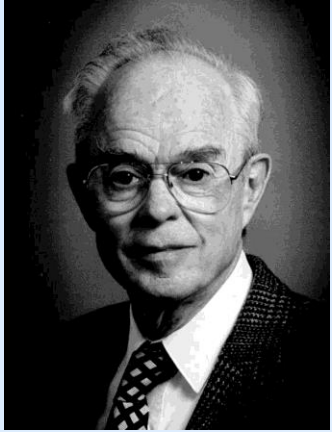
J. Larmor



T. G. Cowling

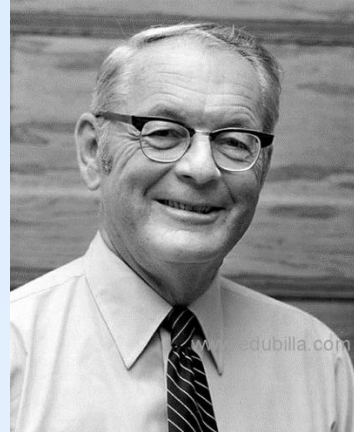
- Larmor (1919):  
*„How could a rotating body such as the sun become a magnet?“*
- Cowling (1933):  
*„The theory proposed by Sir Joseph Larmor (...) is examined and shown to be faulty“*
- Cowling (1951):  
Generation of toroidal from poloidal field  
by differential rotation („ $\Omega$ -effect”)  
But toroidal  $\rightarrow$  poloidal ???
- Babcock & Cowling (1953)  
*„ ... one does not expect an irregular  
cause to build up to give a regular effect.“*





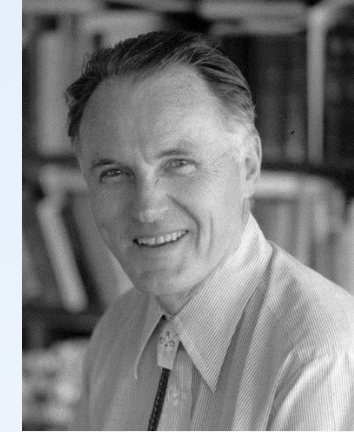
**Coriolis  
force**

**Eugene N. Parker**  
(1955)



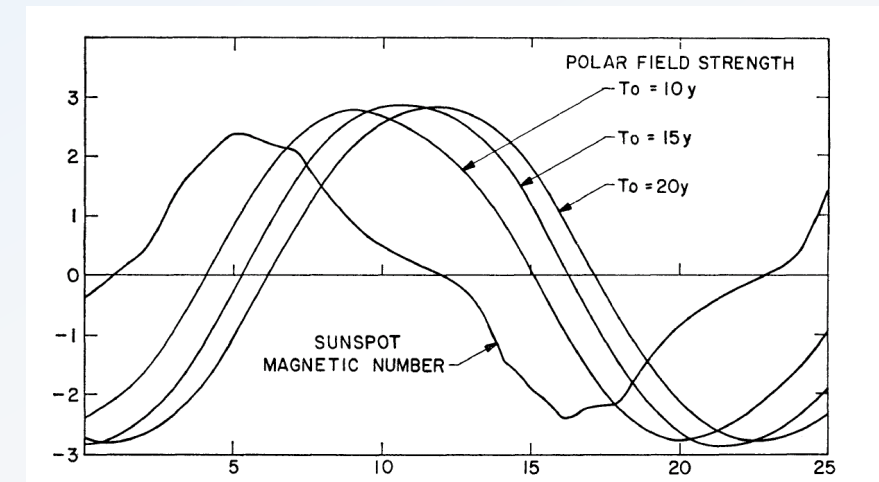
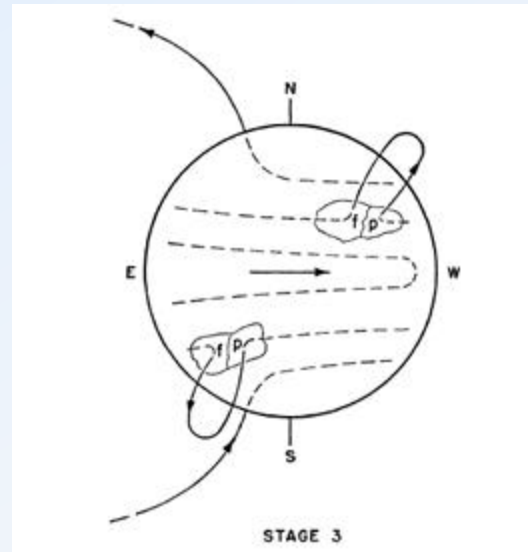
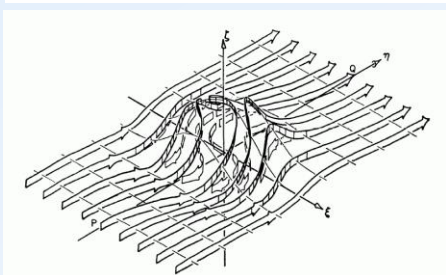
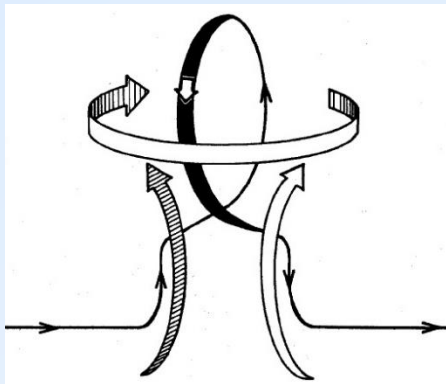
**Sunspot  
group tilt**

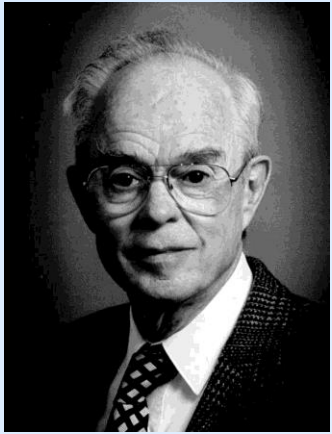
**Horace W. Babcock**  
(1961)



**Random  
walk**

**Robert B. Leighton**  
(1964, 1969)





**Coriolis  
force**

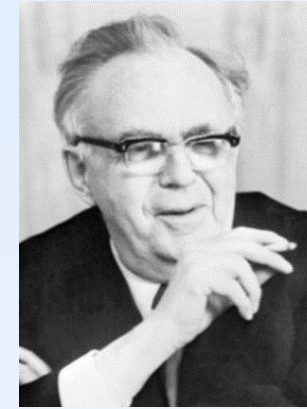
**E. N. Parker**  
(1955)



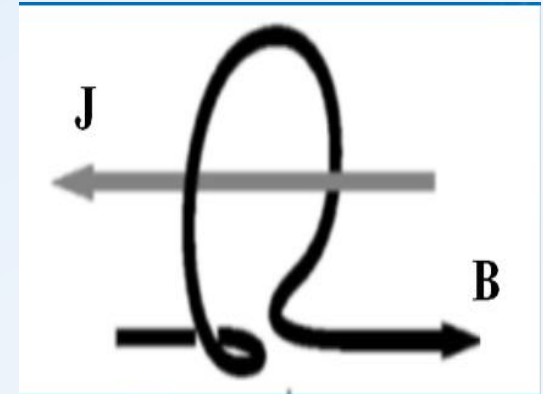
**F. Krause**



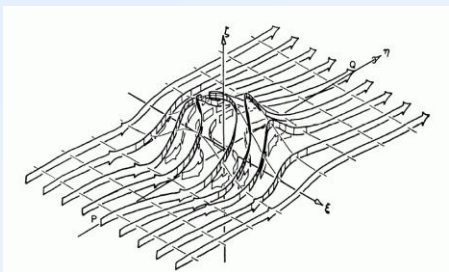
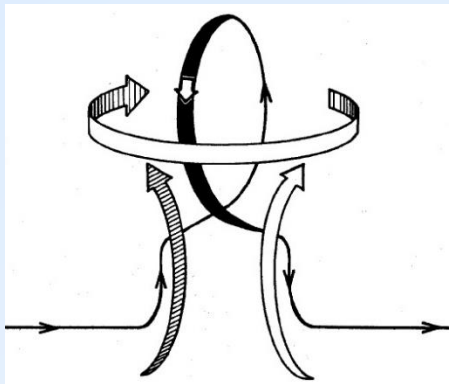
**K.-H. Rädler**



**M. Steenbeck**



**The turbulent dynamo**



$$\frac{\partial \langle \mathbf{B} \rangle}{\partial t} = \nabla \times (\langle \mathbf{u} \rangle \times \langle \mathbf{B} \rangle + \alpha \langle \mathbf{B} \rangle) - \nabla \times [(\eta + \beta) \nabla \times \langle \mathbf{B} \rangle]$$

## 1970s - the glorious decade:

- $\alpha$ -effect dynamo „industry“:  
models for the Sun, stars, planets, galaxies, accretion disks,...
- nonlinear effects: „cut-off- $\alpha$ “, Malkus-Proctor effect, time delays,...



## Magnetic buoyancy

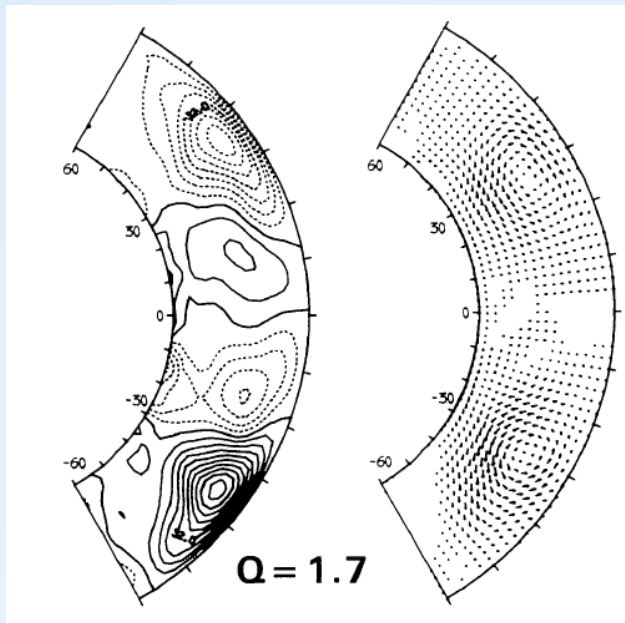
(Parker, 1975):

→ Magnetic flux lost from the convection zone within months?

## First 3D-MHD simulations

(Gilman & Miller, 1981; Gilman, 1983):

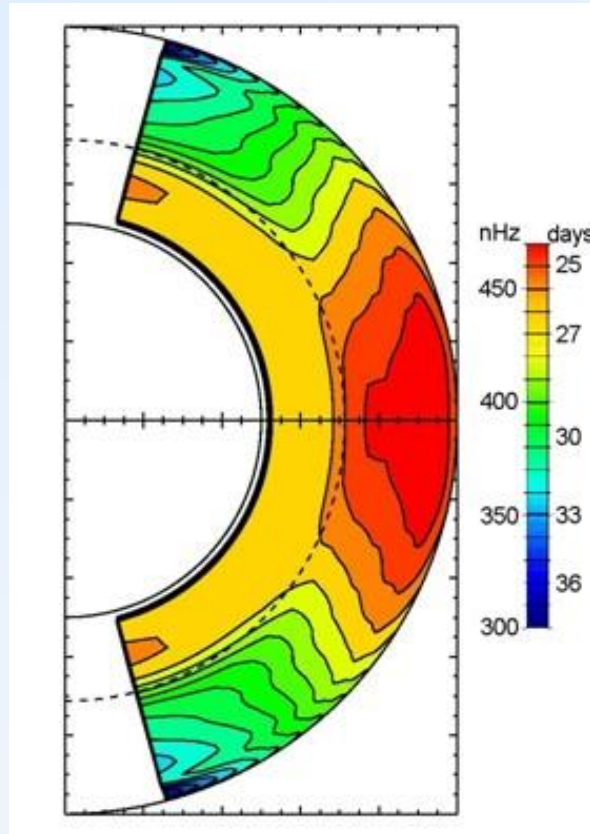
→ No solar-like cycles?



(Gilman, 1993)

## Helioseismology

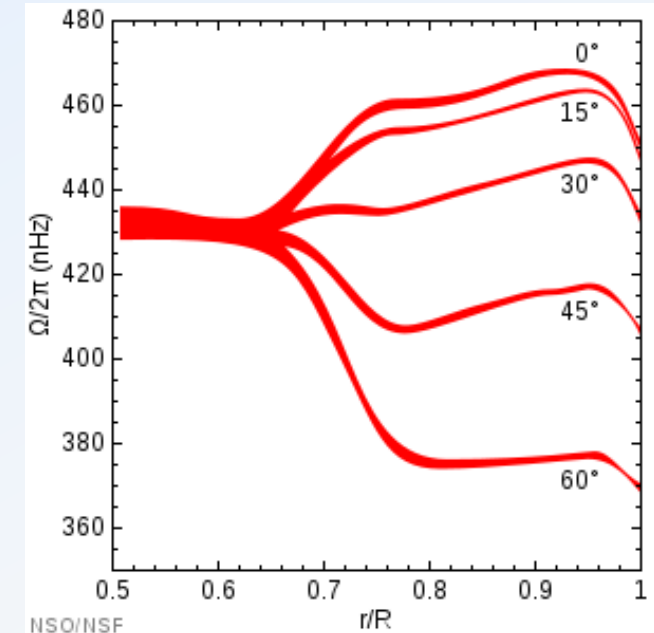
Prediction of mean-field dynamo models:  $d\Omega/dr < 0 \rightarrow$  refuted



Contours of equal rotation period  
(Howe et al., 2005)

## Tachocline

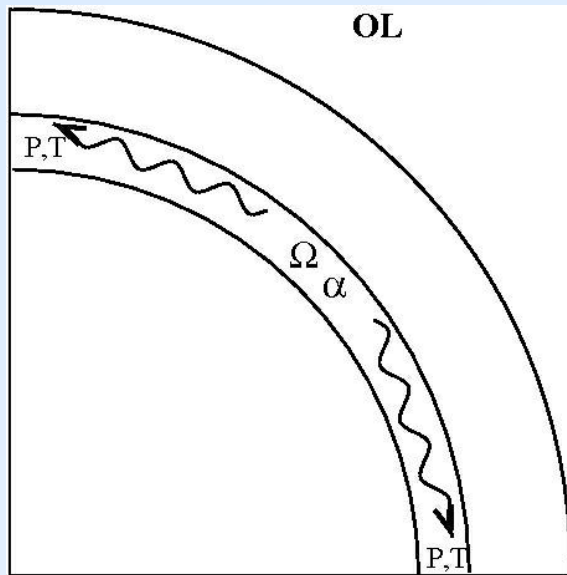
(Brown et al., 1989)



**Convective overshoot layer**  
stably stratified at the  
bottom of the convection zone  
(Galloway & Weiss, 1981)

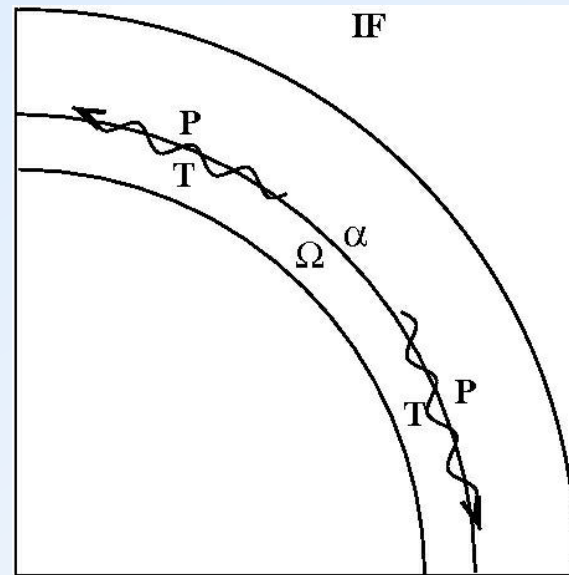


## Overshoot-layer dynamo



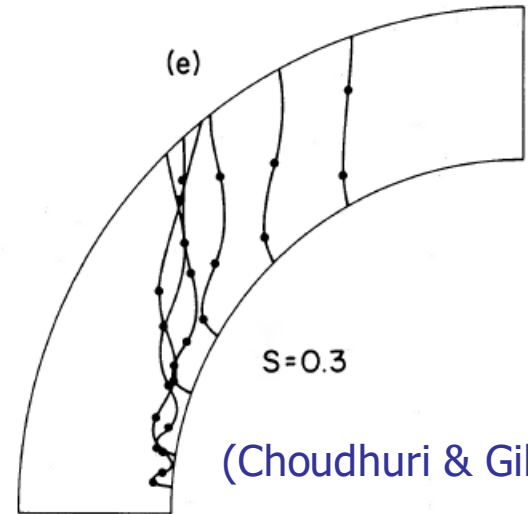
(Galloway & Weiss, 1983)

## Interface dynamo

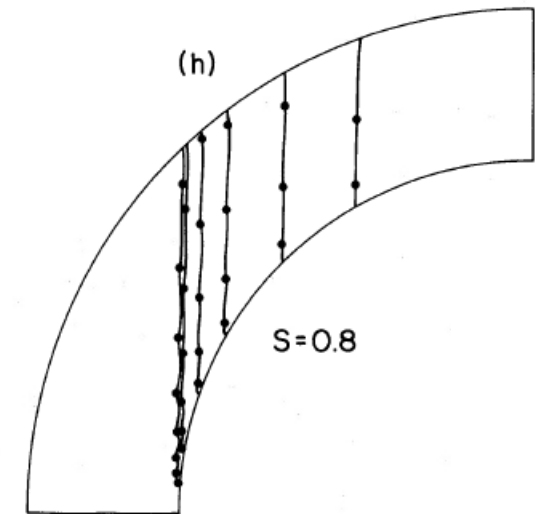


(Parker, 1993)

Buoyantly rising flux tubes

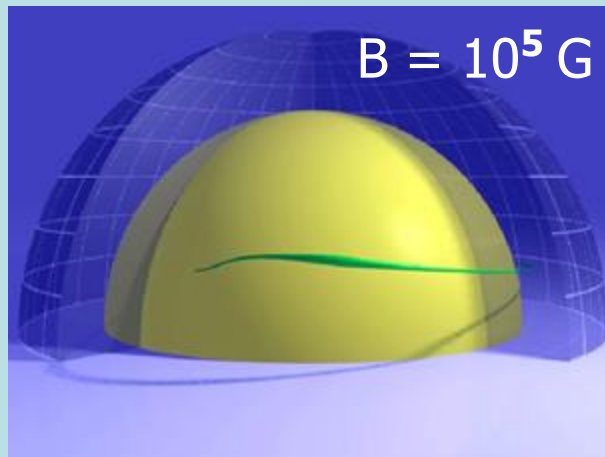
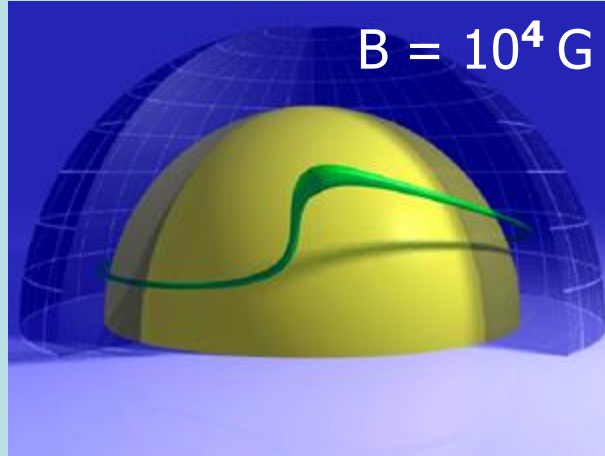


(Choudhuri & Gilman, 1987)



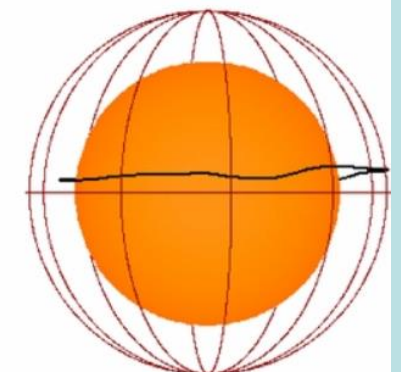
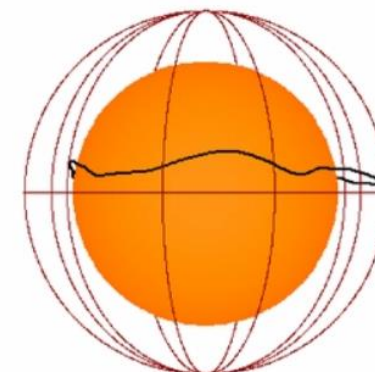
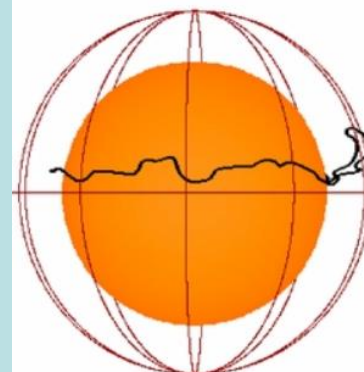
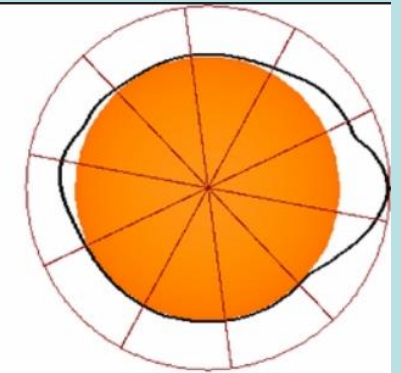
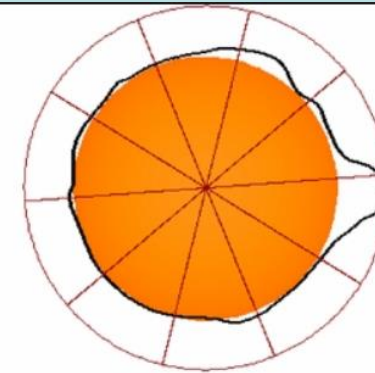
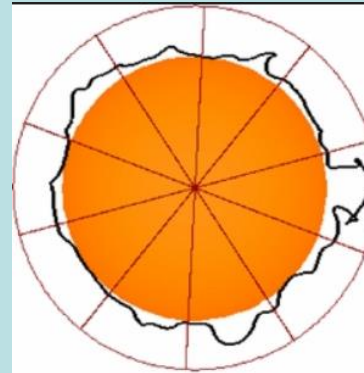
ADIABATIC FLUX RINGS  
WITH ADIABATIC GRADIENT

## Buoyantly rising thin flux tubes...



(Caligari et al., 1995)

## ... in simulated 3D convection

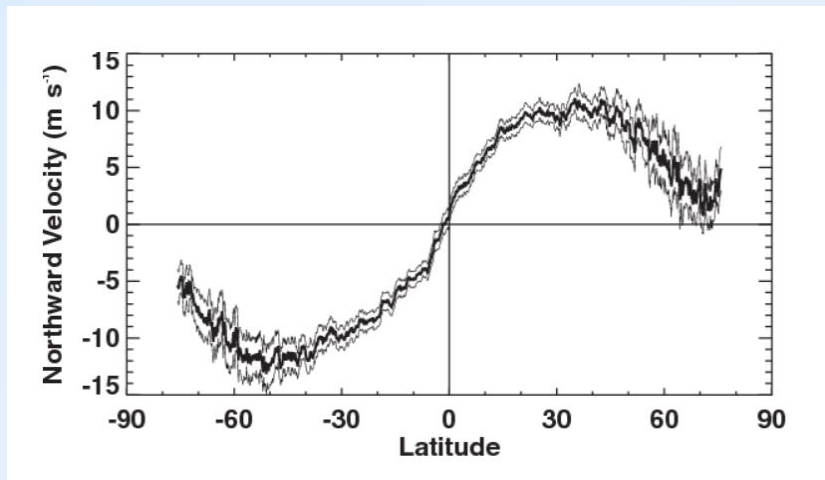


(Weber et al., 2011)

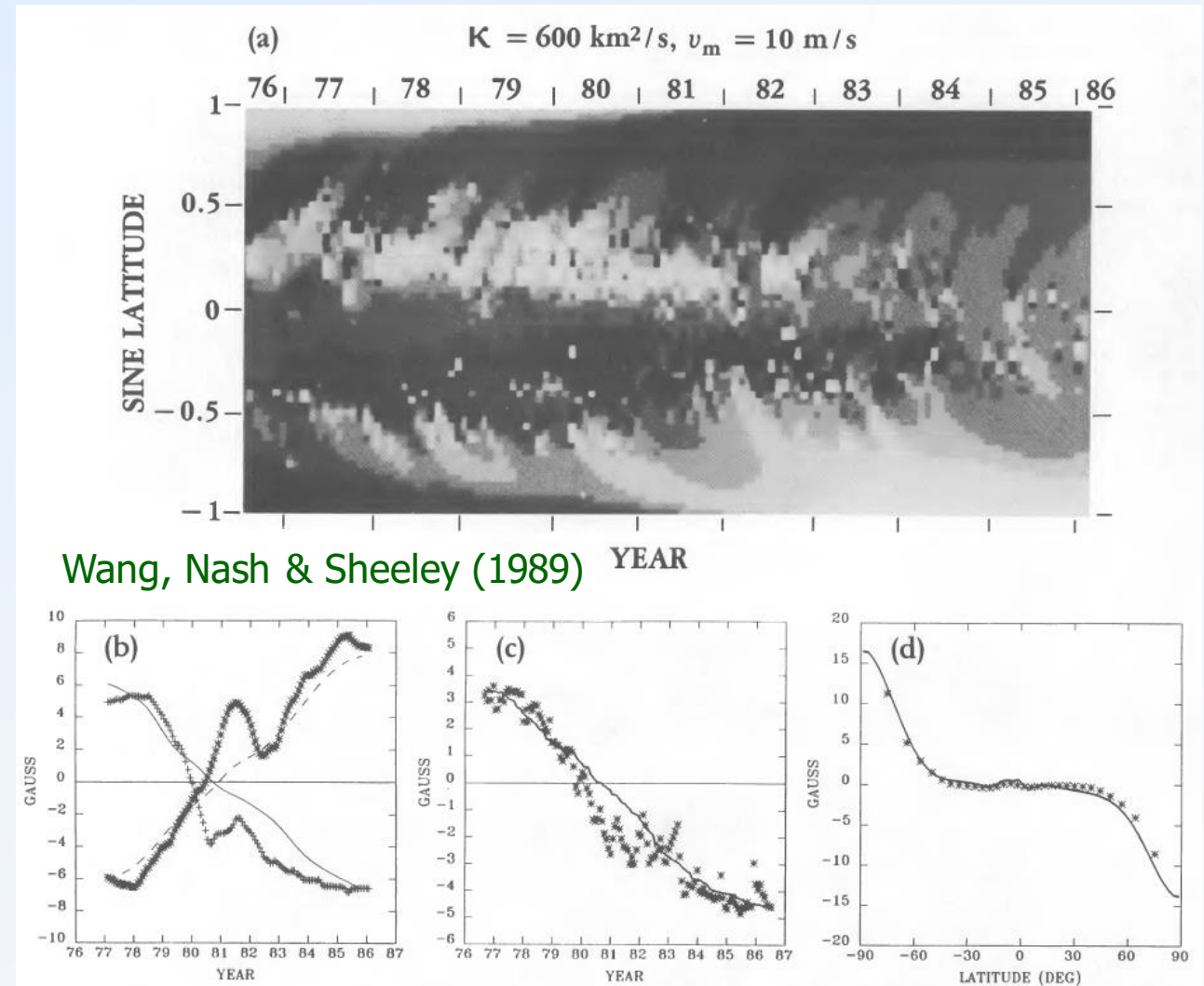
## Surface flux transport simulations

### Poleward meridional surface flow

Duvall (1979, Howard & LaBonte (1981),  
Andersen (1987) ...



Hathaway & Rightmire (2010)

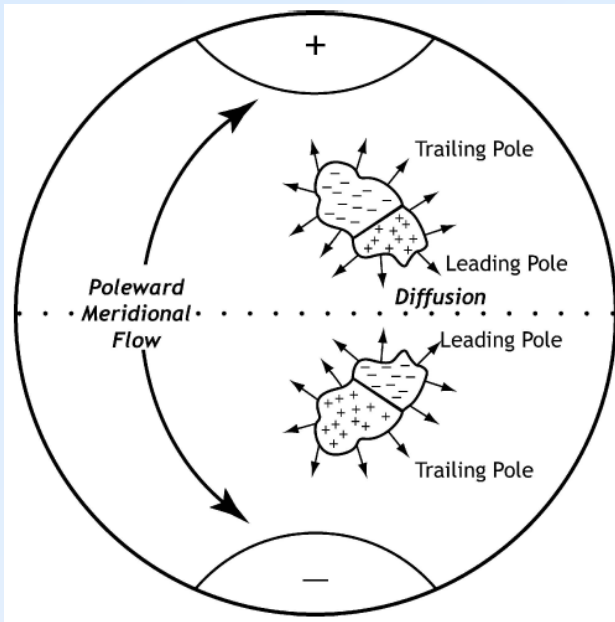


Wang, Nash & Sheeley (1989)

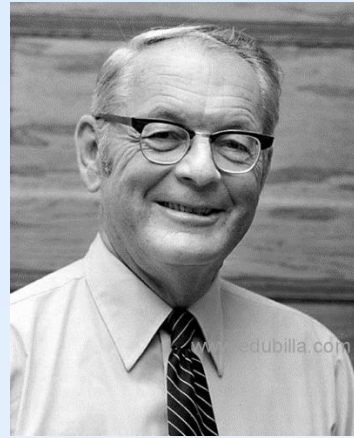
Polar field

Axial dipole

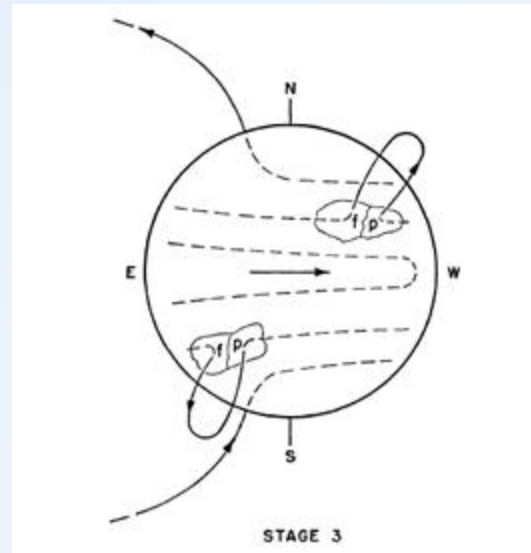
Surface field as  $f(\lambda)$



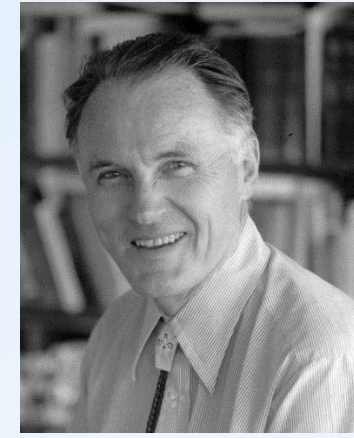
Wang (2005)



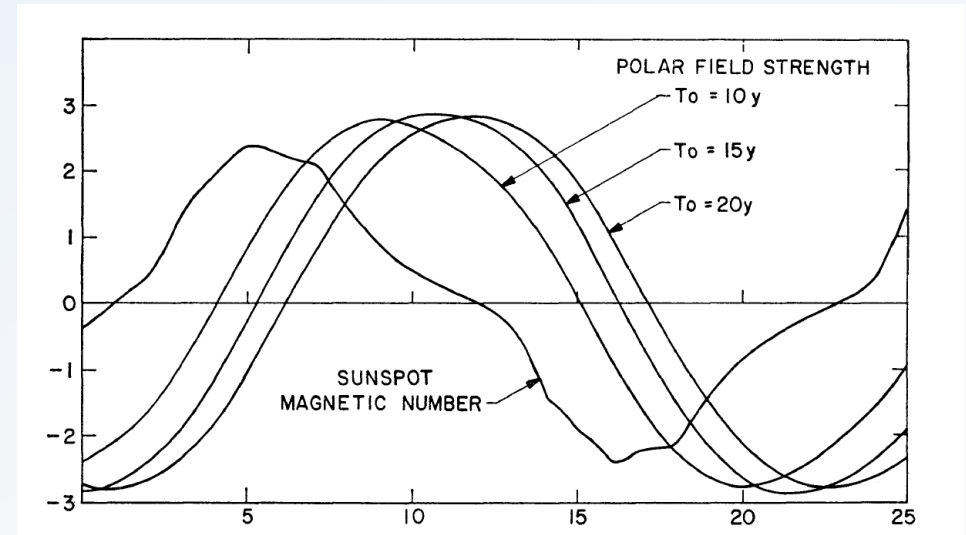
**Horace W. Babcock**



1961



**Robert B. Leighton**

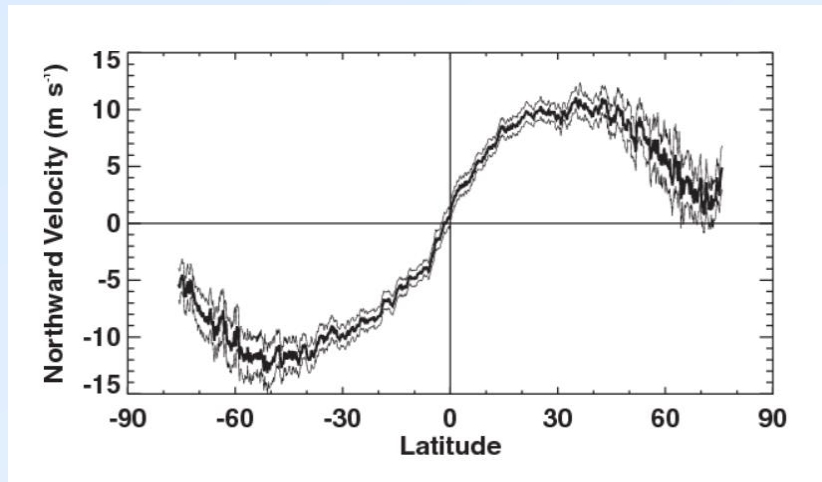


1964



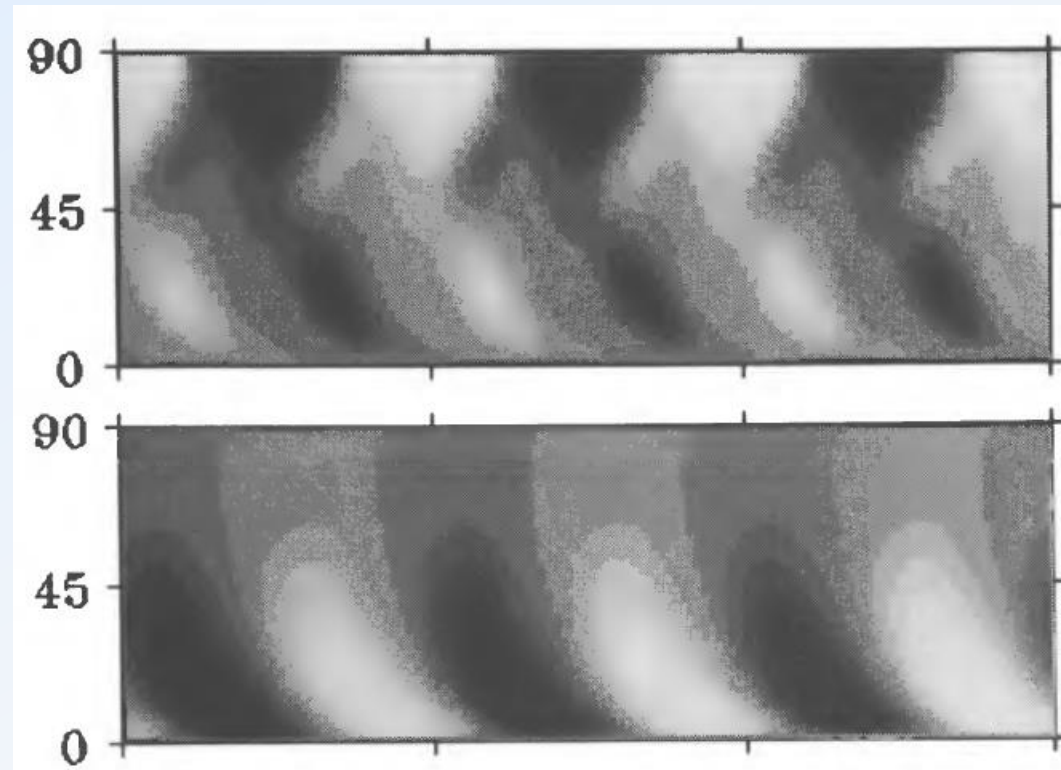
## A NEW SOLAR CYCLE MODEL INCLUDING MERIDIONAL CIRCULATION

Y.-M. WANG, N. R. SHEELEY, JR., AND A. G. NASH<sup>1</sup> *ApJ* **383**, 431 (1991)



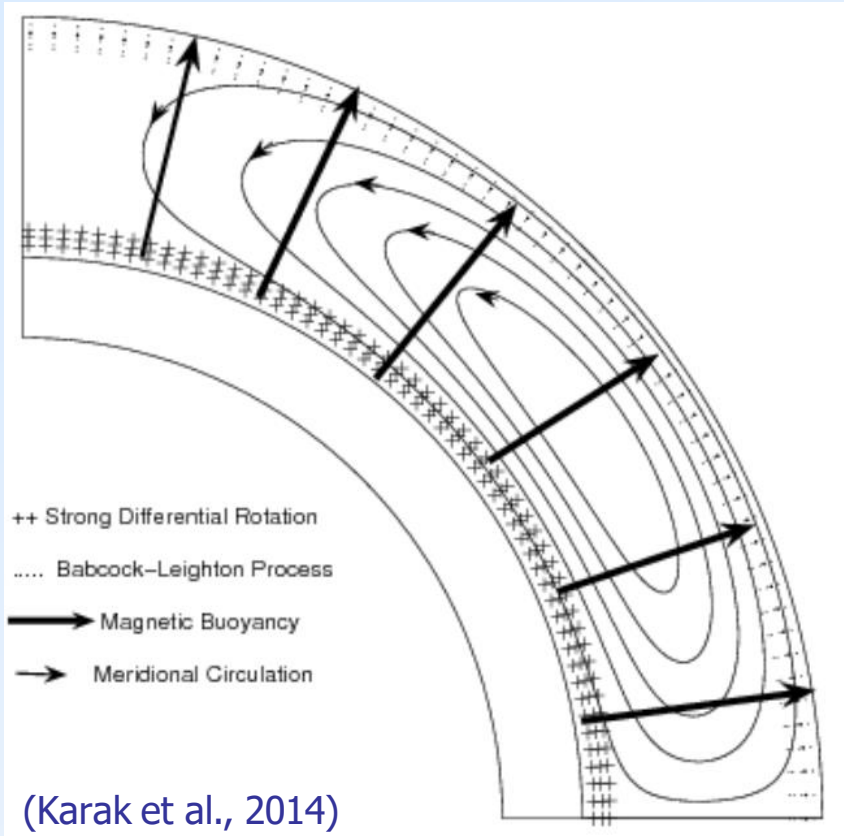
Hathaway & Rightmire (2010)

**Does the subsurface return flow lead to the equatorward propagation of the activity belts?**

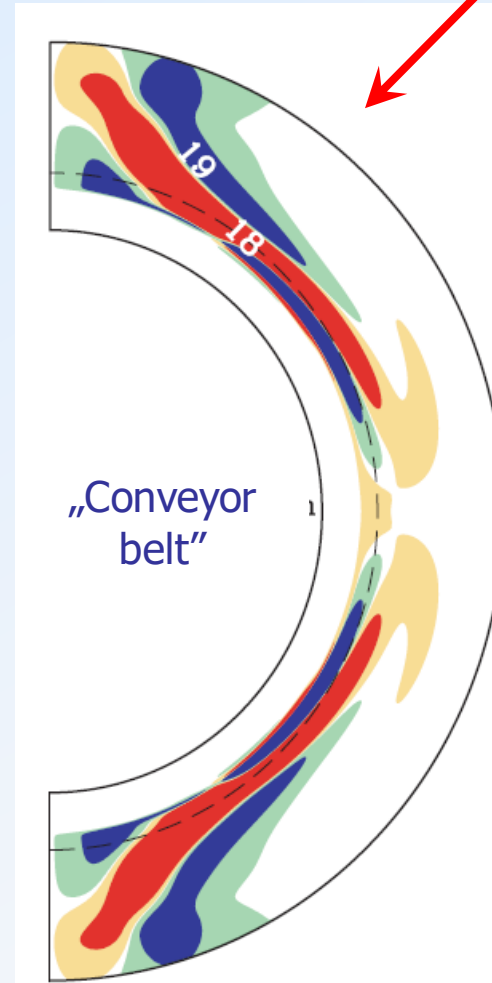


Time-latitude diagrams

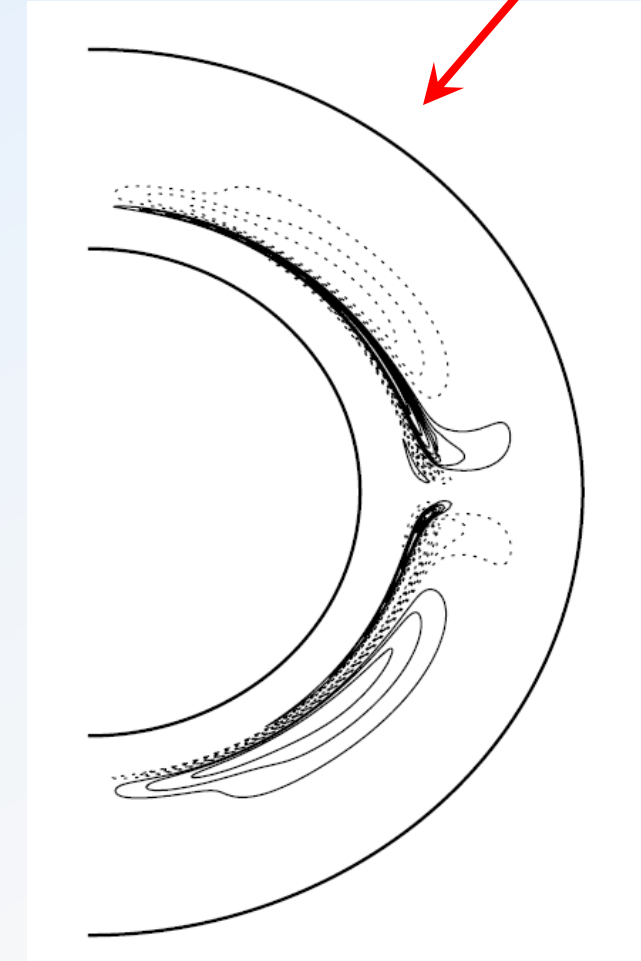
## The current paradigm...



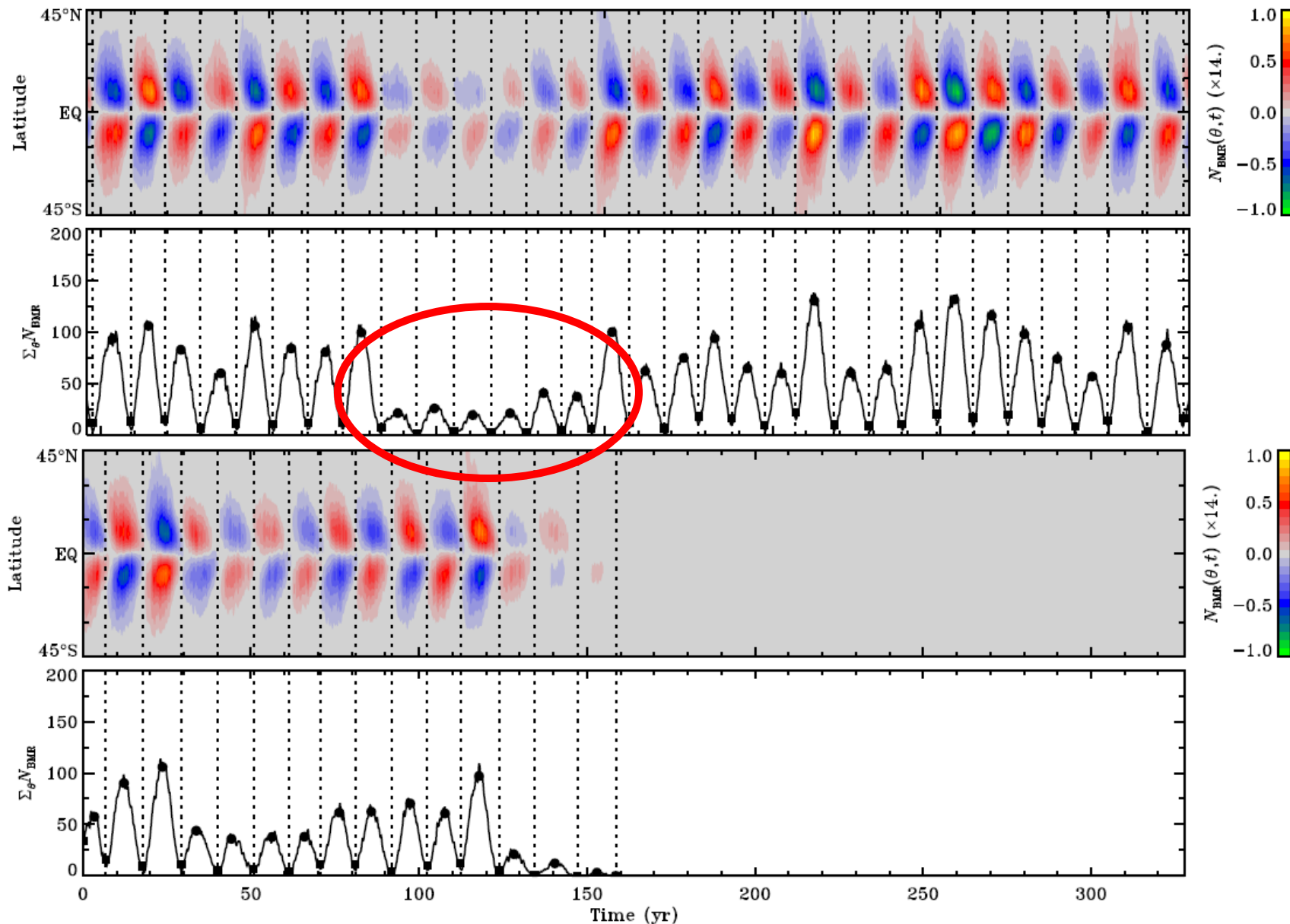
## „Dynamo wars”: advection-dominated vs. diffusion-dominated



(Dikpati & Gilman, 2006)



(Jiang et al., 2007)



**Lemerle et al. (2015, 2017)**  
**„2D  $\times$  2D model”**

**2D SFT ( $\theta, \phi$ )**

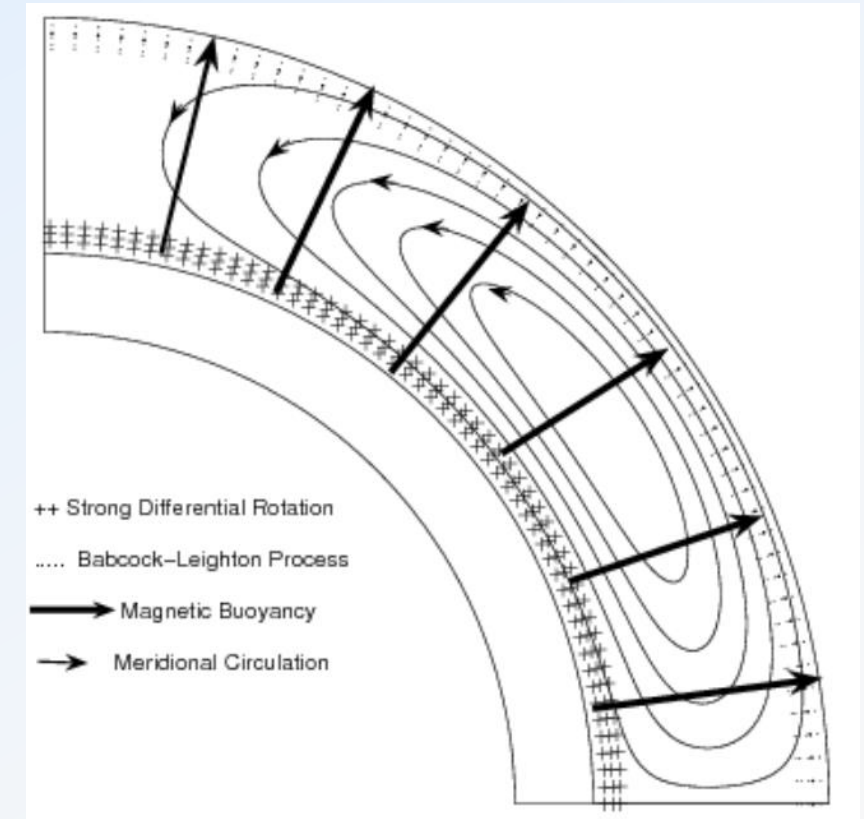
surface  
boundary  
condition

flux emergence,  
source term

**2D FTD ( $r, \theta$ )**

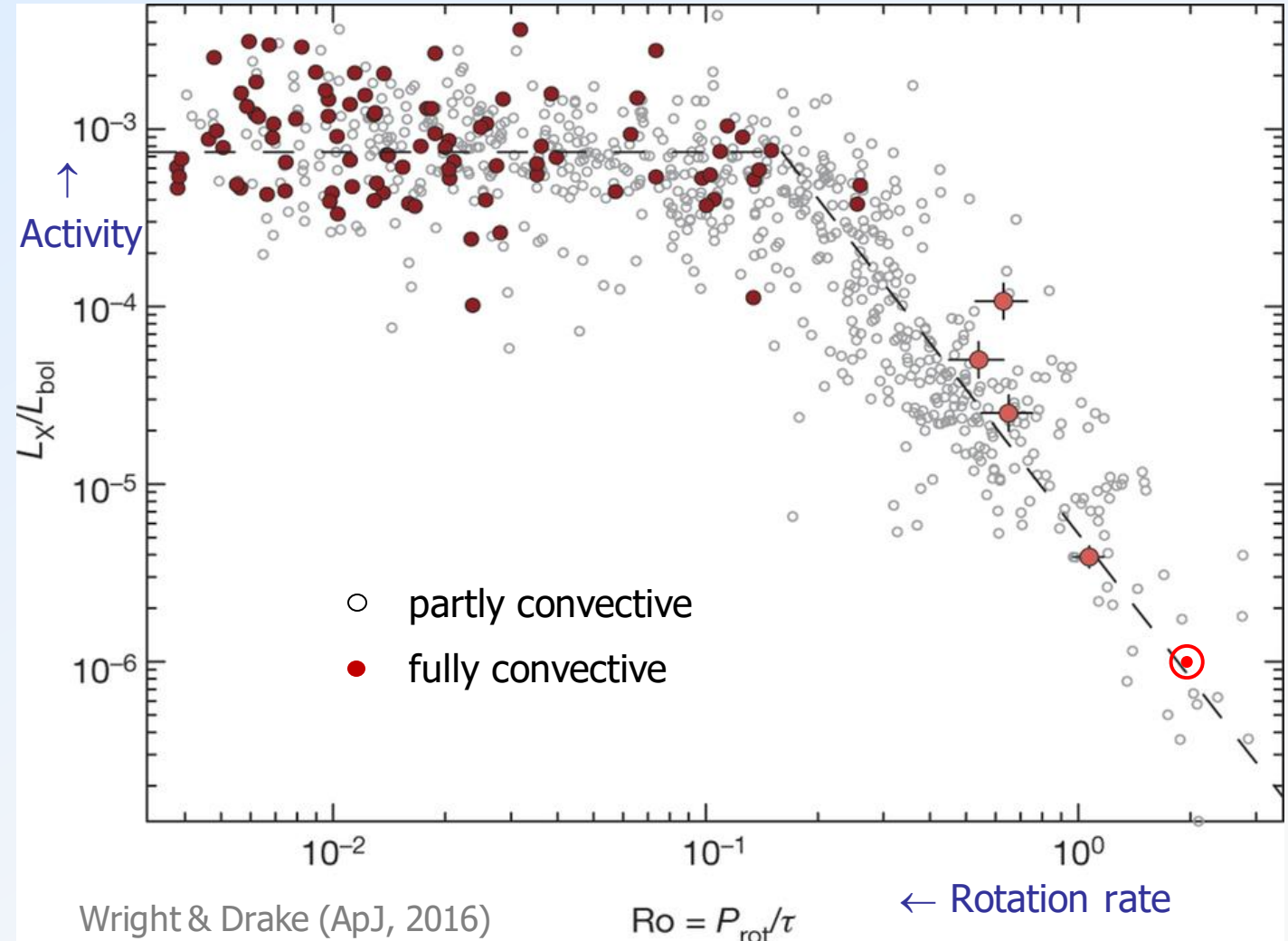
Model parameters fixed  
by observational constraints  
via a genetic algorithm.

- A brief history
- **Challenges to the „current paradigm“**
- Babcock-Leighton redux
- Cycle variability



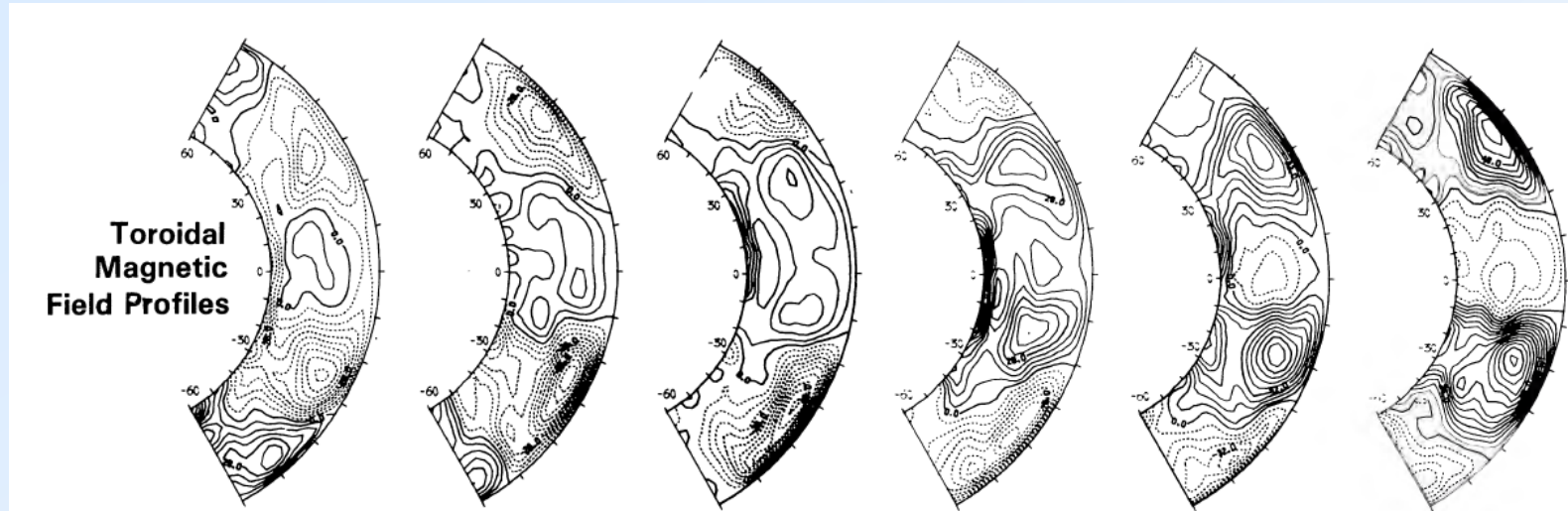


- Absence of a significant cycle variability of tachocline rotation  
( $E_{\text{kin}} \approx E_{\text{mag}}$  for  $B \sim 10^5$  G; Rempel, 2006)
- Maintenance of a magnetic tachocline?  
(Spruit, 2010)
- Toroidal flux generated by latitudinal differential rotation from flux of the polar field sufficient to supply the emerged flux  
(Cameron & S., 2015)
- Partly and fully convective stars follow the same activity-rotation law  
(Wright & Drake, 2016)
- Activity cycles shown by ultracool, fully convective dwarfs ( $\geq M7$ )  
(Route, 2016)

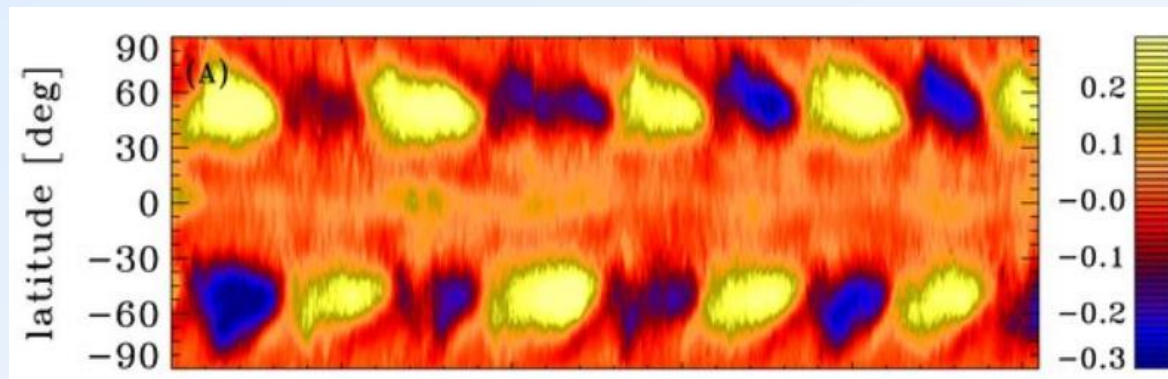
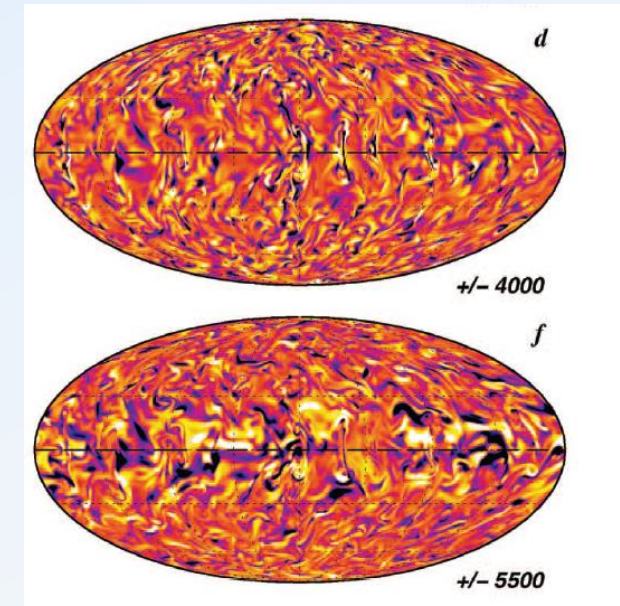


→ Review by Brun & Browning (Liv. Rev. Sol. Phys., 2017)

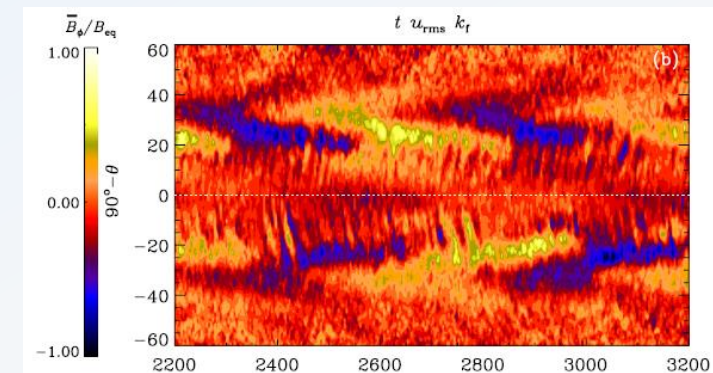
Gilman (1983)



Brun et al. (2004)



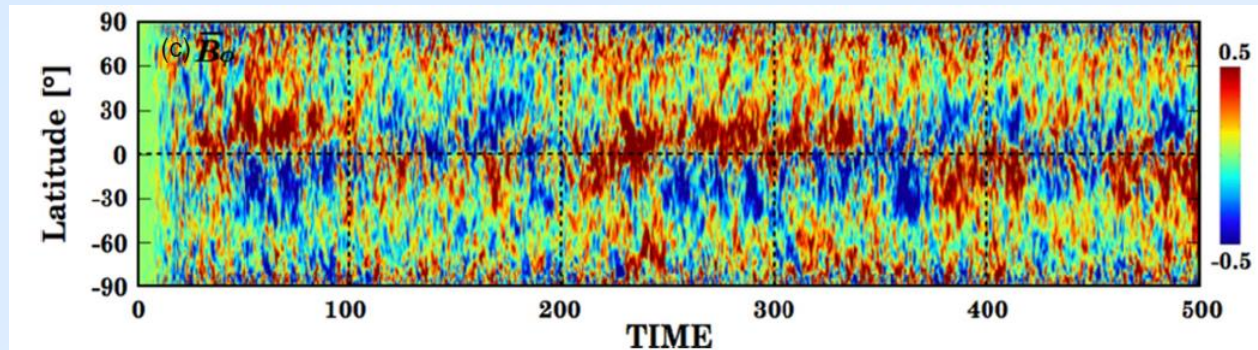
Ghizaru et al. (2011)



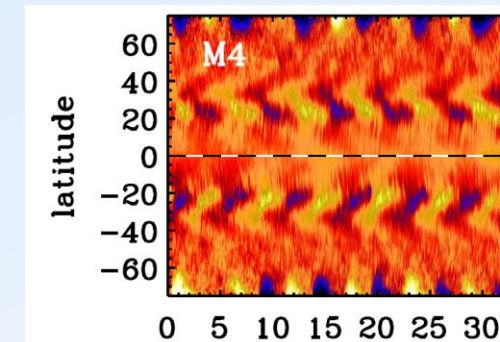
Käpylä et al. (2012)



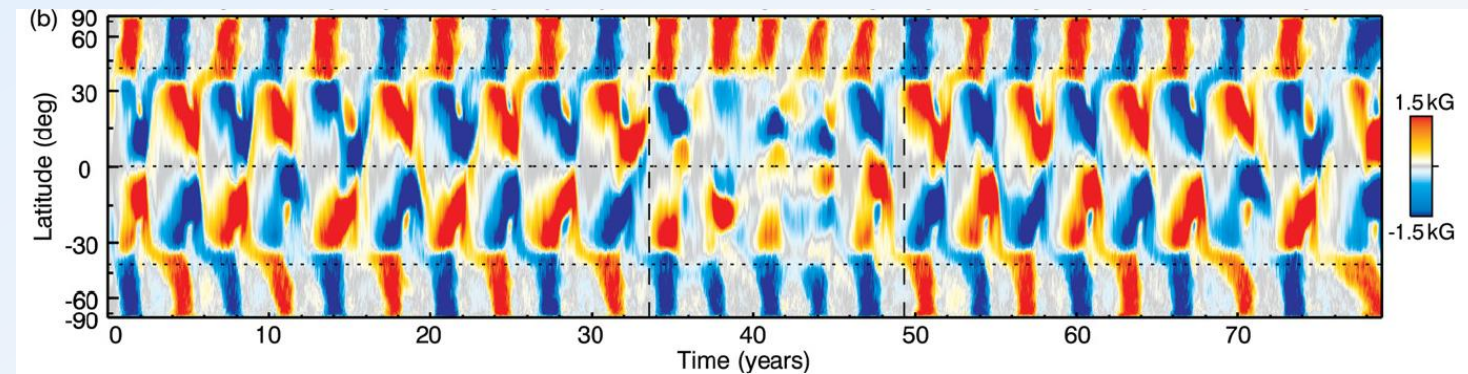
Masada et al. (2013)



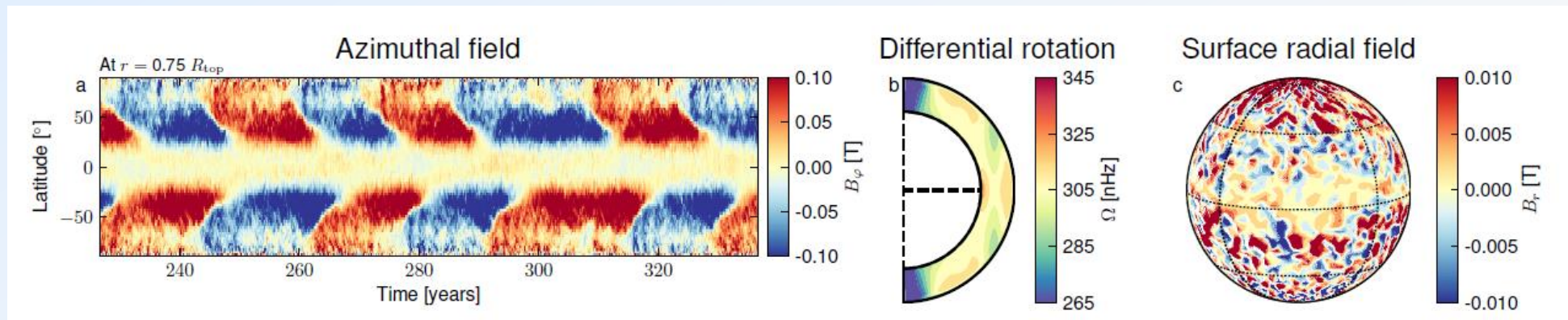
Warnecke (2018)



Augustson et al. (2018)

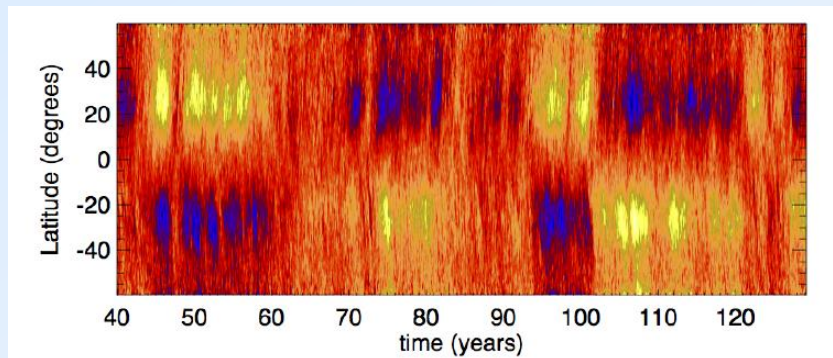


Strugarek et al. (2018)

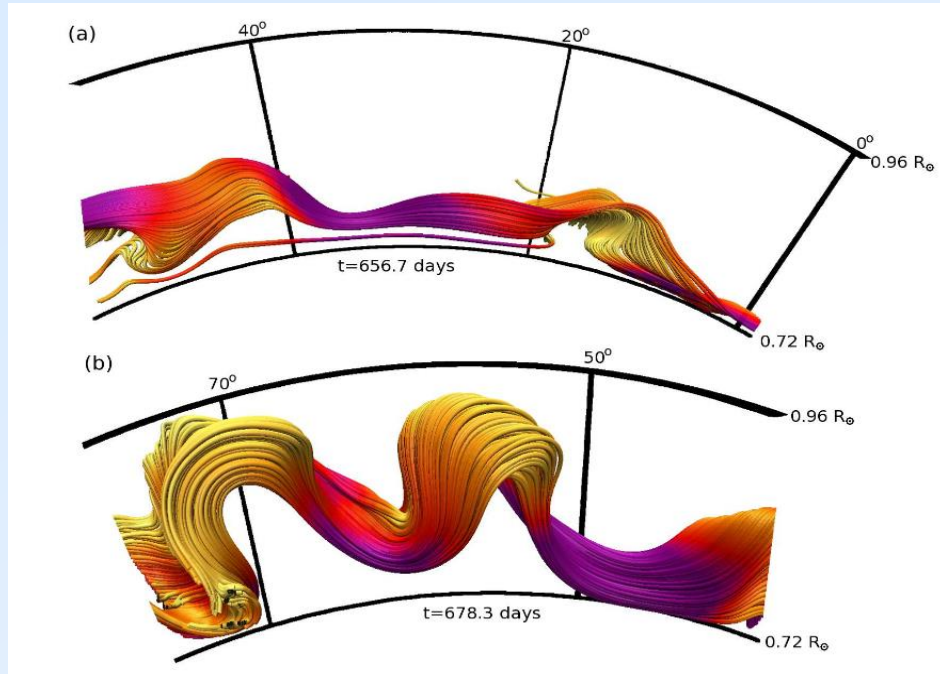


Strugarek et al. (2018)

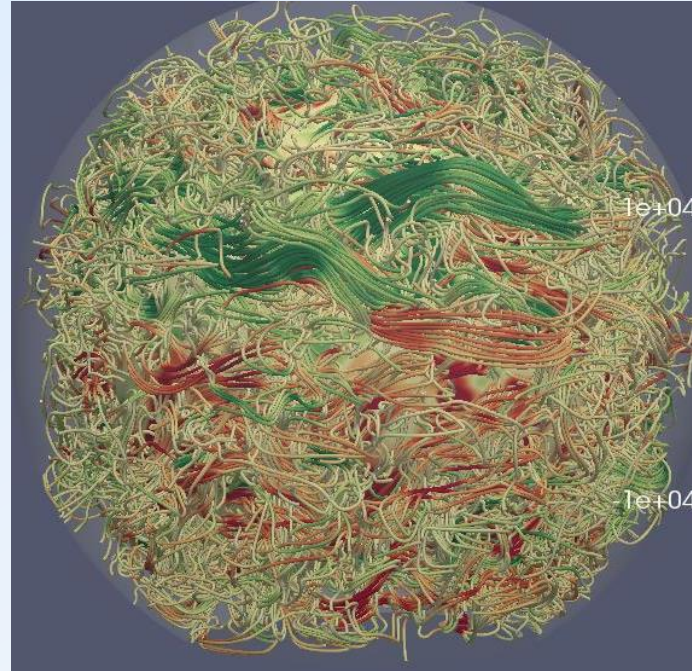
Fan &  
Fang  
(2016)



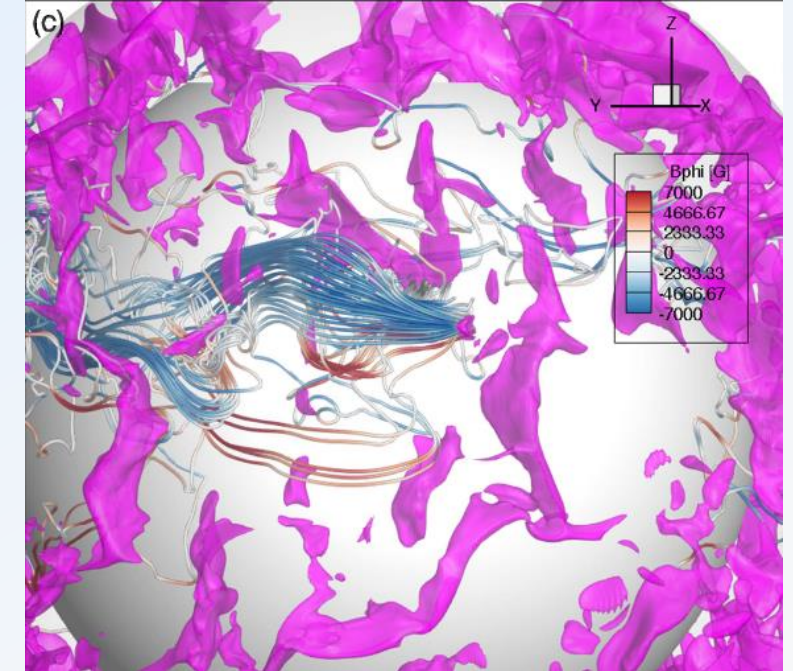




Nelson & Miesch (2014)

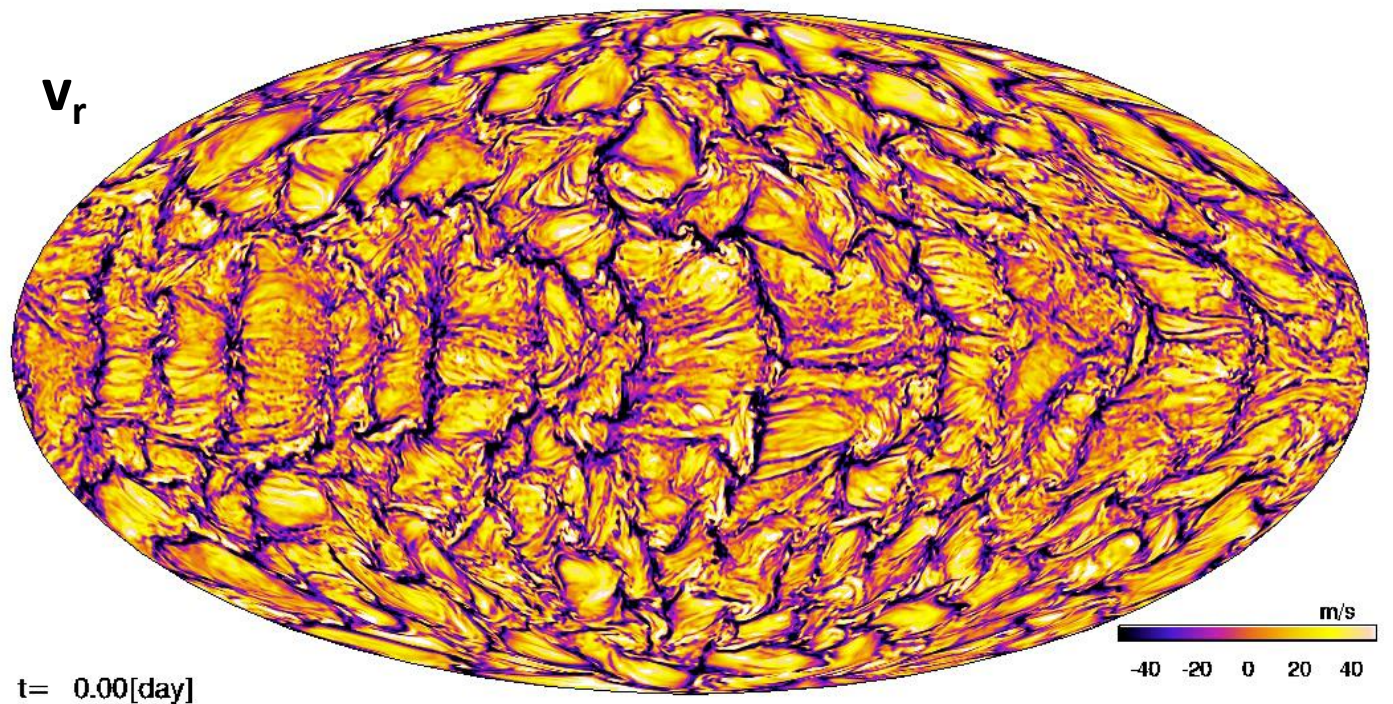


Fang & Fan (2014)

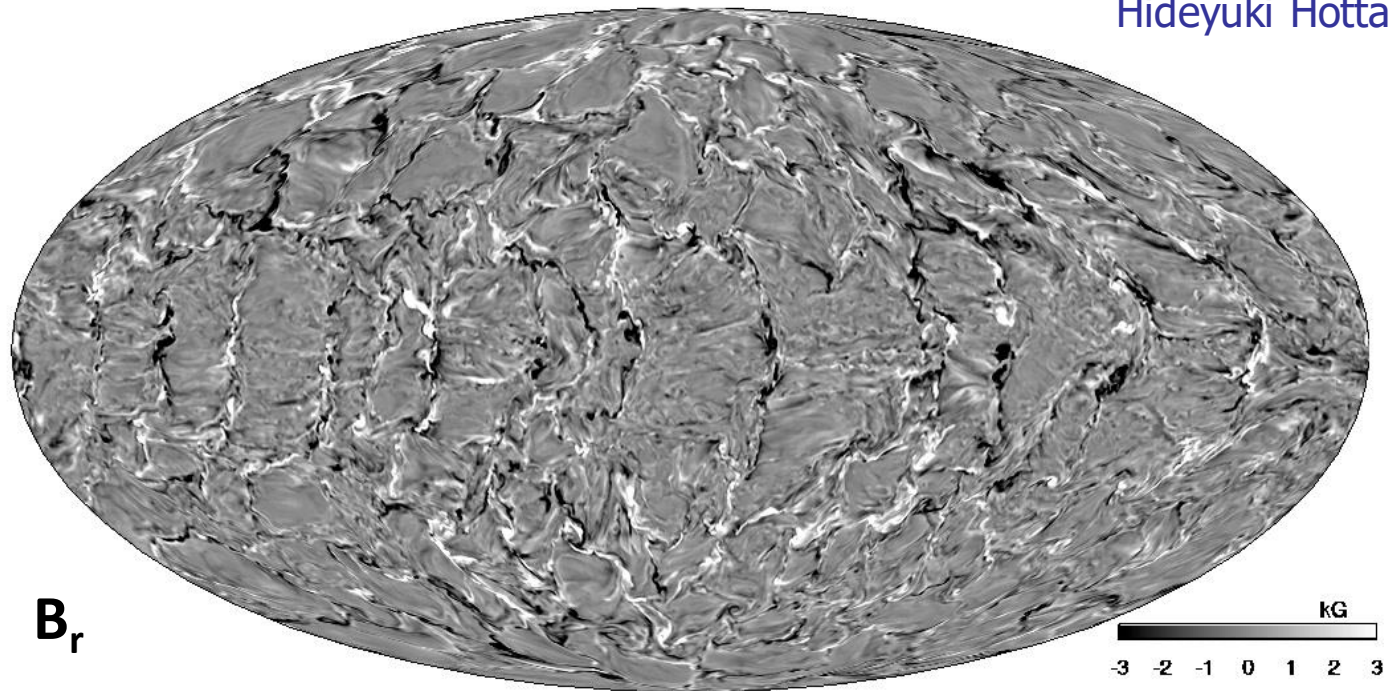


Chen et al. (2017)

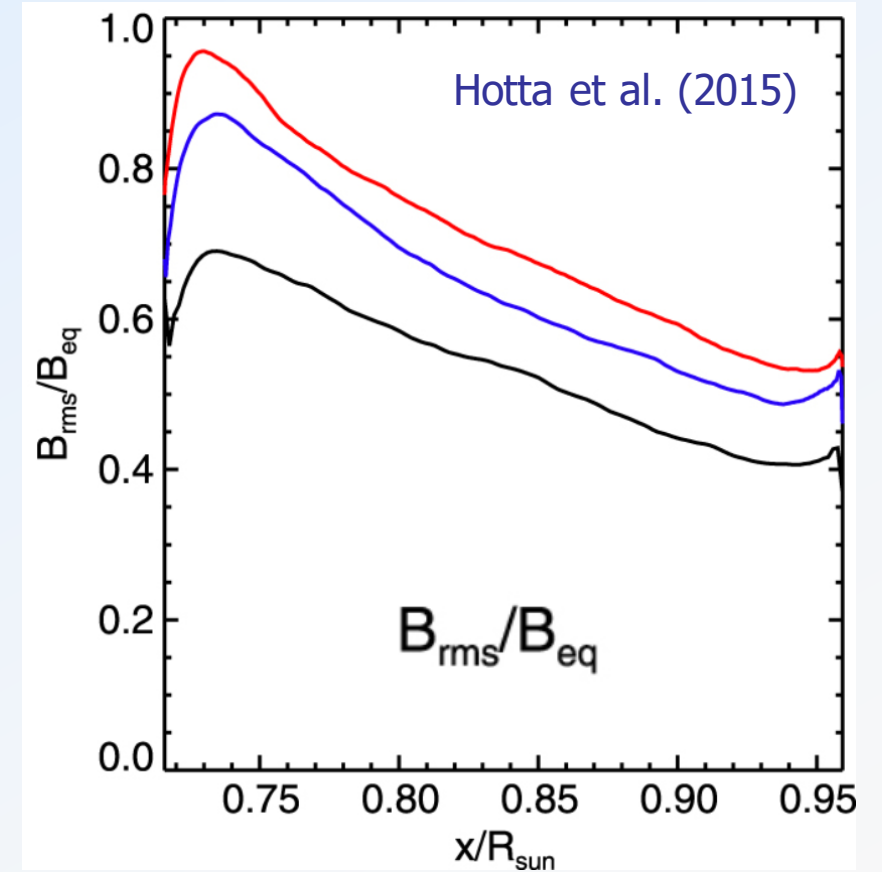




Hideyuki Hotta



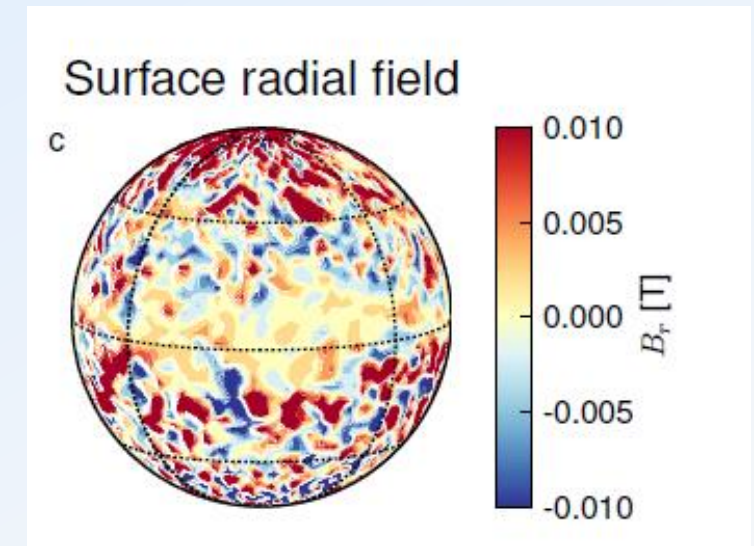
## Small-scale dynamo action in a convection zone simulation



Hotta (2018):  
Significant effect on convection,  
meridional flow, differential rotation...

### What have we learnt so far (in my view...)?

- self-consistent solar-similar cyclic large-scale dynamo action (possible without a tachocline, overshoots layer,...)
- formation of super-equipartition flux concentrations within the convection zone
- importance of small-scale dynamo action
- ...more (see following talks)



Strugarek et al. (2018)

### Which are the limitations of currently feasible 3D MHD simulations?

- convergence as the resolution is increased?
- too much power in large-scale flows → too strong  $\alpha$ -effect?
- solar-like latitudinal differential rotation not reproduced under „solar conditions“
- no proper reproduction of flux emergence (buoyancy of thin flux concentrations maintained?)

Problem: reality checks?



- A brief history
- Challenges to the „current paradigm“
- **Babcock-Leighton redux**
- Cycle variability





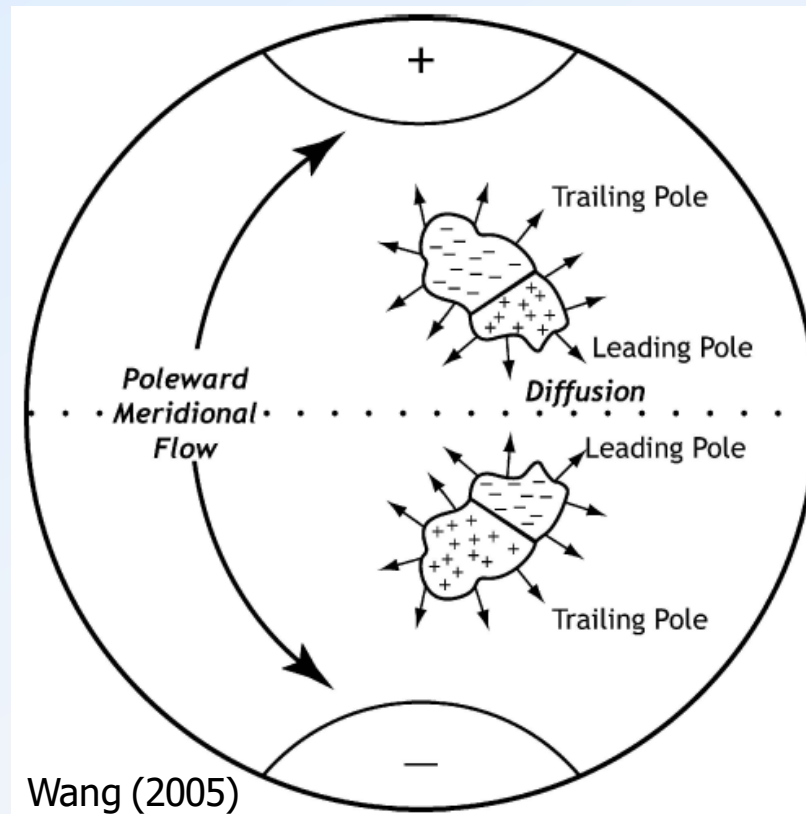
Ockham chooses a razor

- **Convection zone: largely „terra incognita“**  
FTD models require extensive parametrization  
and 3D MHD models probably run in the wrong physical regime  
→ a fully realistic dynamo model is not available at the moment
- The BL model appears to capture essential physical processes and can be based to a large degree on observations. Unknown conditions are condensed in a few (3) parameters.
- Long time series (thousands of cycles) and comprehensive parameter studies can be carried out easily.



**Step 1:** Surface transport of the emerged magnetic flux contained in systematically tilted bipolar magnetic regions leads to the reversal and buildup of opposite-polarity polar dipole field

Already proposed in Babcock & Babcock (1955)...



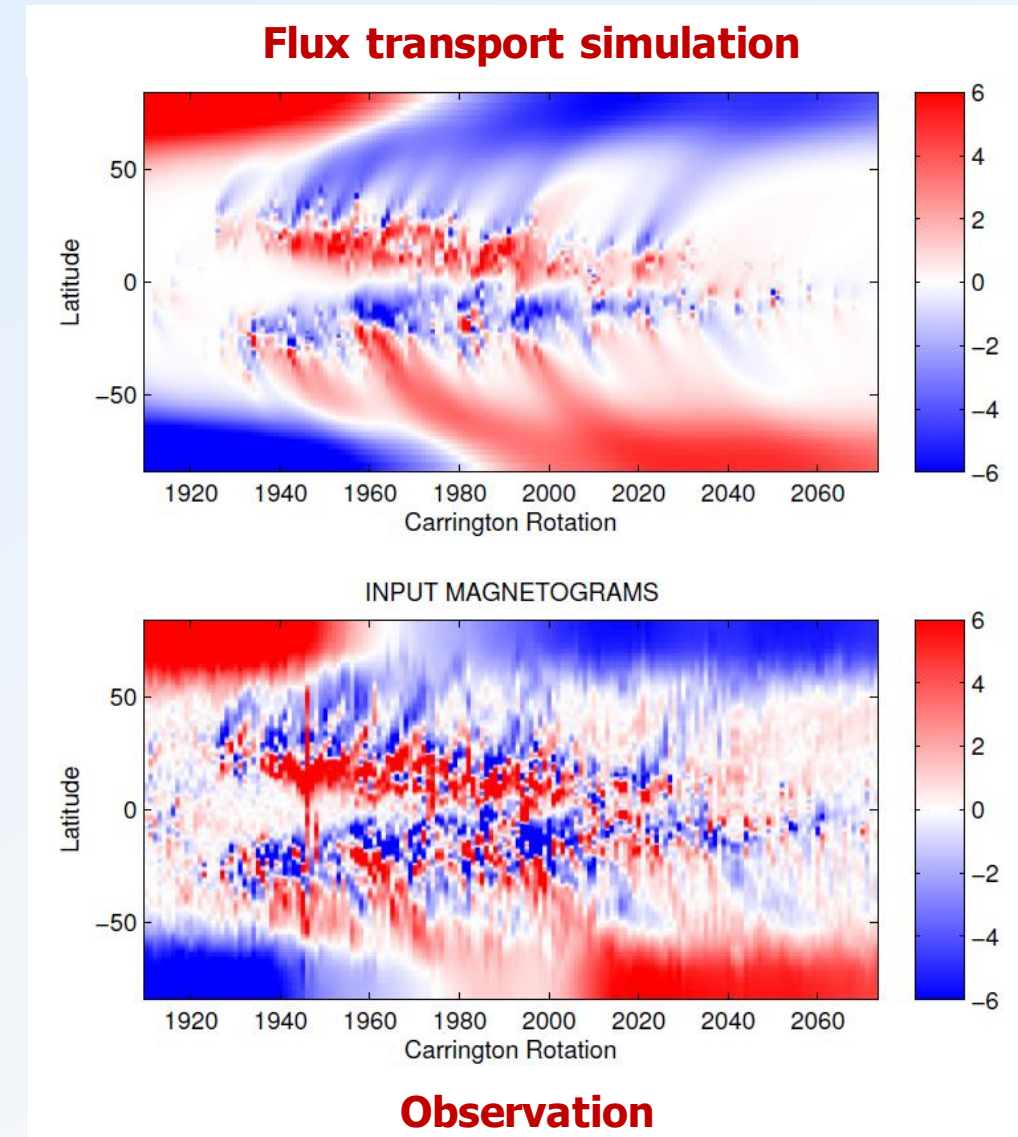
**Groundbreaking work  
by Y-M. Wang and N.R. Sheeley**

## Surface flux transport simulations:

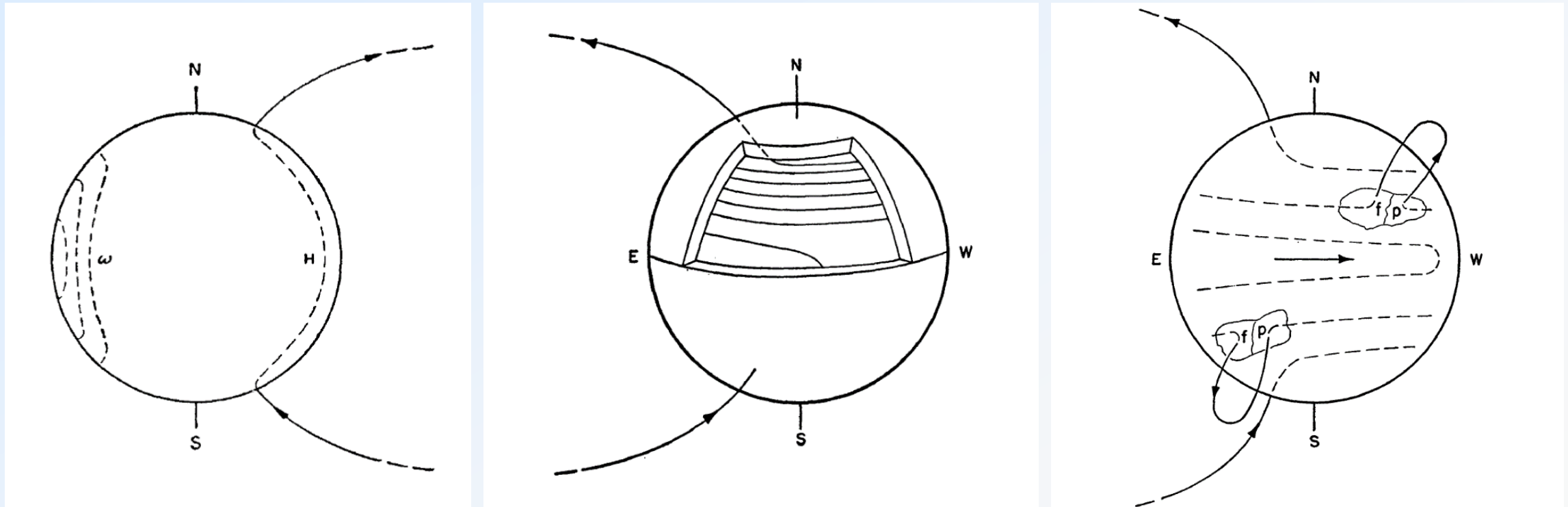
- observed flux emergence in **tilted** bipolar magnetic regions
- cancellation & flux advection by diff. rotation, convection, and meridional flow
- polar fields eventually determined by the amount of magnetic flux transported over the equator

Whitbread et al. (2017)

Time-latitude diagrams of  $B_r$  @ surface



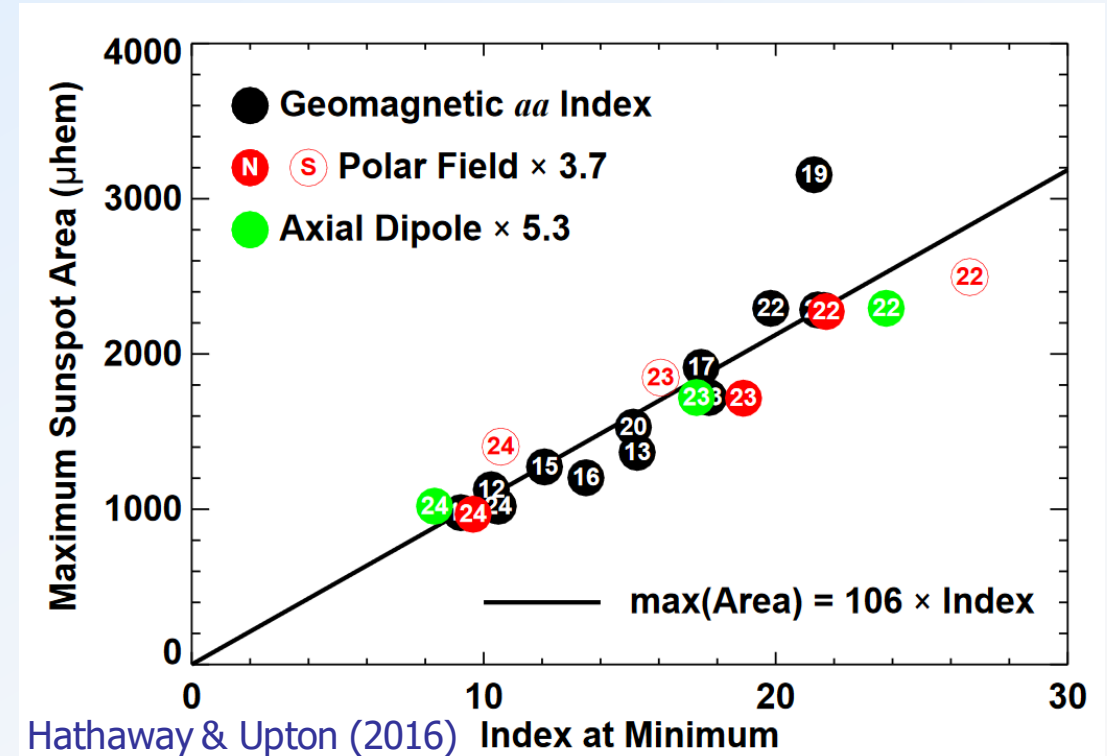
**Step 2:** The poloidal magnetic flux connected to the **polar field** is wound up by **latitudinal differential rotation**, generating the toroidal field whose subsequent emergence produces **tilted bipolar magnetic regions** (sunspot groups).



**The strength of a cycle is correlated with the amplitude of the polar fields at the end of the previous cycle.**

**But:** Correlation does not imply causation... Polar field and „poloidal field of the dynamo“ in principle could be different, but produced by the same (hidden) process.

Strength of next cycle



Solar polar field during activity minimum (proxy)



## Q: What is the relevant poloidal flux for the solar dynamo?

Hale's polarity laws → **large-scale toroidal field of fixed orientation** in each hemisphere during a cycle.

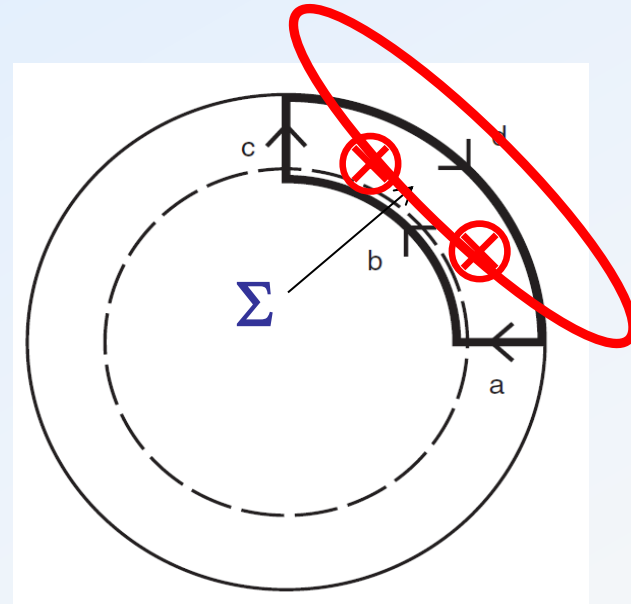
→ Need to consider the **net toroidal flux** in a hemisphere, determined from the azimuthally averaged induction equation

Determine toroidal flux in a hemisphere: integrate induction equation over a meridional surface  $\Sigma$  and apply **Stokes' theorem**

Consider  $\int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B}) \cdot d\mathbf{l}$

Only significant contribution: surface part

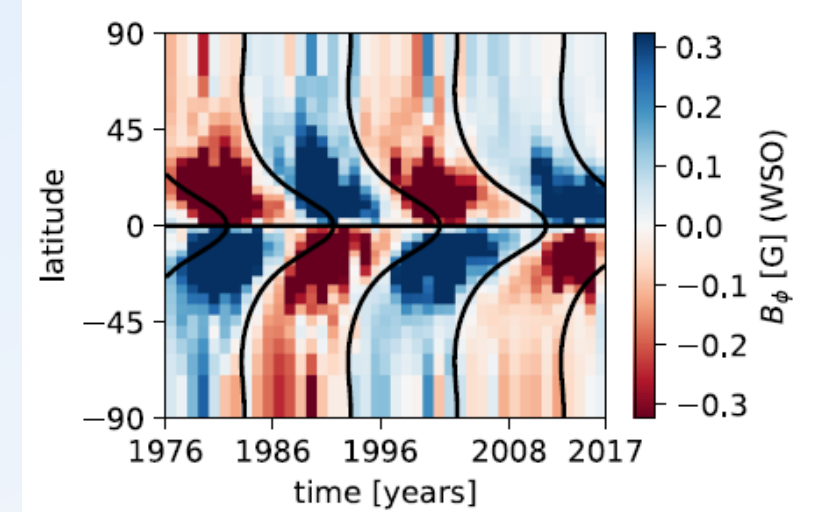
$$\frac{d\Phi_{\text{tor}}^N}{dt} = \int_0^1 (\Omega - \Omega_{\text{eq}}) B_r R_{\odot}^2 d(\cos\theta)$$



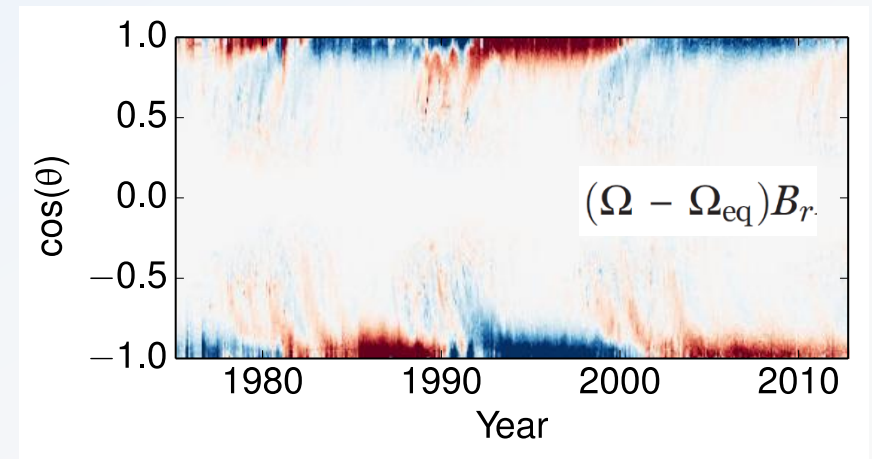
Meridional cut

Cameron & S.  
Science **347**, 1333 (2015)

## $B_{\phi}$ at solar surface



Cameron et al. (2018)



Strongly dominated by polar fields...

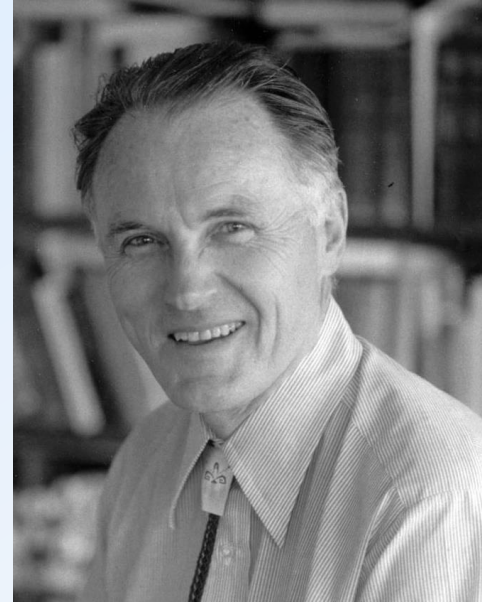
**Q: What is the relevant poloidal flux for the solar dynamo?**

**A: The magnetic flux connected to the polar field represents the dominating poloidal source of the net toroidal flux which emerges in the subsequent cycle.**

Any other poloidal field (hidden in the convection zone) leads to equal amounts of positive and negative toroidal flux and thus does not contribute to the net toroidal flux required by Hale's polarity laws.



**Horace W. Babcock**  
(1912-2003)

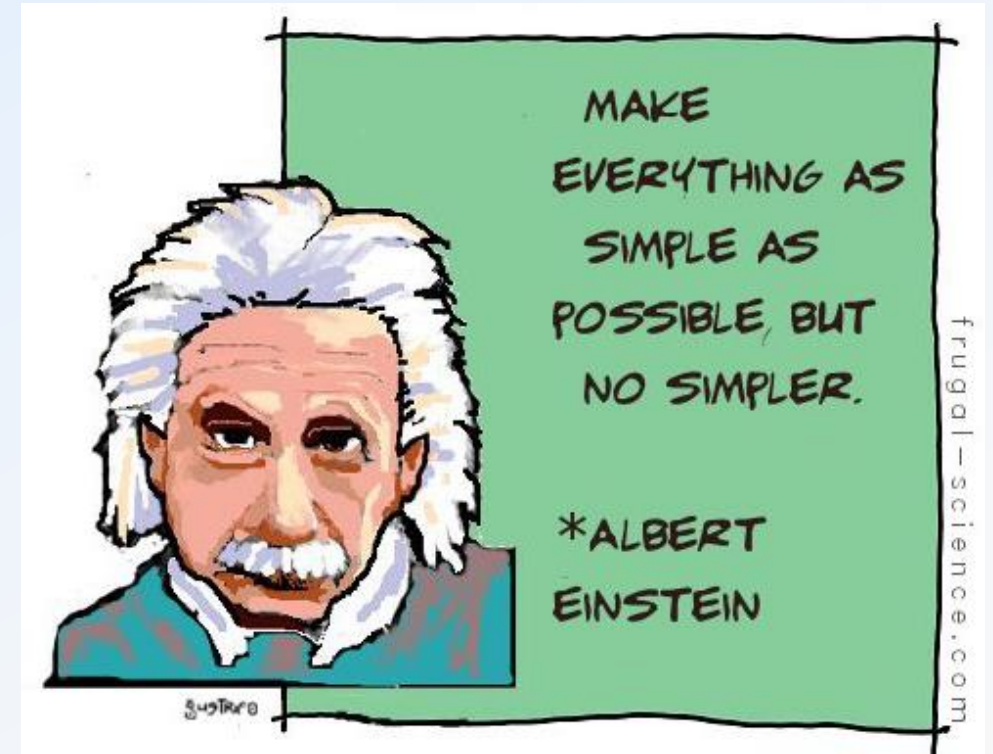


**Robert B. Leighton**  
(1919-1997)

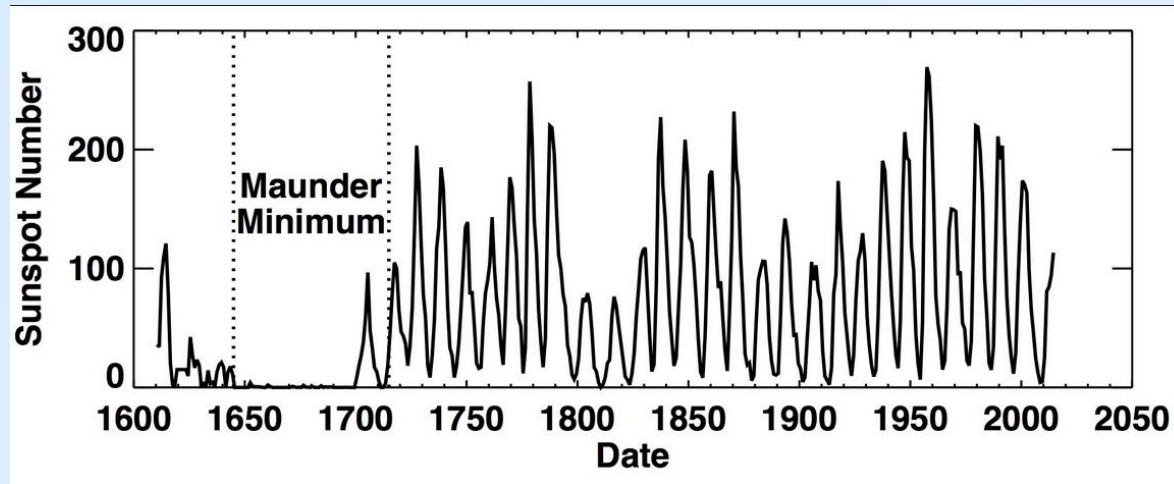
The Babcock-Leighton model seems to capture essential features of the large-scale solar dynamo.

Update of the BL model taken account of the observational results obtained since the 1960s:  
Cameron & S. (2017, A&A)

- A brief history
- Challenges to the „current paradigm“
- Babcock-Leighton redux
- **Cycle variability**



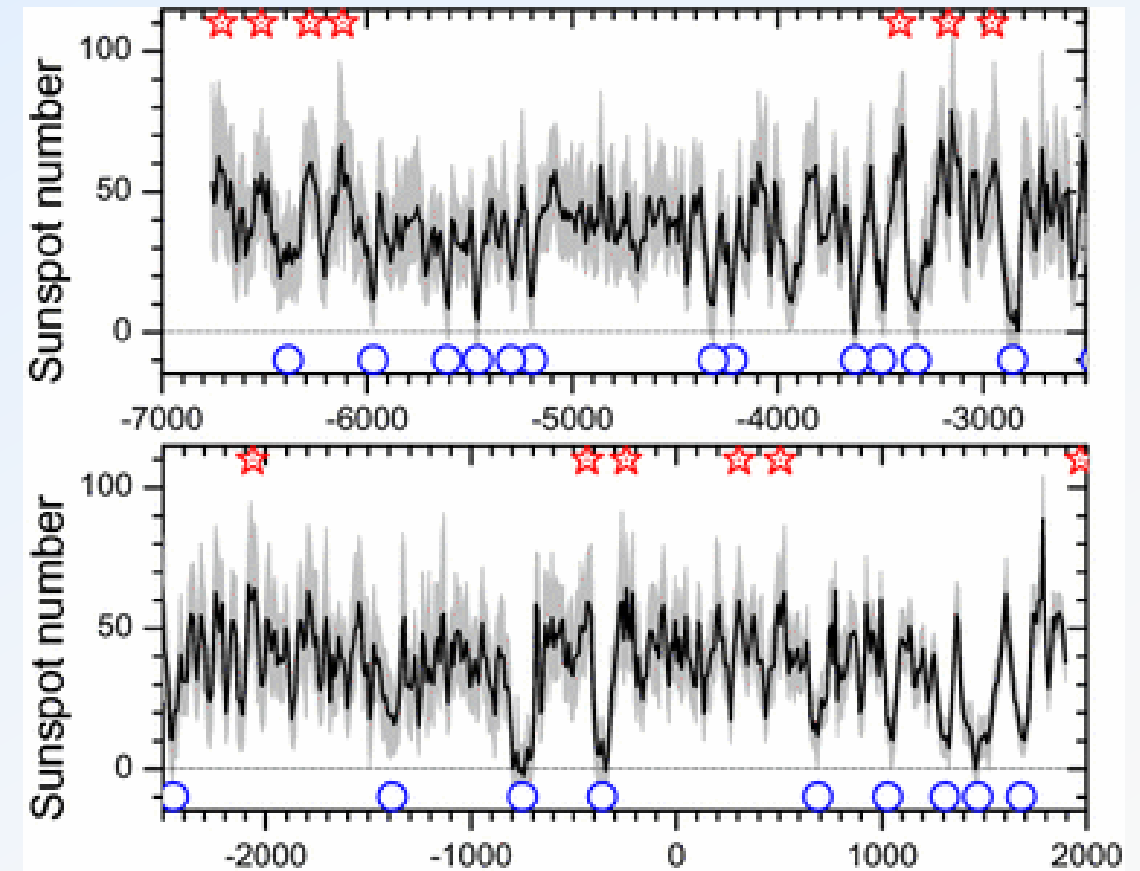




Considerable cycle-to-cycle variability with occasional „grand” minima and maxima

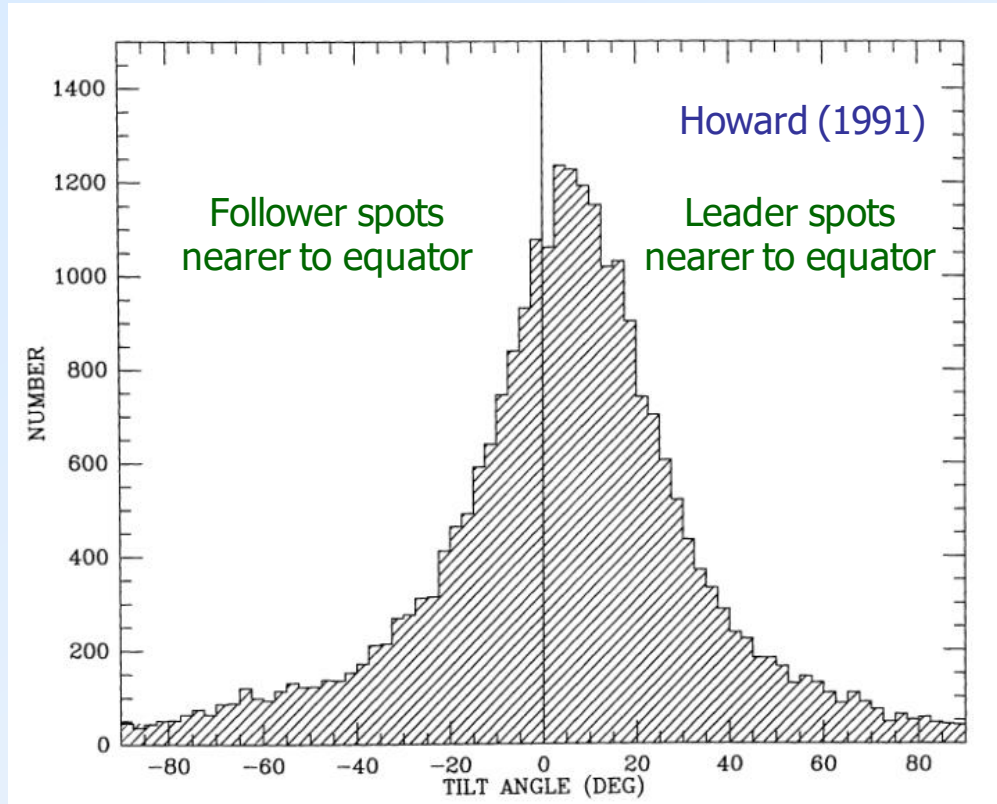
- nonlinear effects?
- intermittency?
- **stochastic fluctuations?**

Sunspot numbers during the holocene as inferred from cosmogenic isotopes ( $^{10}\text{Be}$ ,  $^{14}\text{C}$ )



Years BP

(Usoskin et al., 2016)

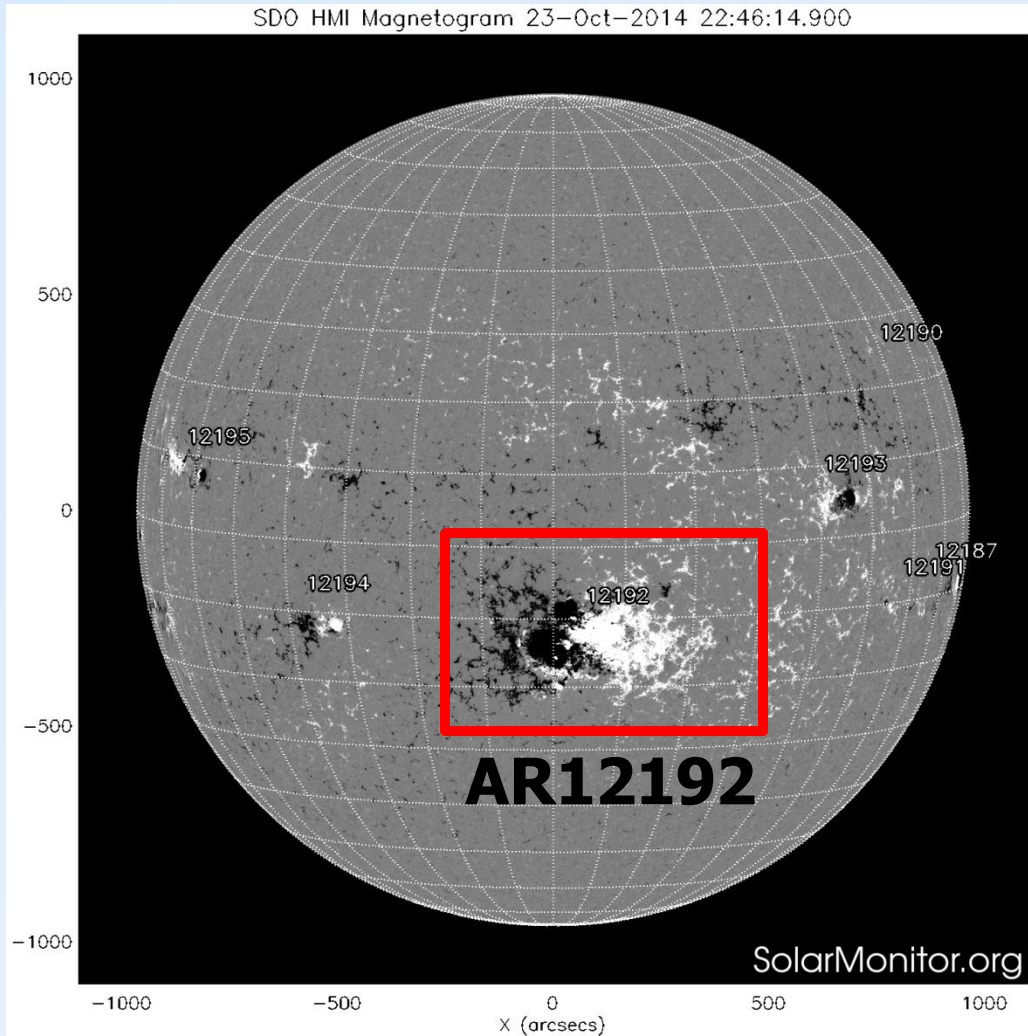


Histogram of sunspot group tilt angles  
(Mt. Wilson, 1917 – 1985)

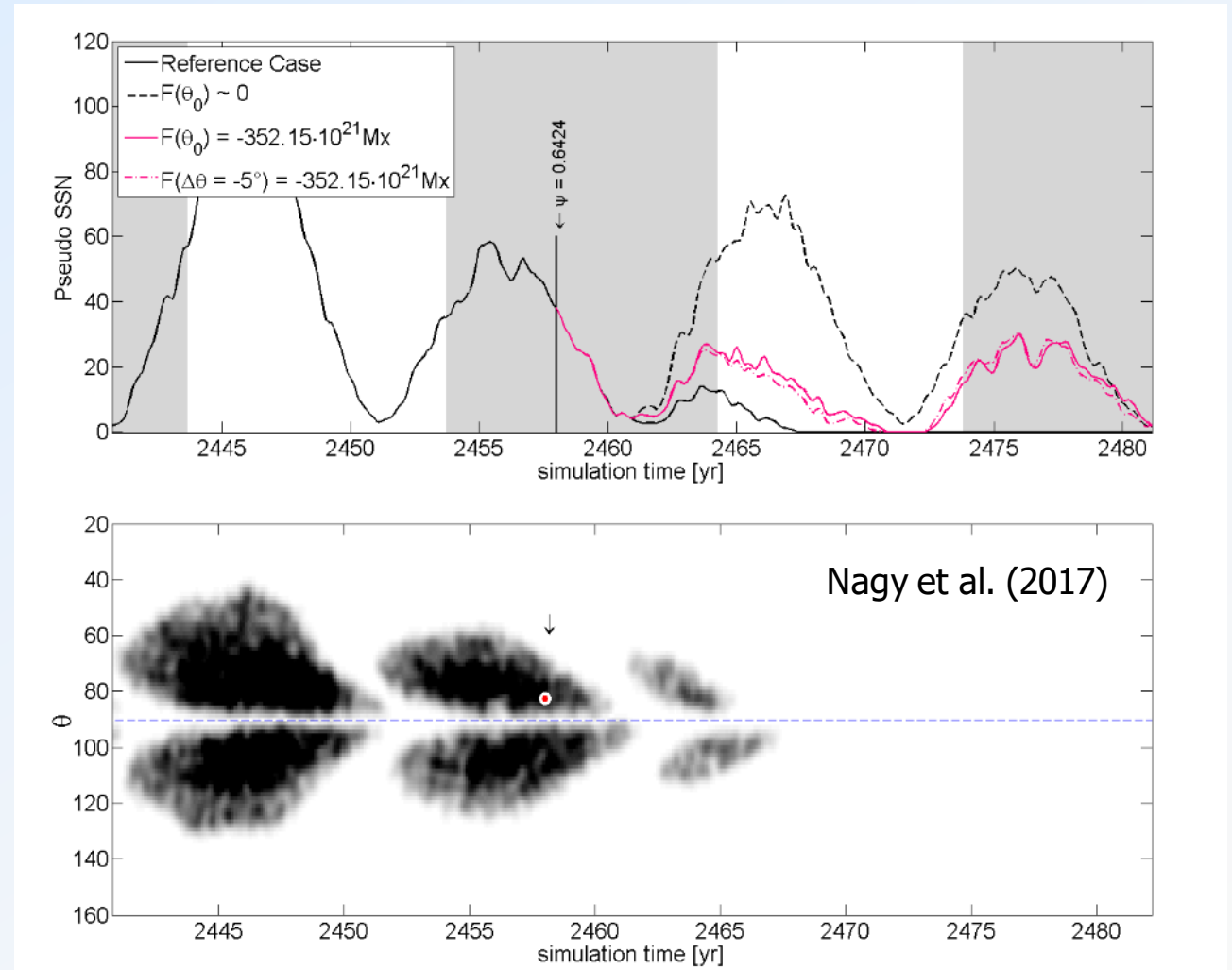
A single large bipolar region carries an amount of magnetic flux comparable to that contained in the polar field.

The weakness of cycle 24 can be understood as the effect of a few active regions with „wrong“ tilt (Jiang et al., 2015)

Substantial **scatter** of sunspot group tilt angles



October 2014

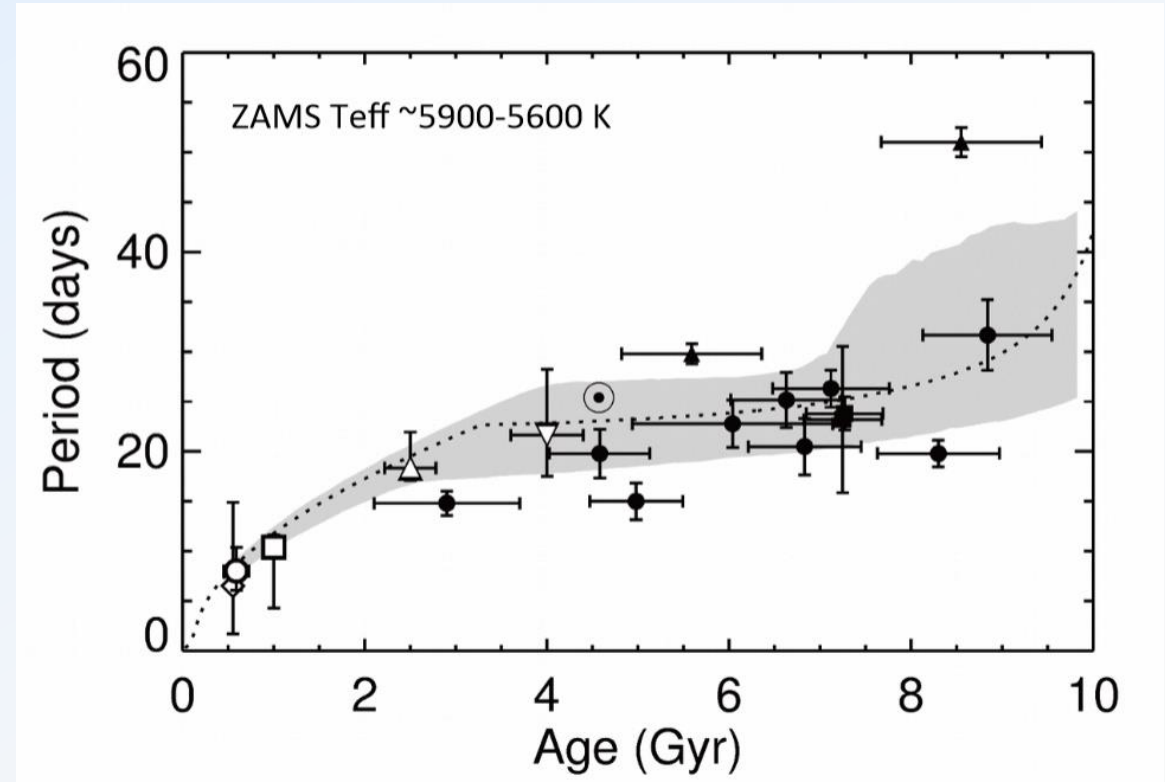
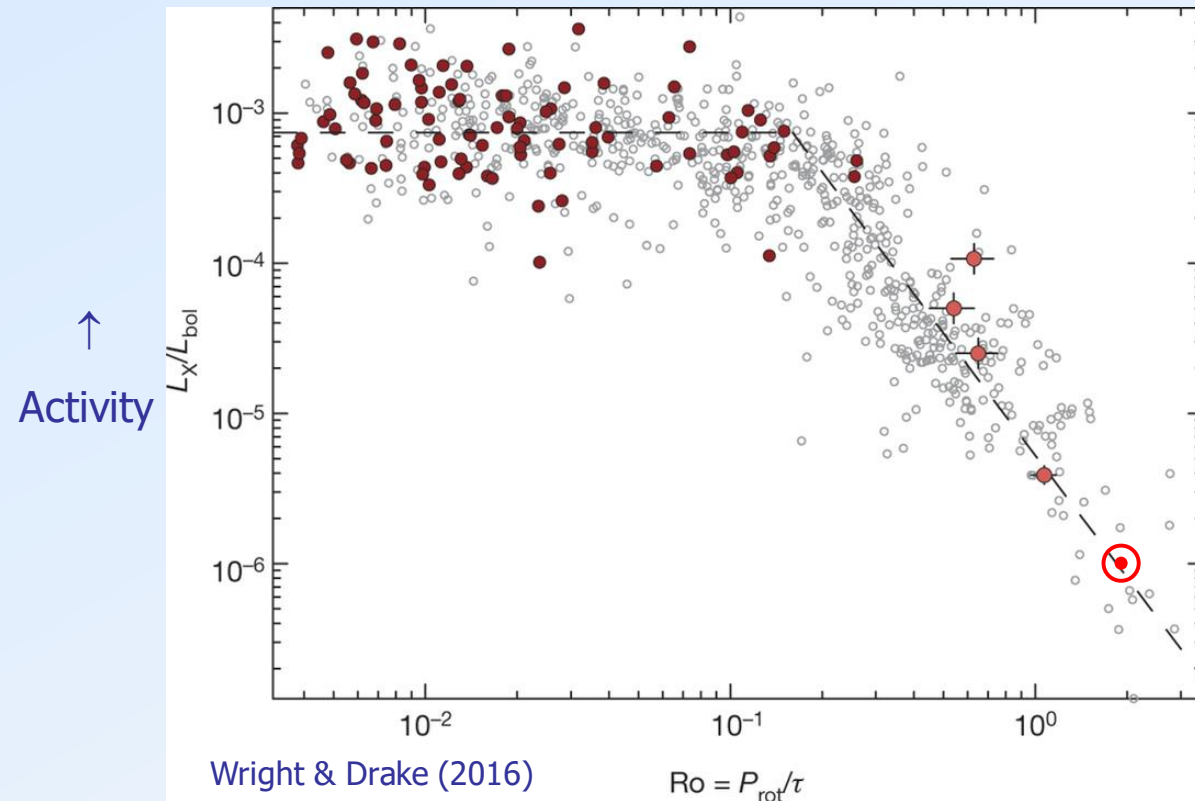


The spot that killed the dynamo....



The Sun is not a particularly active star...

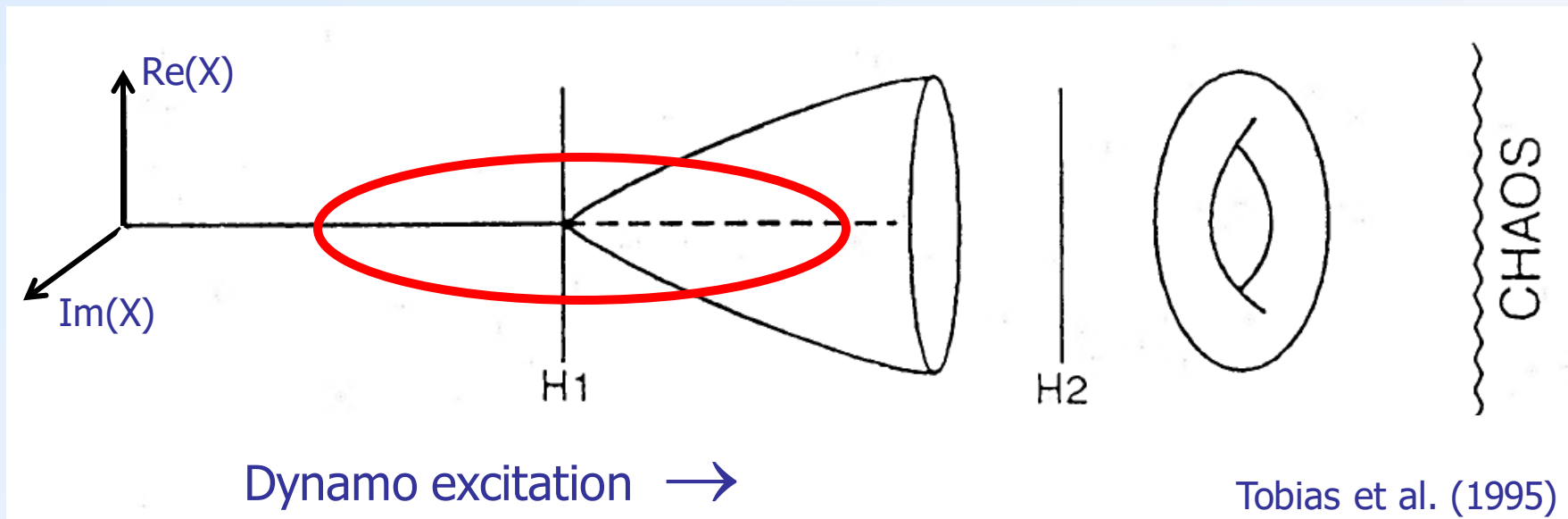
Actually, the slowly rotating Sun appears to be near marginal cyclic dynamo excitation (van Saders et al., 2016; Metcalfe et al., 2016; Olsper et al., 2018)



J. van Saders

← Rotation rate

Models for oscillatory dynamos typically exhibit a **Hopf bifurcation** at critical dynamo excitation: a fixed point becomes unstable and spawns a limit cycle (periodic solution)



Normal form  $\frac{dX}{dt} - (\beta + i\omega_0)X + (\gamma_r + i\gamma_i)|X|^2X = 0$

Linear  
growth rate

$$\beta$$

Amplitude

$$|X| = \sqrt{\beta/\gamma_r},$$

Linear  
frequency

$$\omega_0$$

Frequency

$$\omega = \omega_0 - \gamma_i\beta/\gamma_r$$

All four parameters are **constrained by observation**:

Mean sunspot number since 1700:

$$|X| = 64 \quad \text{for sinusoidal cycles}$$

Recovery from Maunder minimum:

$$\beta = 1/50 \text{ year}^{-1}$$

~11-year cycles during  
Maunder minimum:

$$\omega = \omega_0 = 2\pi/(22 \text{ yrs})$$



Random forcing of the dynamo owing to **scatter of tilt angles**:  
stochastic differential equation

$$dX = \left( \beta + i\omega_0 - (\gamma_r + i\gamma_i)|X|^2 \right) X dt + \sigma X dW_c = 0,$$

 $W_c$ 

complex Wiener process with variance = 1 after 11 years  
(random walk with uncorrelated Gaussian increments)

Noise amplitude:

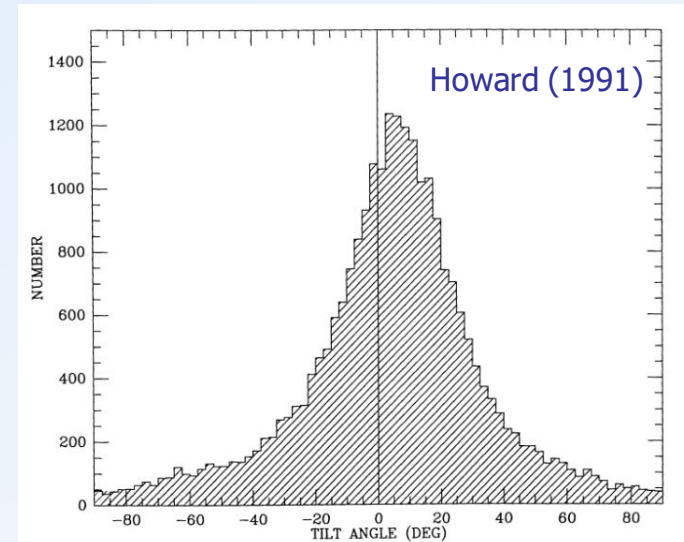
$$\sigma = 0.40$$

from polar field variability due to observed tilt angle scatter  
(→ consistent with variability of cycle maxima since 1700)

Performed Monte-Carlo simulations with Euler-Maruyama method

Take  $\text{Re}(X)$  as a proxy for sunspot number (toroidal flux):

$$\text{SSN} = |\Re(X)|$$



Histogram of sunspot group tilt angles  
(Mt. Wilson, 1917 – 1985)

Random forcing of the dynamo owing to **scatter of tilt angles**:  
stochastic differential equation

$$dX = \left( \beta + i\omega_0 - (\gamma_r + i\gamma_i)|X|^2 \right) X dt + \sigma X dW_c = 0.$$

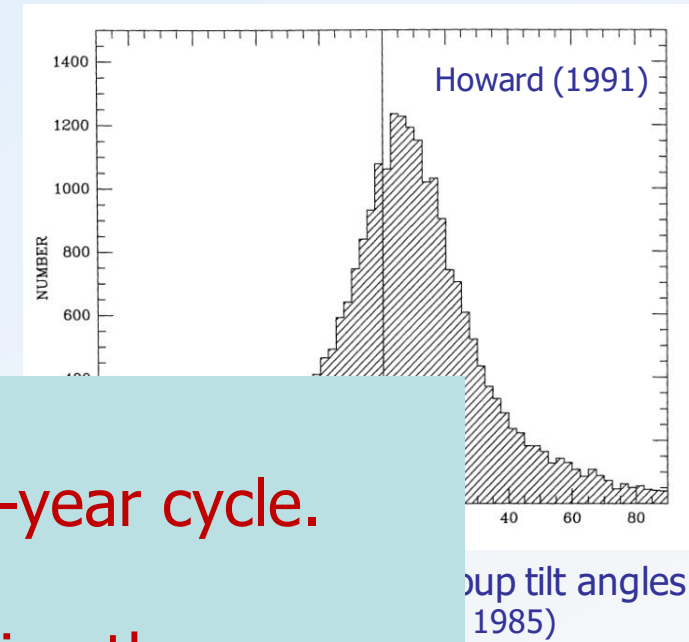
$W_c$

No intrinsic periodicities apart from the basic 11-year cycle.

May thus serve as a proper null case for evaluating the significance of periodicities found in the empirical record.

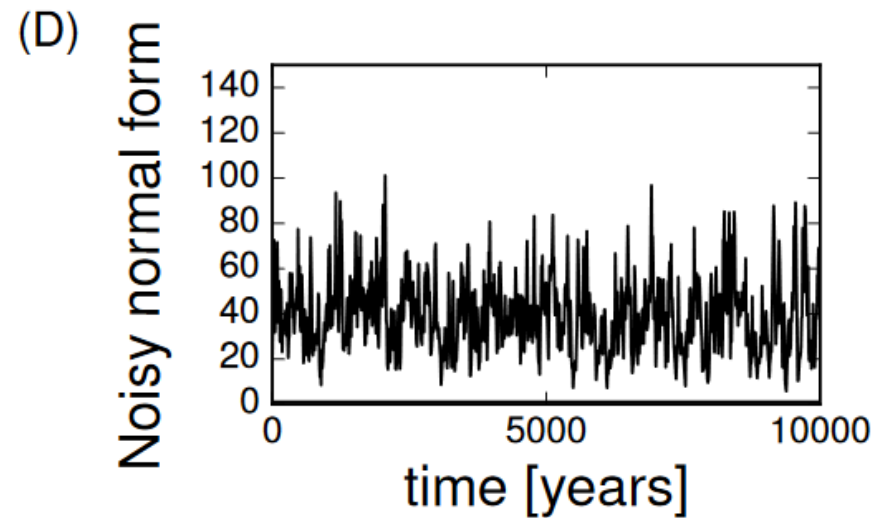
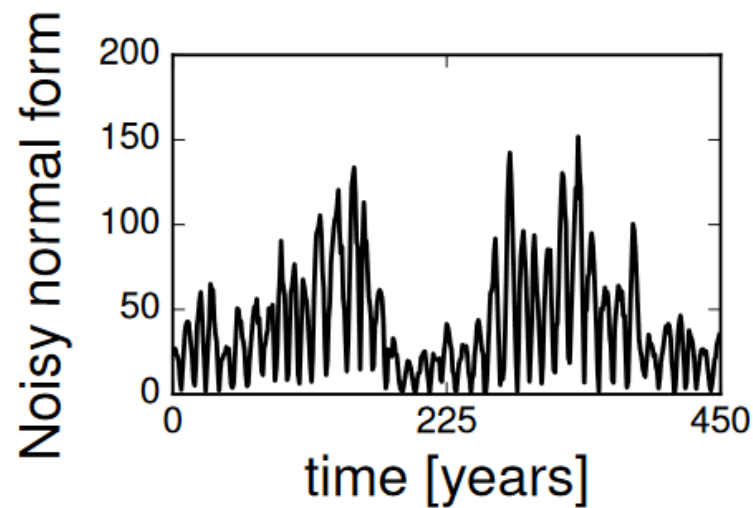
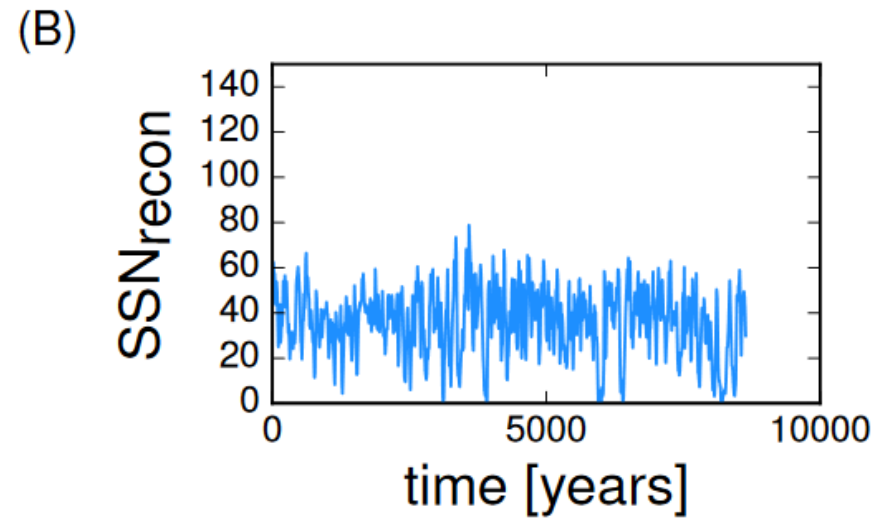
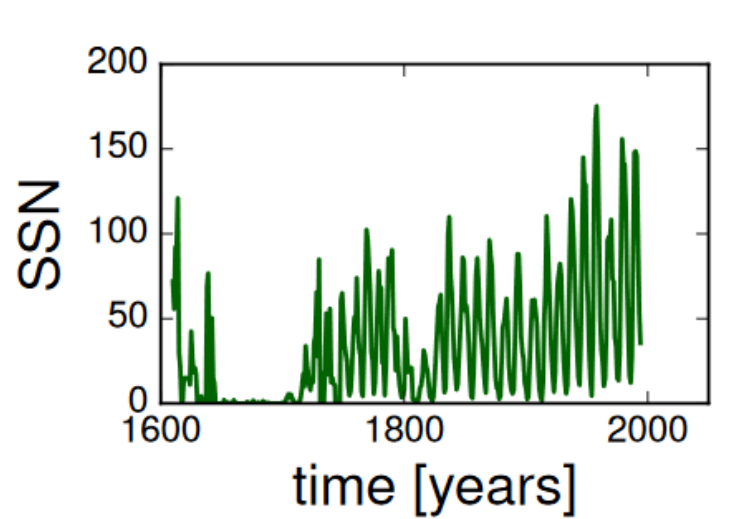
Noise amp

Performed



Take  $\text{Re}(X)$  as a proxy for sunspot number (toroidal flux):

$$\text{SSN} = |\Re(X)|$$



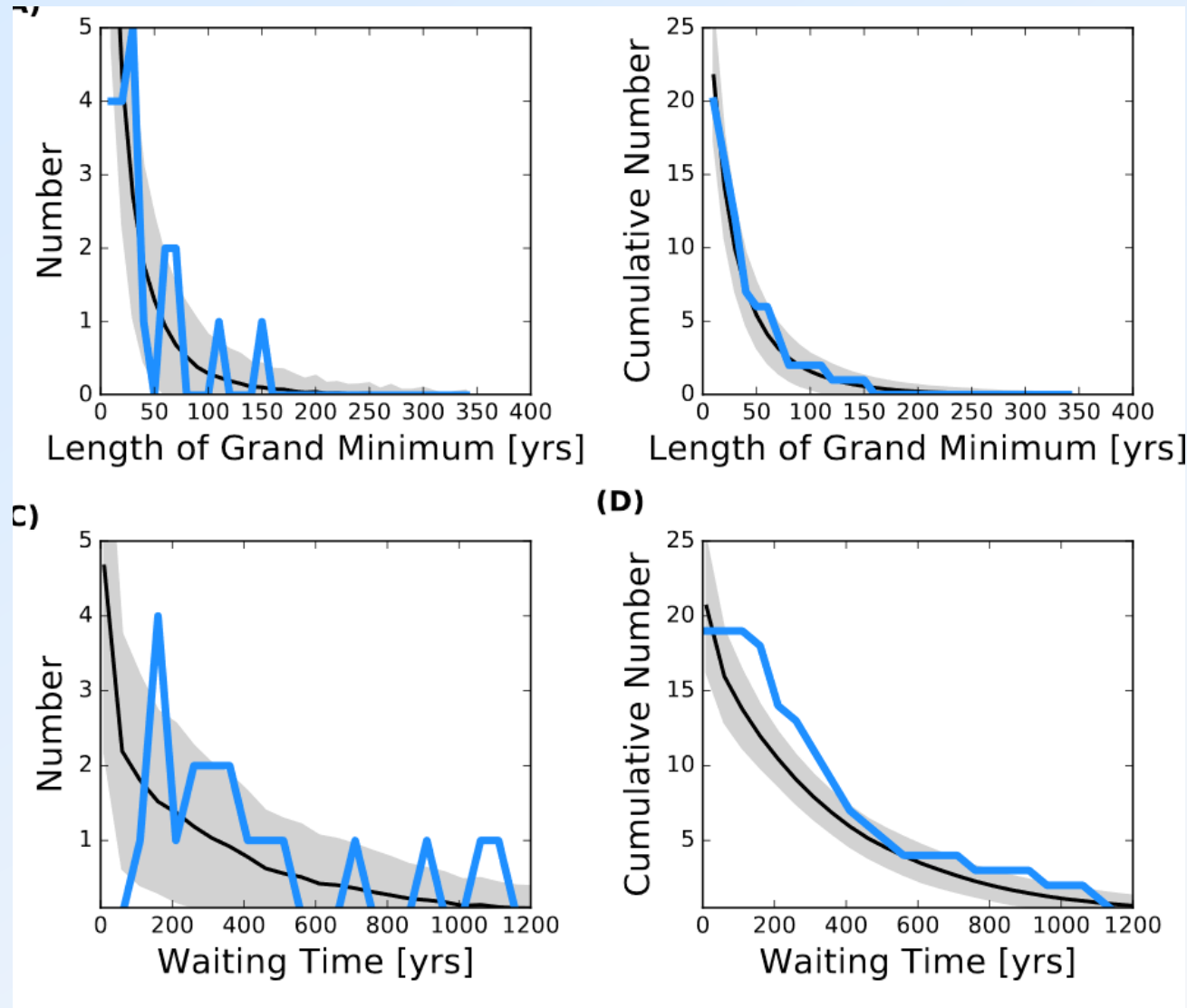
Empirical sunspot numbers

left: direct observations

right: inferred from cosmogenic isotopes ( $^{10}\text{Be}$ ,  $^{14}\text{C}$ )

Noisy normal-form model  
(one realization)



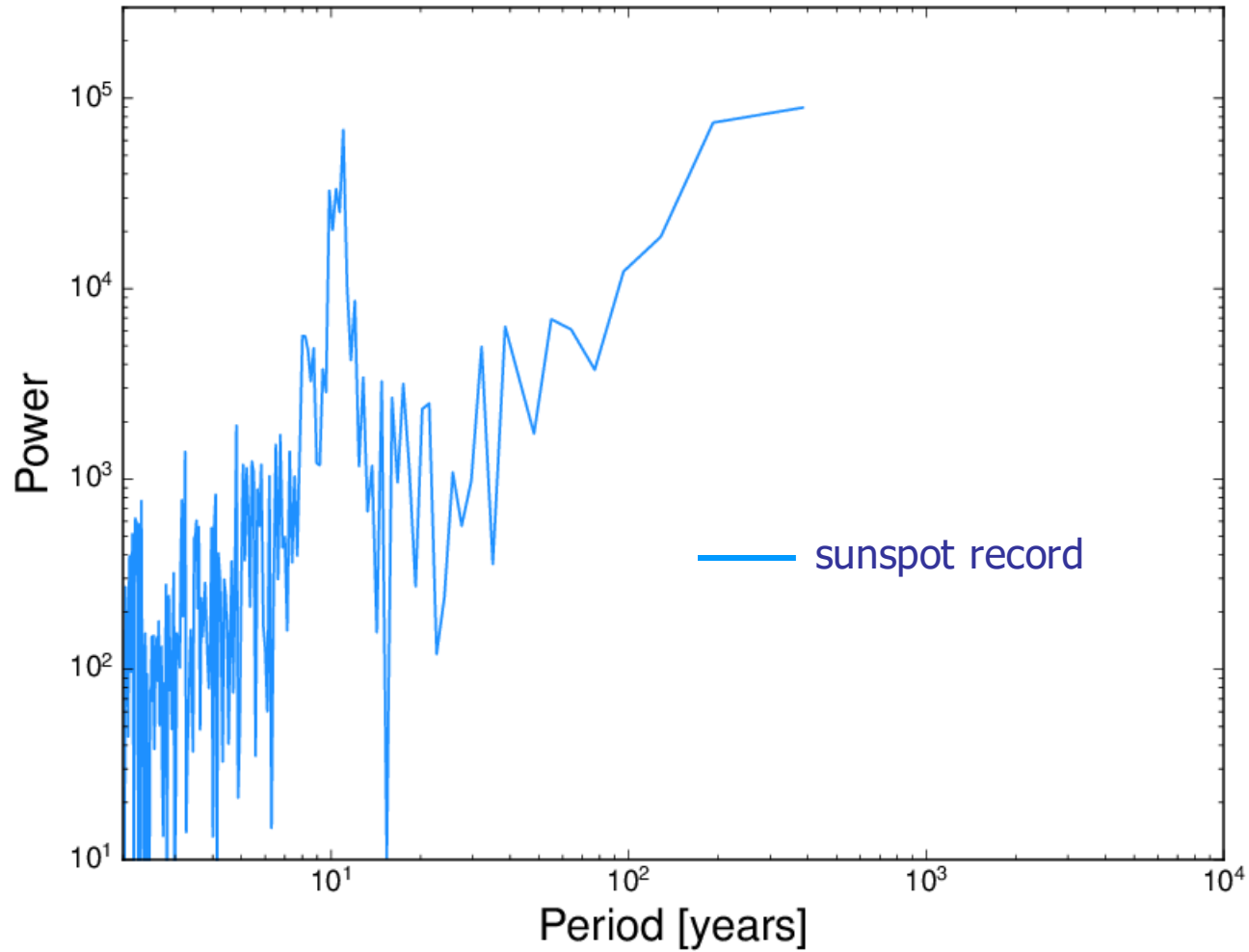


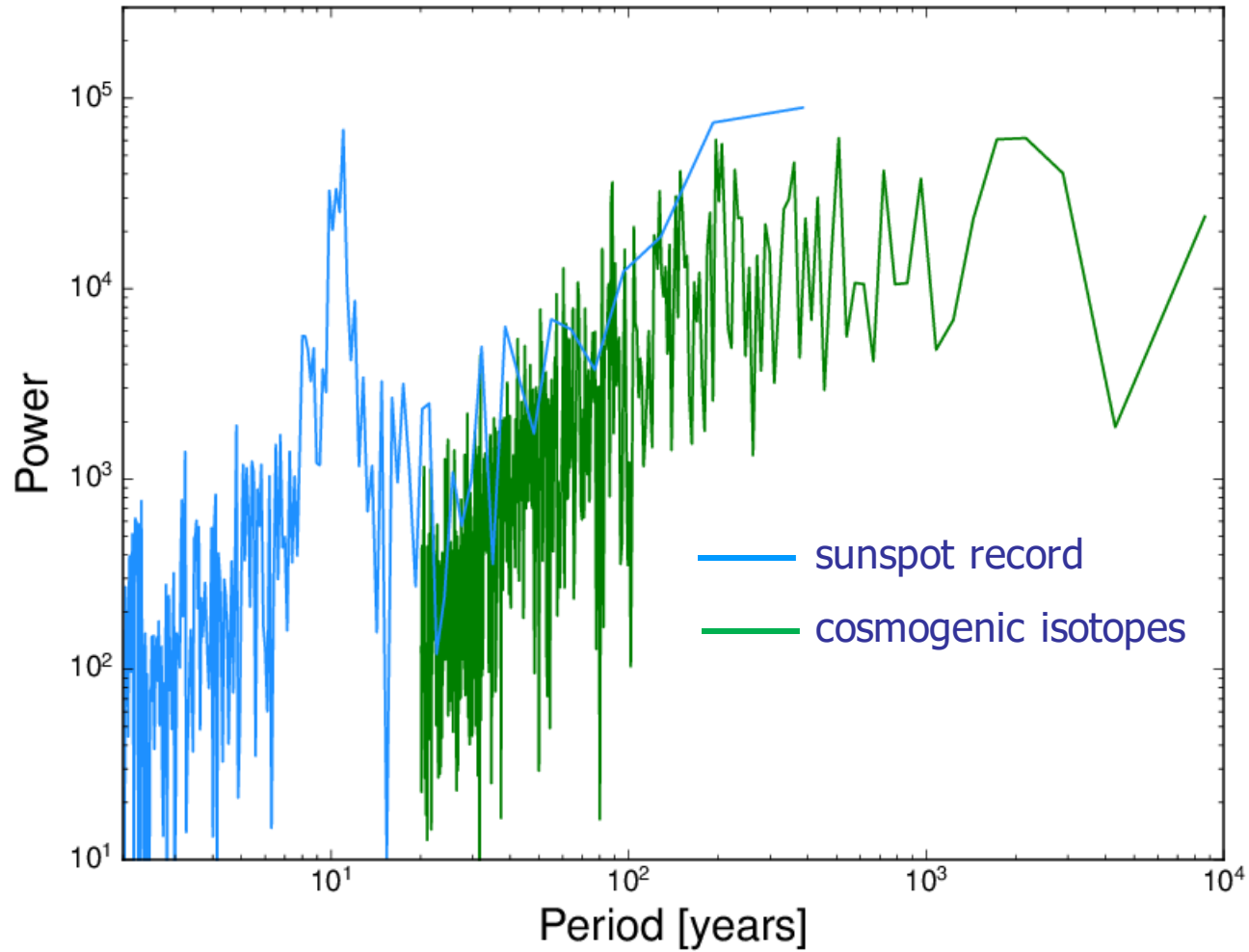
— Usoskin et al. (2016)  
(cosmogenic isotopes)

— Normal-form model  
(1000 realizations of  
10,000 years each)

— standard deviation

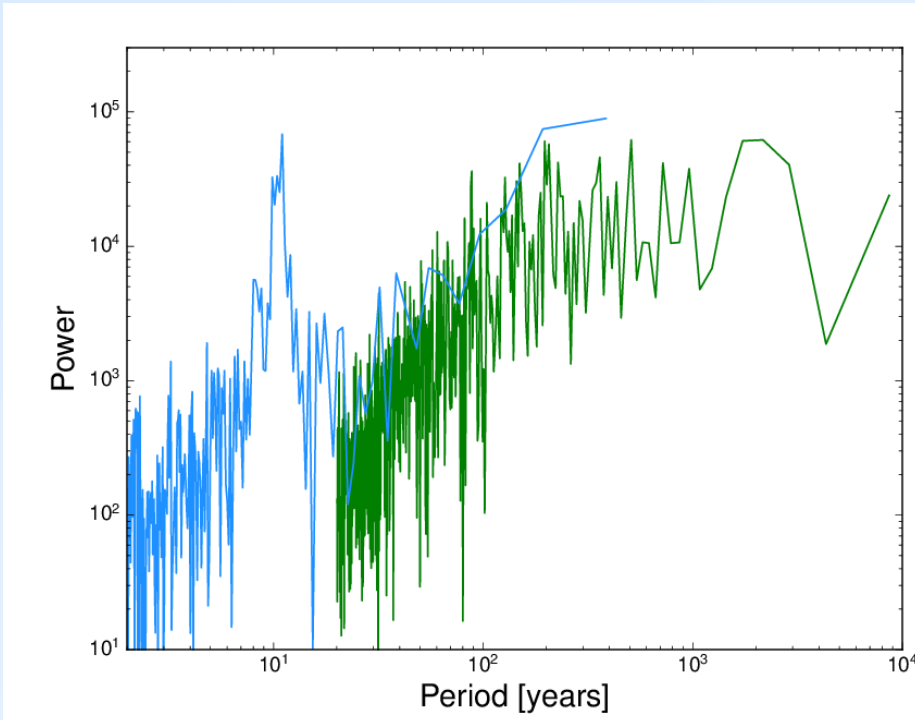
Exponential distributions are  
consistent with a Poisson process.





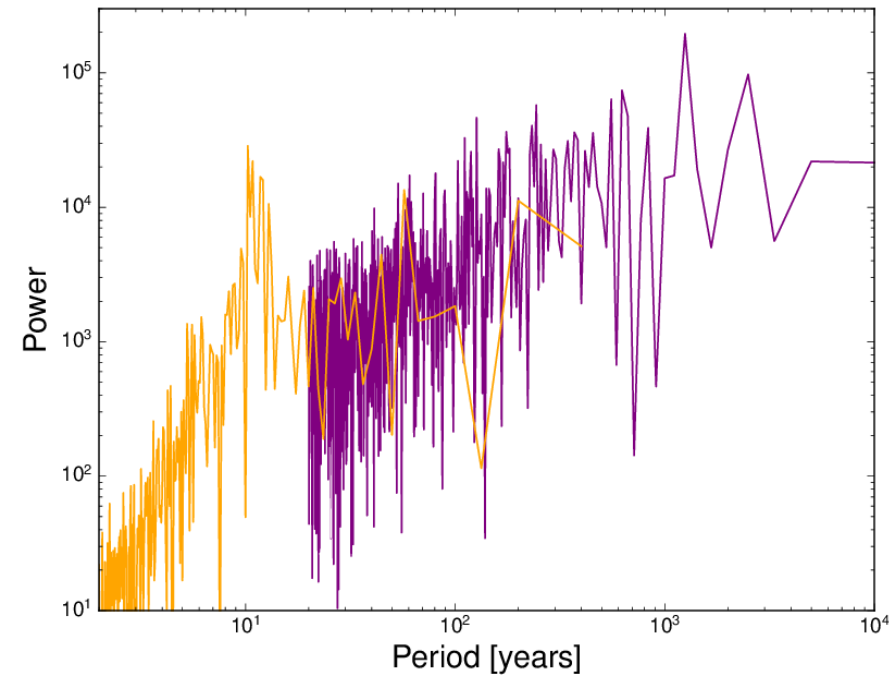


## Observation

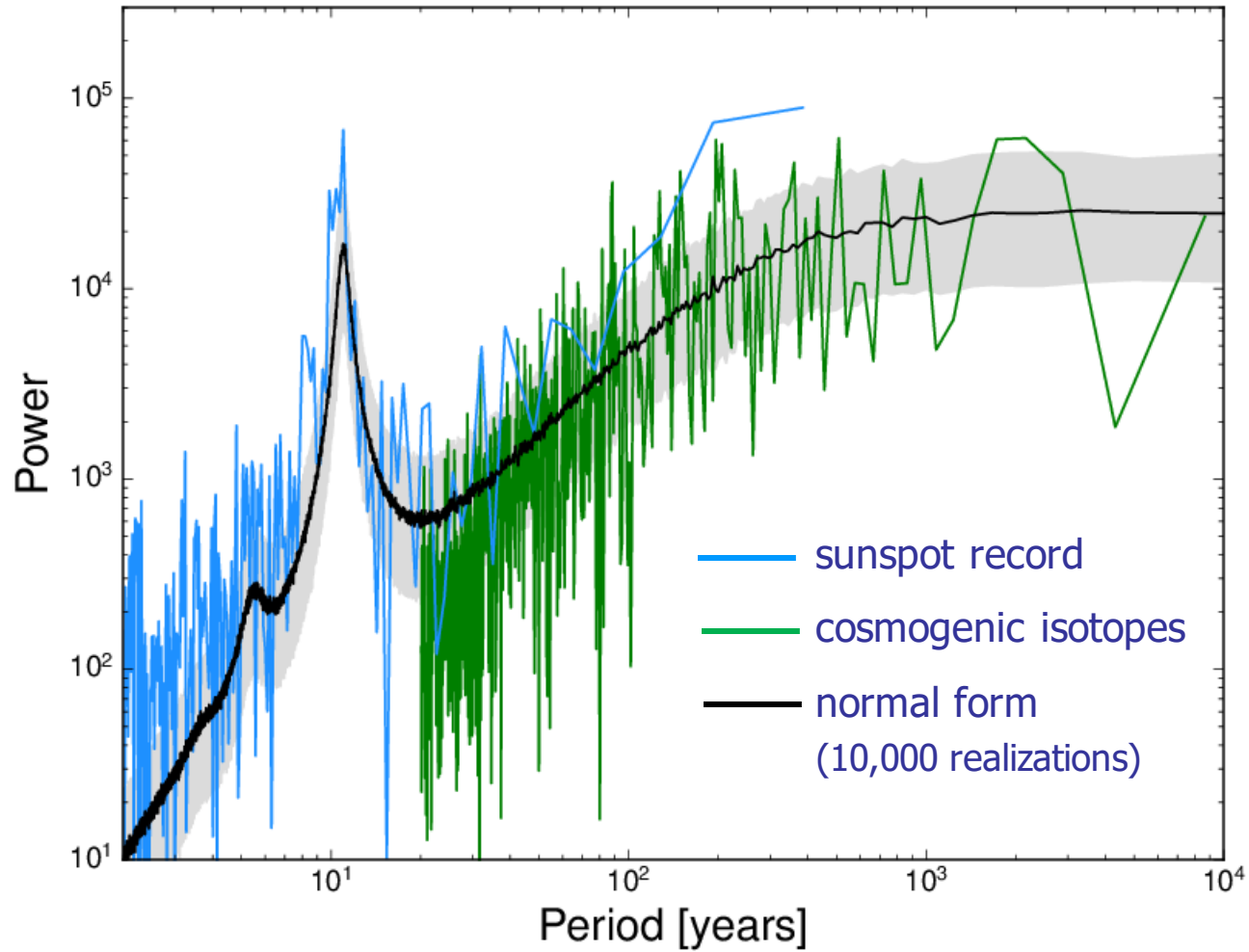


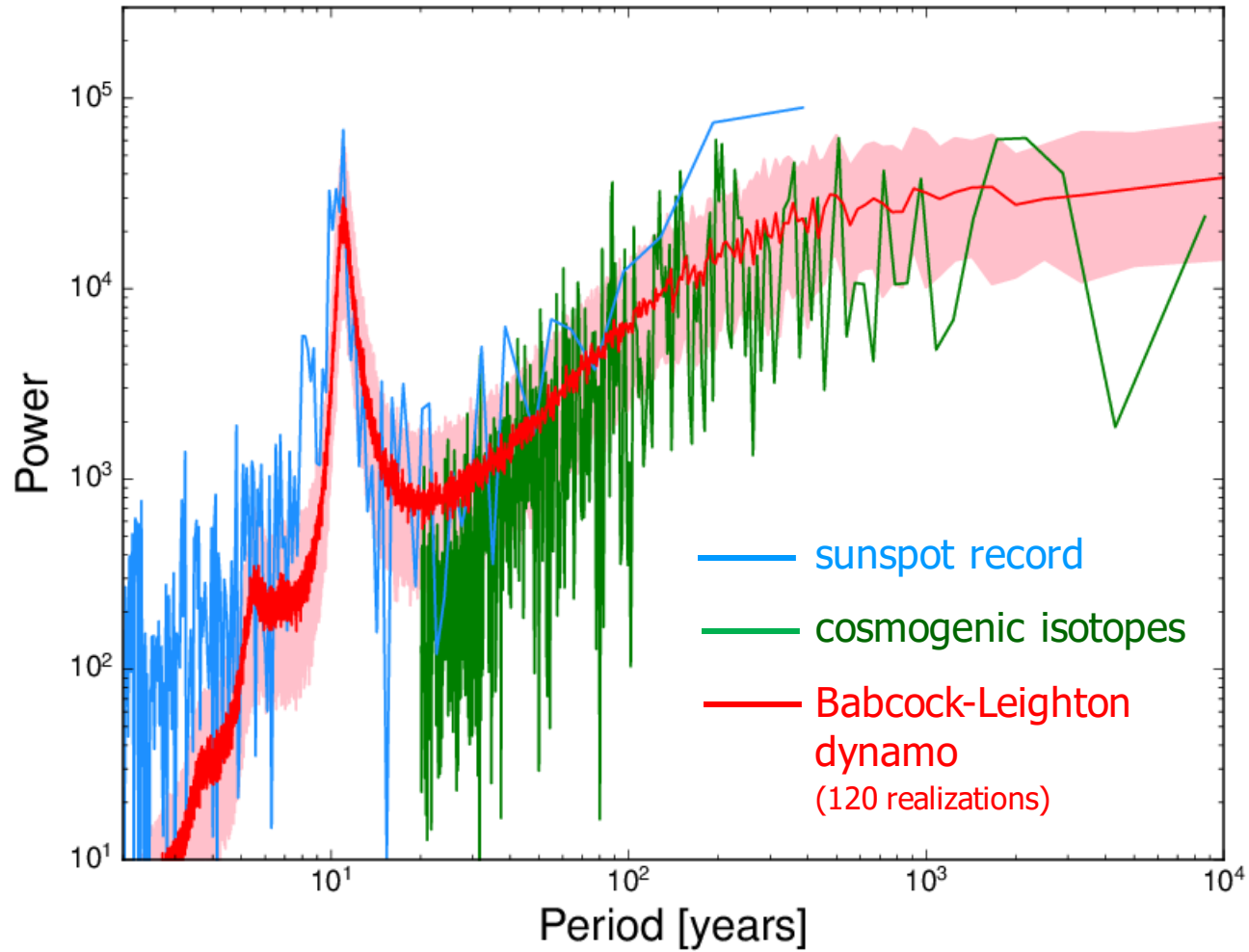
— sunspot record  
— cosmogenic isotopes

## NF: one realisation

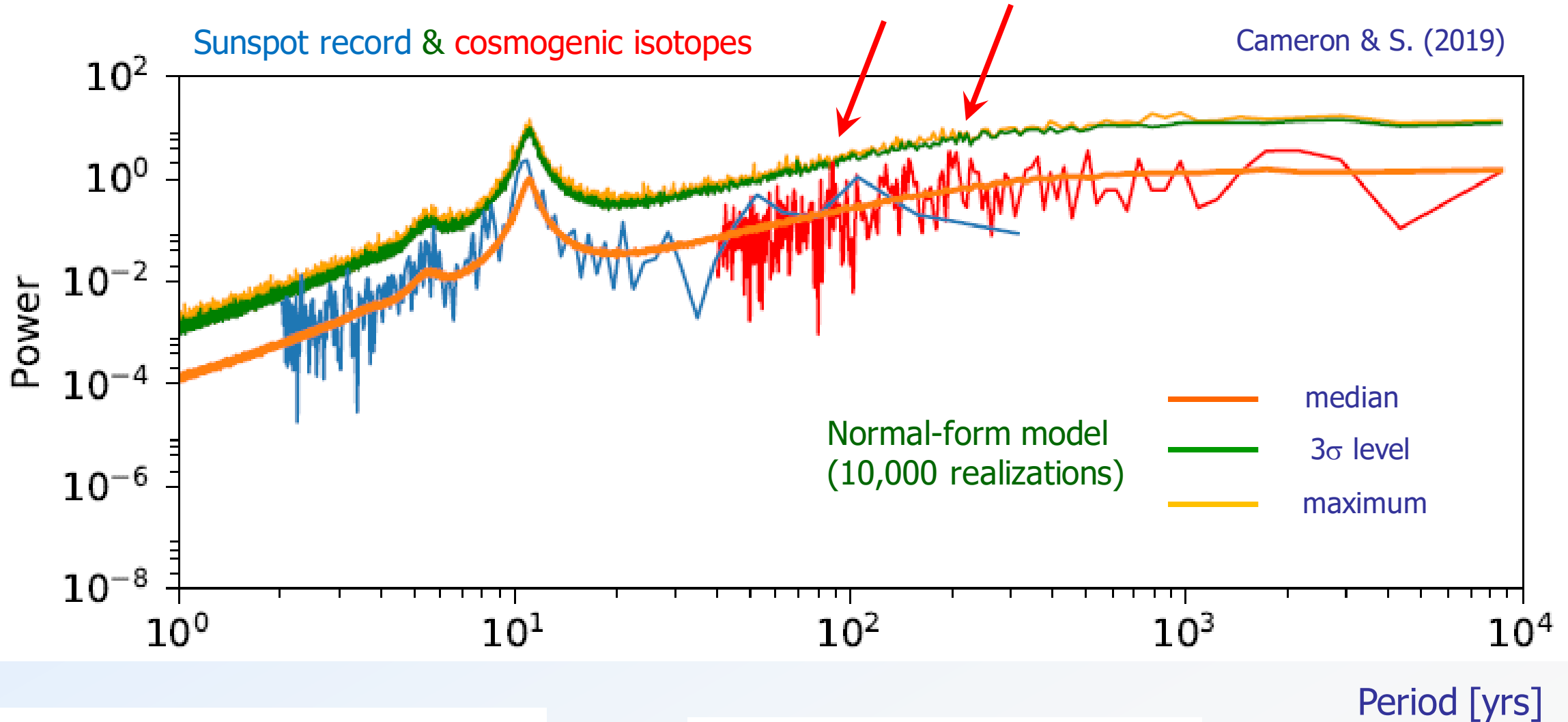


— normal form (350 yrs)  
— normal form (10,000 years)







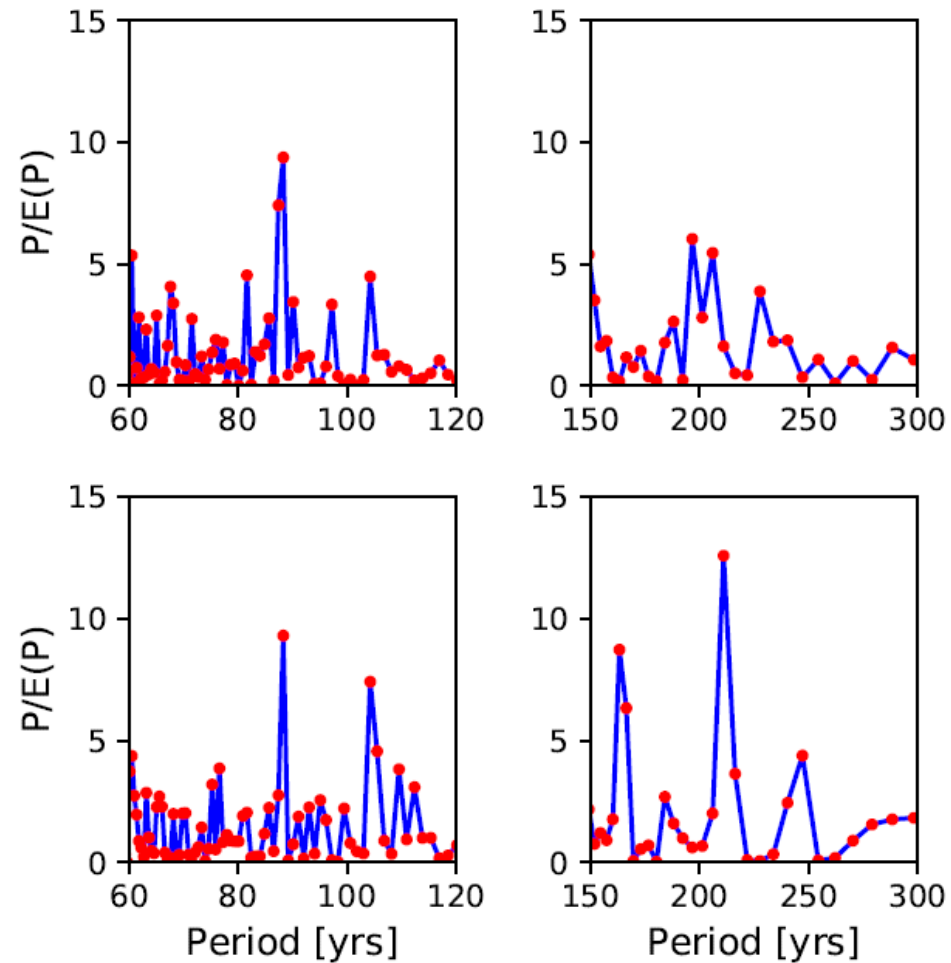


Probability of at least one  $3\sigma$  peak  
in 216 resolved frequency bins

→

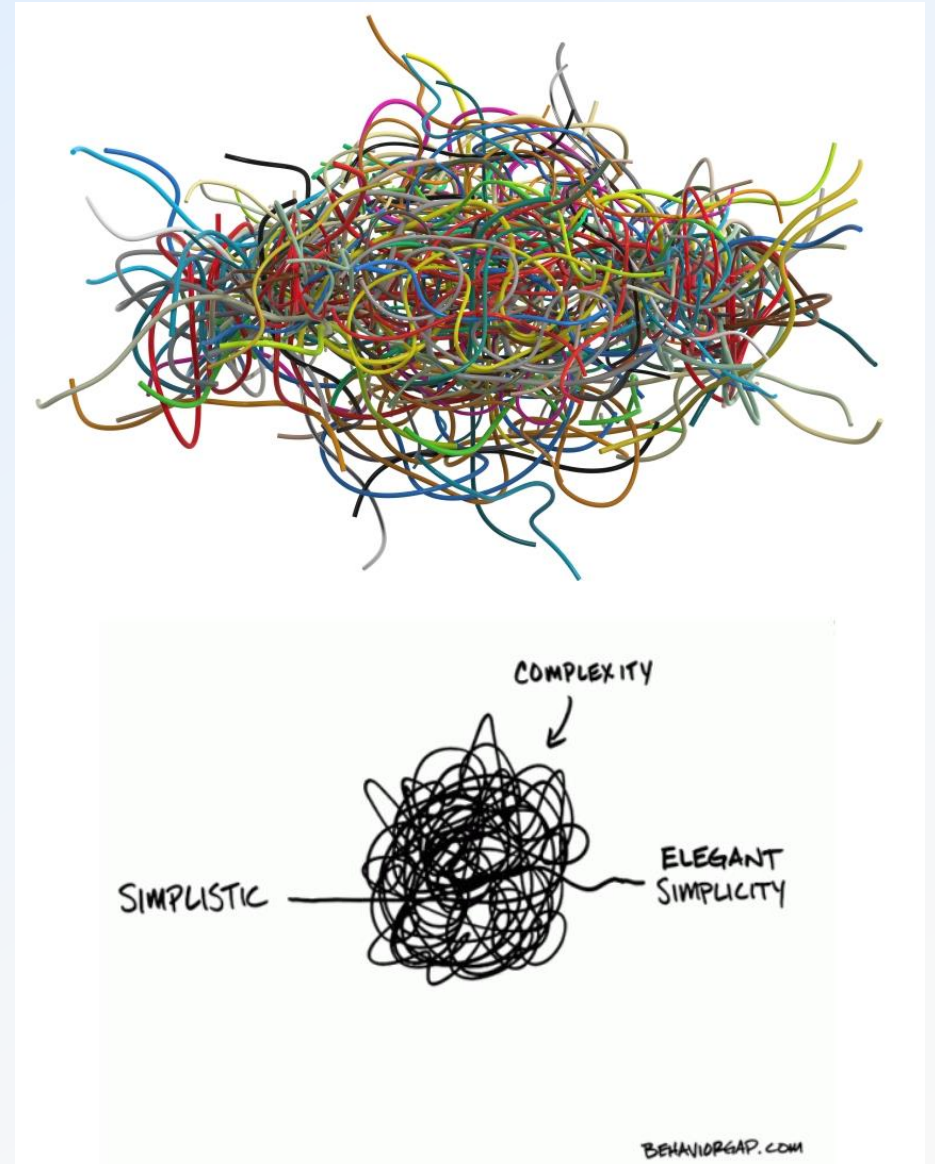
$$p = 1 - 0.99865^{216} = 0.253,$$

## Gleissberg &amp; de Vries peaks from cosmogenic isotopes



$3\sigma$  peaks from realizations  
of the noisy normal-form model

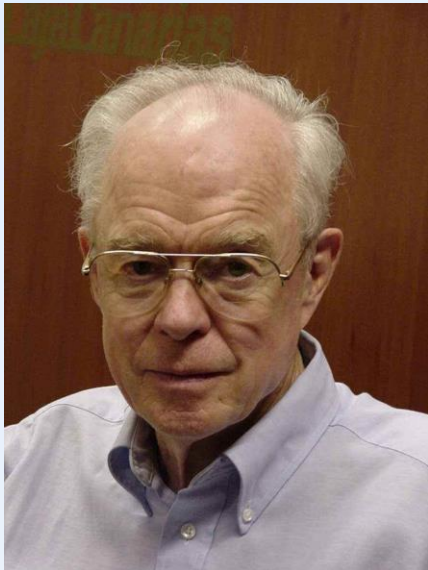
- Scarce observational information about convection zone and on the conditions in other stars...
- ... suggests wide range of approaches between
  - **back to the roots** (BL or even simpler)  
lumps unknown properties into a few parameters
  - ... as well as ...
  - **up to the treetop** (3D MHD)  
quantitative understanding of basic processes
- Cycle variability consistent with random fluctuations  
→ limited scope for predictions





***„Many suggestive models illuminate various aspects of the solar cycle; but details are frequently obscure and more comprehensive calculations have still to be completed.”***

***N. O. Weiss (1971)***



***„The shifting nuances of observation have many times in the past sunk a substantial theoretical ship, and the most likely explanation of today may be found washed up on the beach tomorrow.”***

***E. N. Parker (1989)***

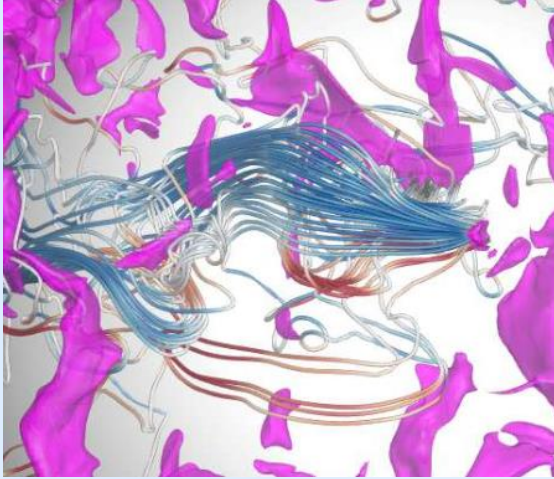




- turbulent magnetic diffusivity
- spatial structure and temporal variability of the meridional circulation
- large-scale convective patterns (the „convection conundrum“)
- strength of convective pumping
- maintenance of the tachocline within the convection zone
- why does the sun rotate solar-like
- why does flux emerge in the way it does
- how does small-scale dynamo action affect the large-scale dynamics
- size and properties of the overshoot/subadiabatic layer
- penetration of flows and field into the radiative zone

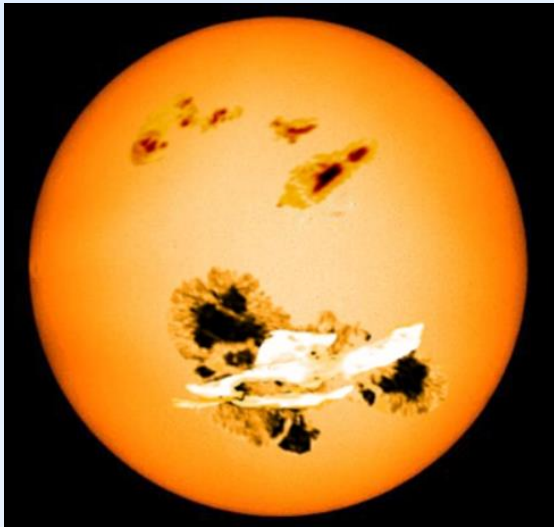


Chen et al. (2017)



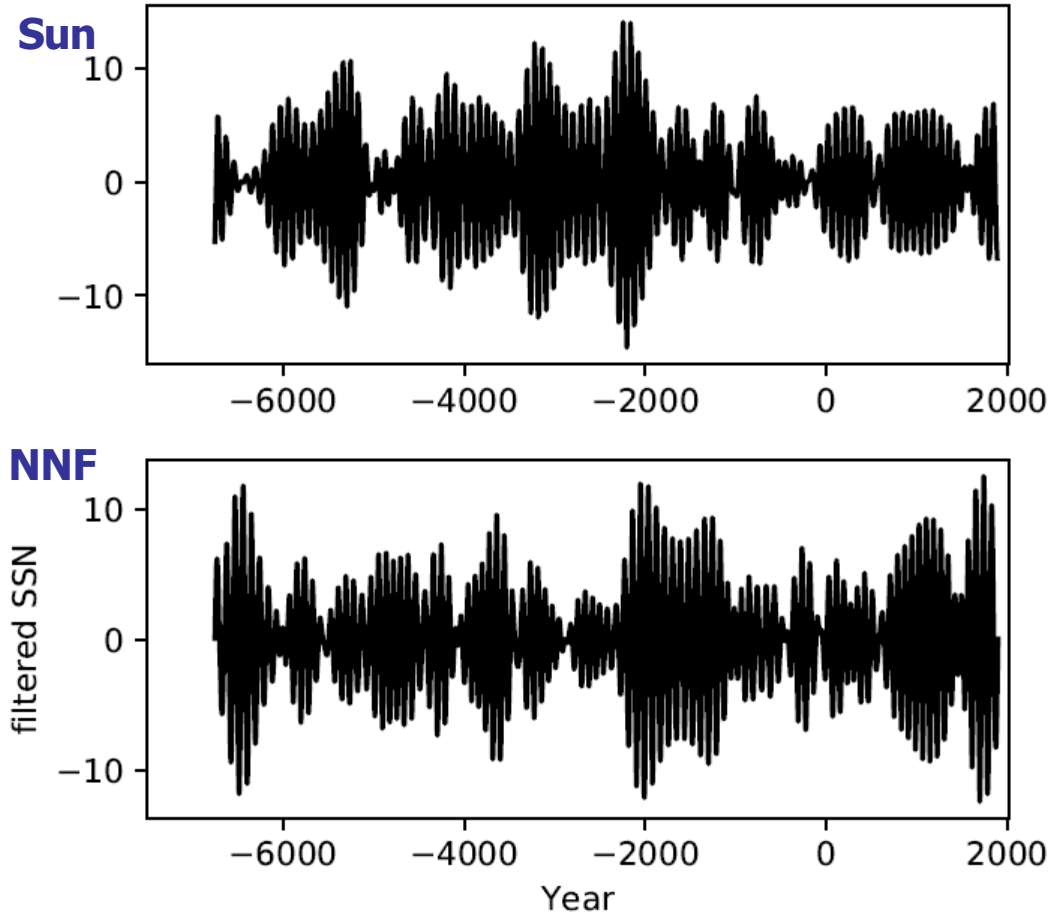
### Sun:

- flux emergence in tilted bipolar magnetic regions is crucial, determines of the excitation of the dynamo;
- the connection between the subsurface toroidal field and flux emergence seems to be highly **complex** and non-trivial.

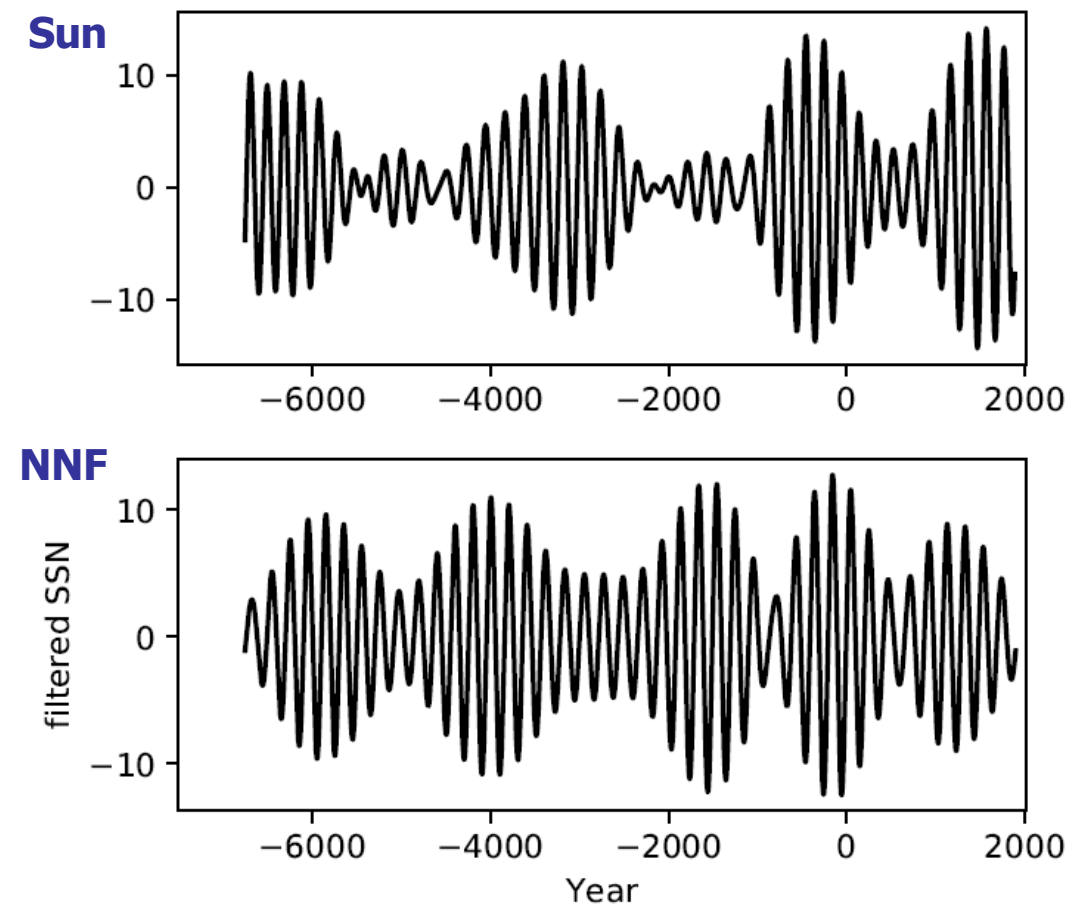


### Other magnetically active stars:

- internal differential rotation, convective flows, meridional flows, tilt angles...
- mostly unknown
  - estimates require quantitative theoretical understanding of the interaction of convection, rotation, and magnetic field (reliable simulations!)
  - **complexity!**



Gleissberg domain: 75 yr – 100 yr



De-Vries domain: 180 yr – 230 yr

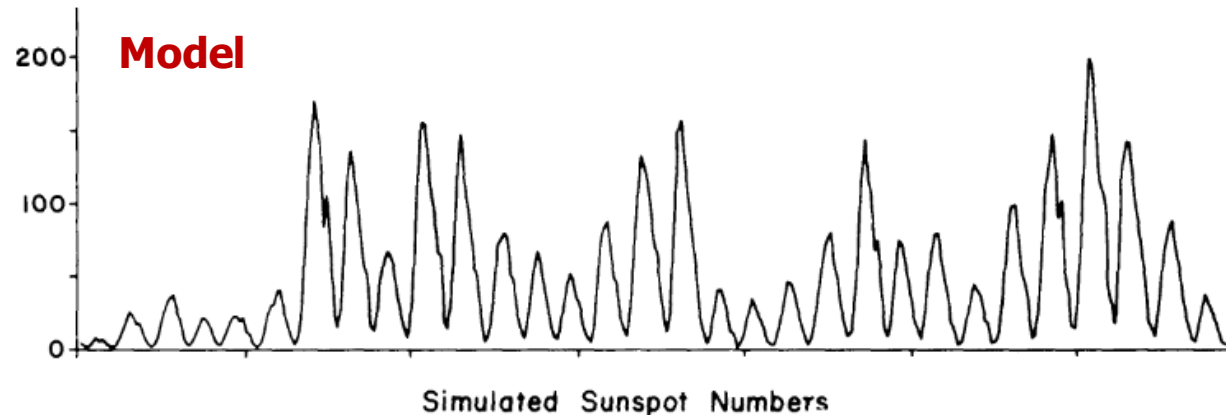
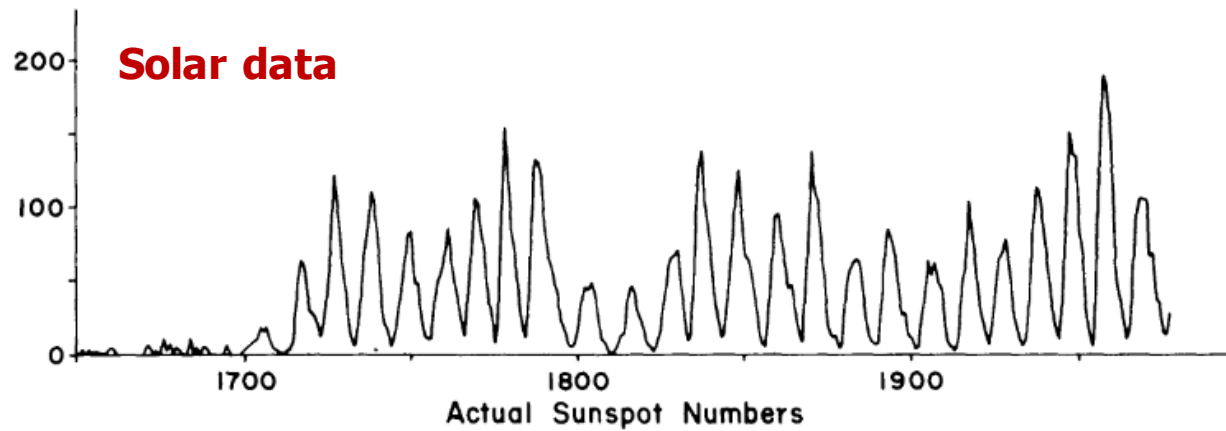


## Sunspot cycle simulation using random noise

J. A. Barnes<sup>1</sup>, H.H. Sargent III<sup>2</sup>, and P. V. Tryon<sup>1</sup>

<sup>1</sup>National Bureau of Standards, Boulder, Colorado 80303 and <sup>2</sup>National Atmospheric and Oceanic Administration, Boulder, Colorado 80303

„The ancient Sun”  
(eds. Pepin, Eddy  
& Merrill; 1980)

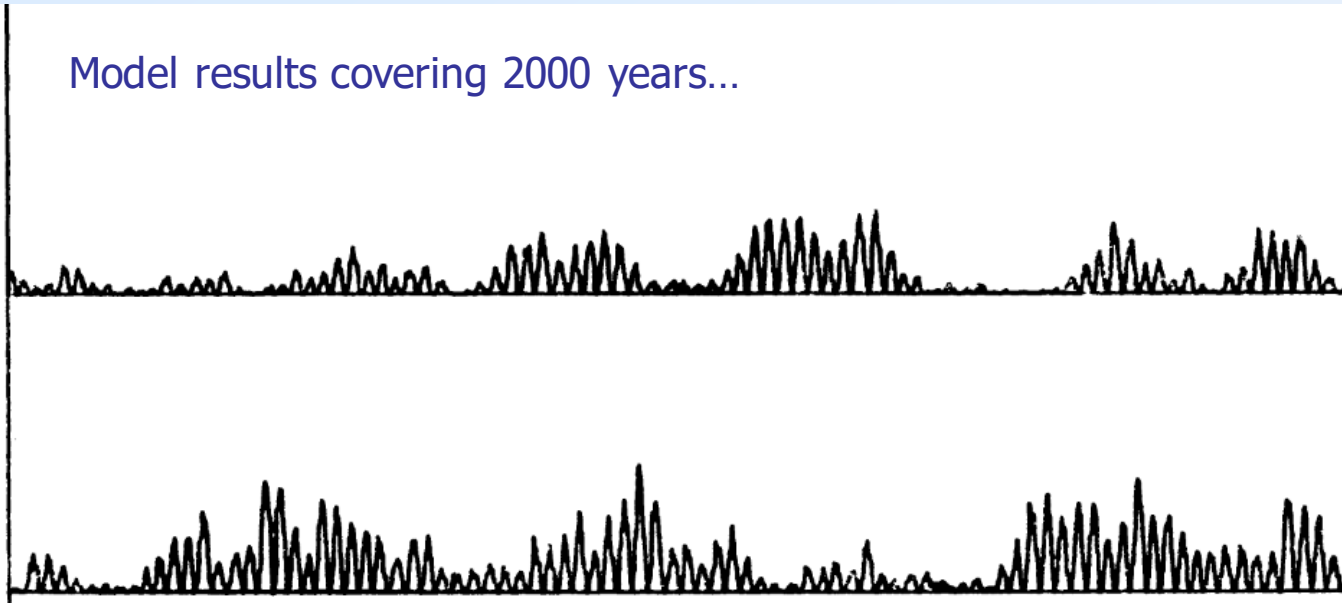


Barnes et al. (1980):

Auto-regressive moving-average (ARMA) model (iterative map)  
→ white noise filtered around 1/22 cyc/year with bandwidth 0.002 cyc/year

Long-term evolution:

Model results covering 2000 years...



The full code:

```

100 X = RND (-2) : A = 1.90693 : B = - .98751
110 C = .78512 : D = - .40662 : E = .4 : F = .03 : G = 0
130 FOR N = 1 TO 300
140 IF G = 1 THEN GOTO 180
150 X = RND (X) : Y = SQR ( - 2 * LOG (X))
160 X = RND (X) : K = Y * E * COS (6.28318 * X)
170 G = 1 : GOTO 190
180 G = 0 : K = Y * E * SIN (6.28318 * X)
190 H = A * I + B * J + K - C * L - D * M
200 M = L : L = K : S = I * I - J * J
210 T = H * H + F * S * S
220 PRINT T
230 J = I : I = H
240 NEXT N
250 STOP
    
```

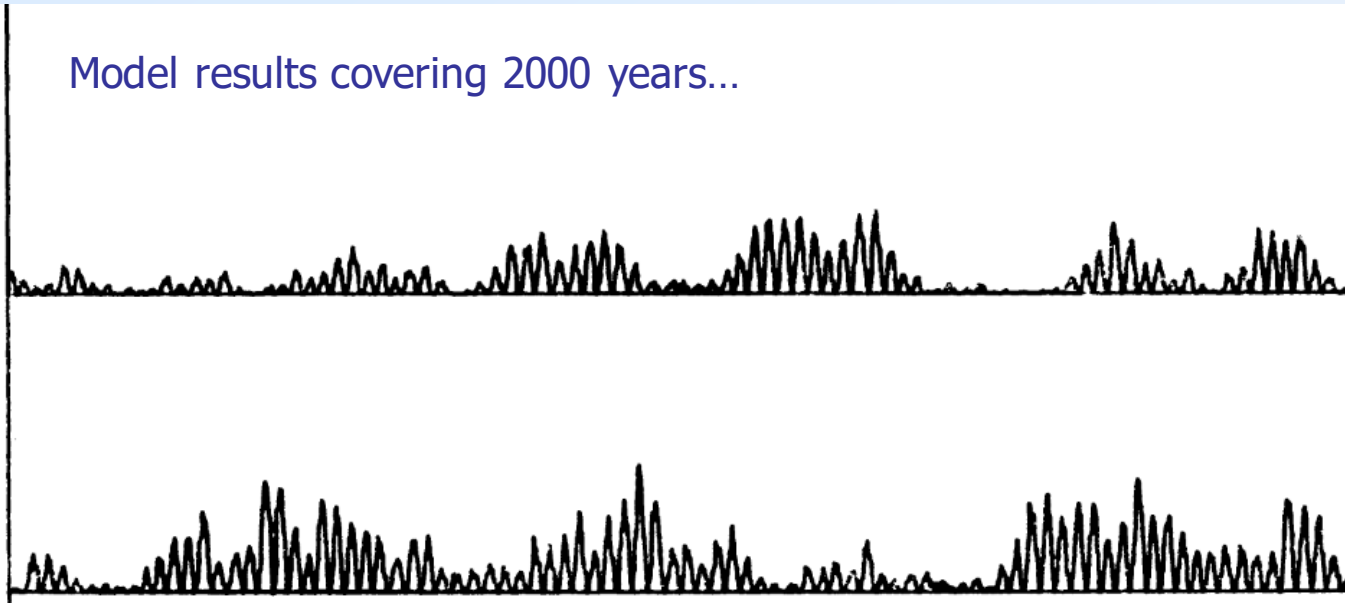
appropriately written in **BASIC**:  
**B**eginner's **A**ll-purpose **S**ymbolic **I**nstruction **C**ode

Barnes et al. (1980):

Auto-regressive moving-average (ARMA) model (iterative map)  
→ white noise filtered around  $1/22$  cyc/year with bandwidth 0.002 cyc/year

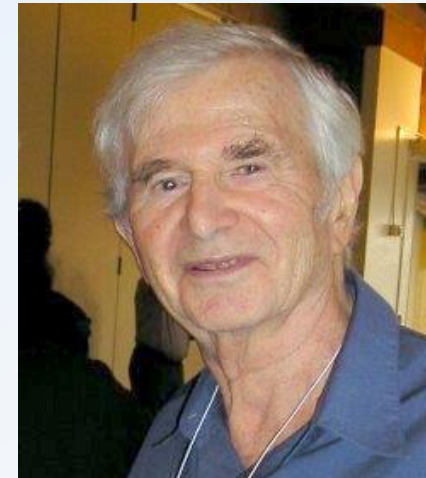
Long-term evolution:

Model results covering 2000 years...



Is there anything we could learn from such a 'model' ?

*"The purpose of models is not to fit the data but to sharpen the questions."*



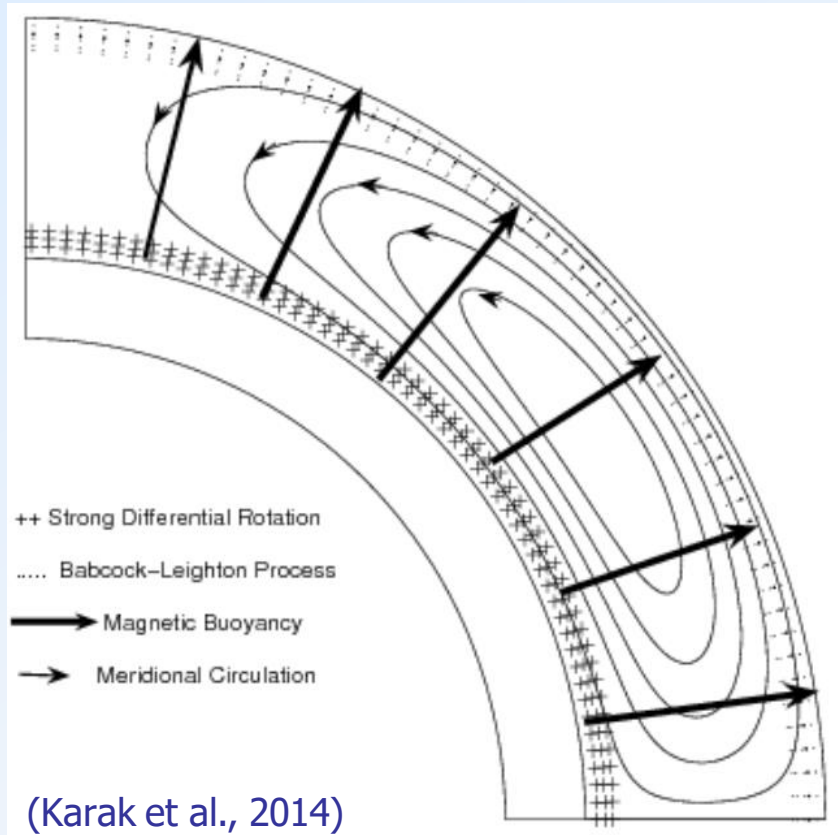
*Samuel Carlin*

**Does randomness cause the variability of the solar cycle?**

Poleward and downward transport of  
poloidal field by meridional circulation  
and/or turbulent diffusion and/or pumping ✓?

Toroidal field mainly generated by  
radial differential rotation in the  
tachocline ?

Toroidal field stored in a stable layer  
and transported equatorward by  
meridional circulation. ?



Poloidal field generated by a near-surface  
Babcock-Leighton process ✓

Flux tubes destabilize and rise buoyantly,  
are affected by the Coriolis force, and  
emerge. ?



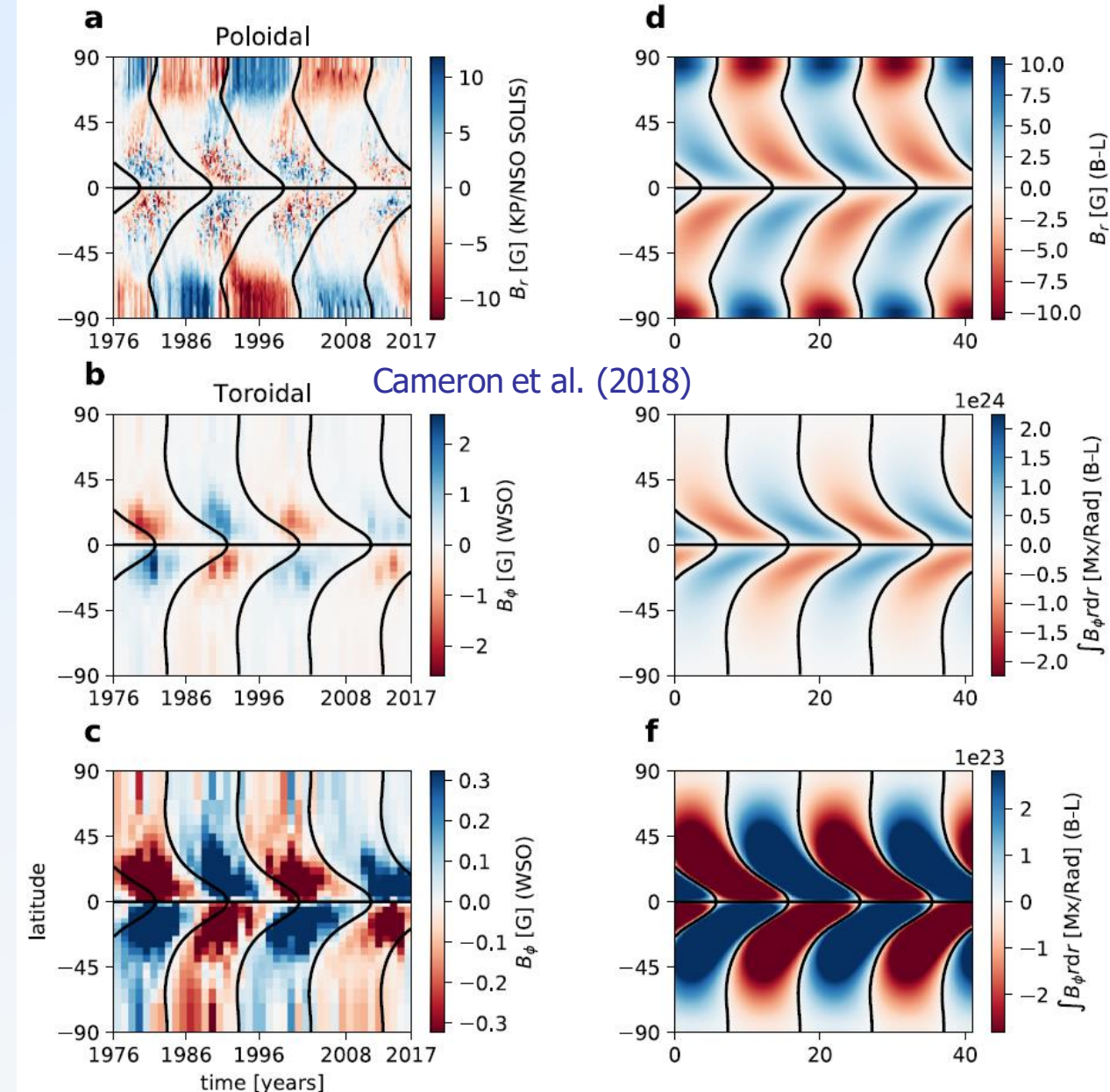
→ 3 parameters, constrained by comparison with observation

return flow speed:  $V_0 \approx 2 \dots 3 \text{ m/s}$

turbulent diffusivity:  $\eta_0 \approx 30 \dots 80 \text{ km}^2/\text{s}$

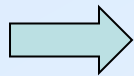
source strength:  $\alpha \approx 1 \dots 3 \text{ m/s}$

- solar-like solutions with reasonable parameter values (Cameron & S., 2017a)
- consistent with observed azimuthal surface field (Cameron et al., 2018)
- consistent with spectrum of long-term activity records (Cameron & S., 2017b)
- frequencies of N-S asymmetry (S. & Cameron, 2018)



## Q: What is the relevant poloidal flux for the solar dynamo?

Hale's polarity laws imply that bipolar magnetic regions result from a **large-scale toroidal field of fixed orientation** in each hemisphere during a cycle.



Need to consider the **net toroidal flux** in a hemisphere, determined from the azimuthally averaged induction equation:

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{U} \times \mathbf{B} + \langle \mathbf{u} \times \mathbf{b} \rangle - \eta \nabla \times \mathbf{B})$$

$\mathbf{B}(r, \theta)$ : azimuthally averaged magnetic field,

$\mathbf{U}(r, \theta)$ : azimuthally averaged velocity,

$\mathbf{u}, \mathbf{b}$  : fluctuations w.r.t. azimuthal averages,

$\eta$  : molecular diffusivity

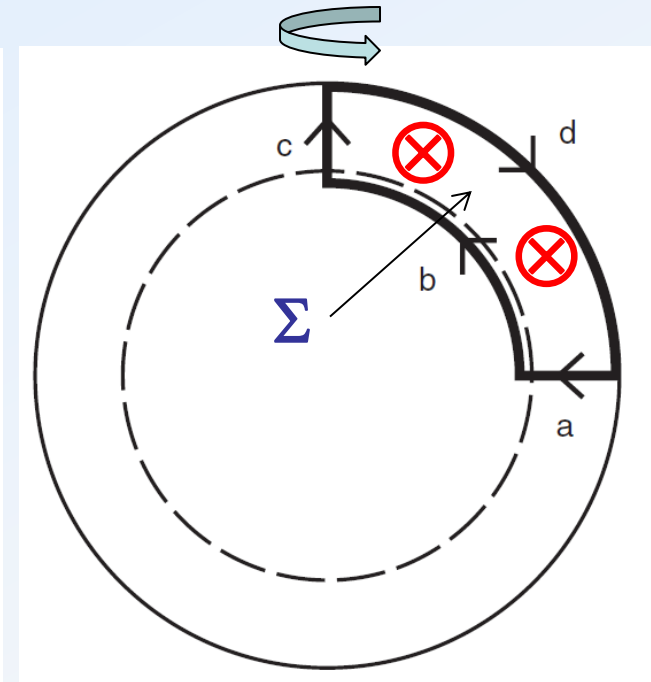
Determine toroidal flux in the northern hemisphere by integrating over a meridional surface  $\Sigma$  and applying **Stokes' theorem**:

$$\begin{aligned}\frac{d\Phi_{\text{tor}}^N}{dt} &= \frac{d}{dt} \left( \int_{\Sigma} B_{\phi} dS \right) \\ &= \int_{\delta\Sigma} \left( \mathbf{U} \times \mathbf{B} + \langle \mathbf{u} \times \mathbf{b} \rangle - \eta \nabla \times \mathbf{B} \right) \cdot d\mathbf{l}\end{aligned}$$

Rotation dominates:  $U = U_{\phi} \hat{\phi} = (\Omega r \sin\theta) \hat{\phi}$

$\langle \mathbf{u} \times \mathbf{b} \rangle$  reduces to „turbulent“ diffusivity,  $\eta_t$

$$\frac{d\Phi_{\text{tor}}^N}{dt} = \int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B} - \eta_t \nabla \times \mathbf{B}) \cdot d\mathbf{l}$$

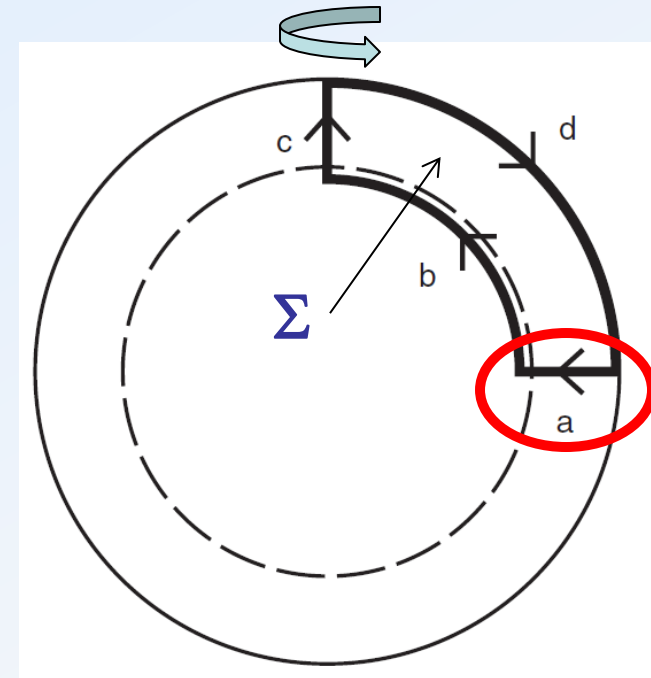
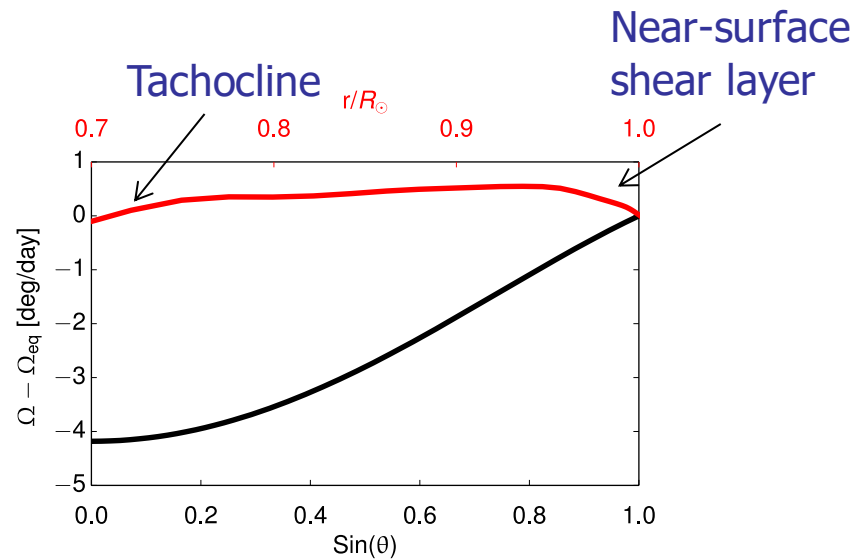


Meridional cut

Consider  $\int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B}) \cdot d\mathbf{l}$

**Part a:**  $\Omega$  almost independent of  $r$  in the equatorial plane:  $\Omega(r, \pi/2) = \Omega_{\text{eq}}$

Move in a frame rotating with  $\Omega_{\text{eq}}$   
 $\rightarrow$  no contribution



Meridional cut

Cameron & S. (2015)



Consider  $\int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B}) \cdot d\mathbf{l}$

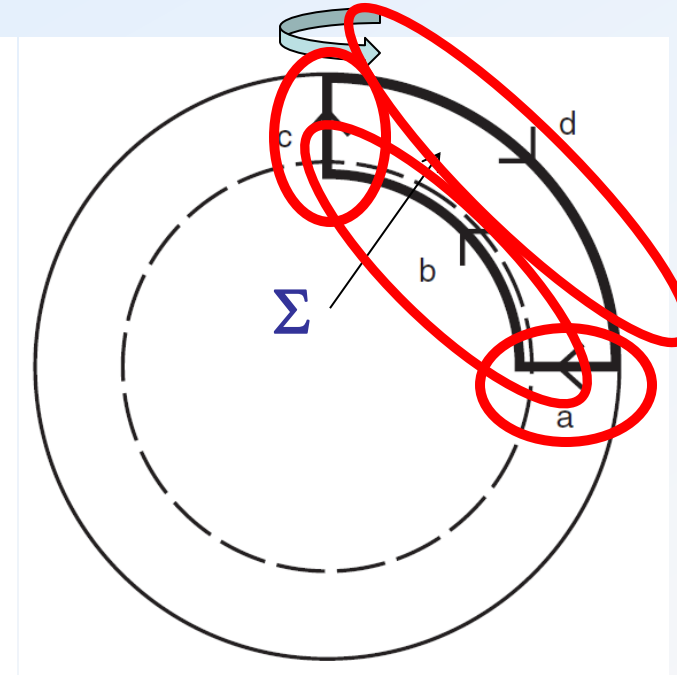
**Part a:**  $\Omega$  almost independent of  $r$  in the equatorial plane:  $\Omega(r, \pi/2) = \Omega_{\text{eq}}$

Move in a frame rotating with  $\Omega_{\text{eq}}$   
 $\rightarrow$  no contribution

**Part b:** below convection zone,  $B=0$   
 $\rightarrow$  no contribution

**Part c:** along the axis,  $B=U=0$   
 $\rightarrow$  no contribution

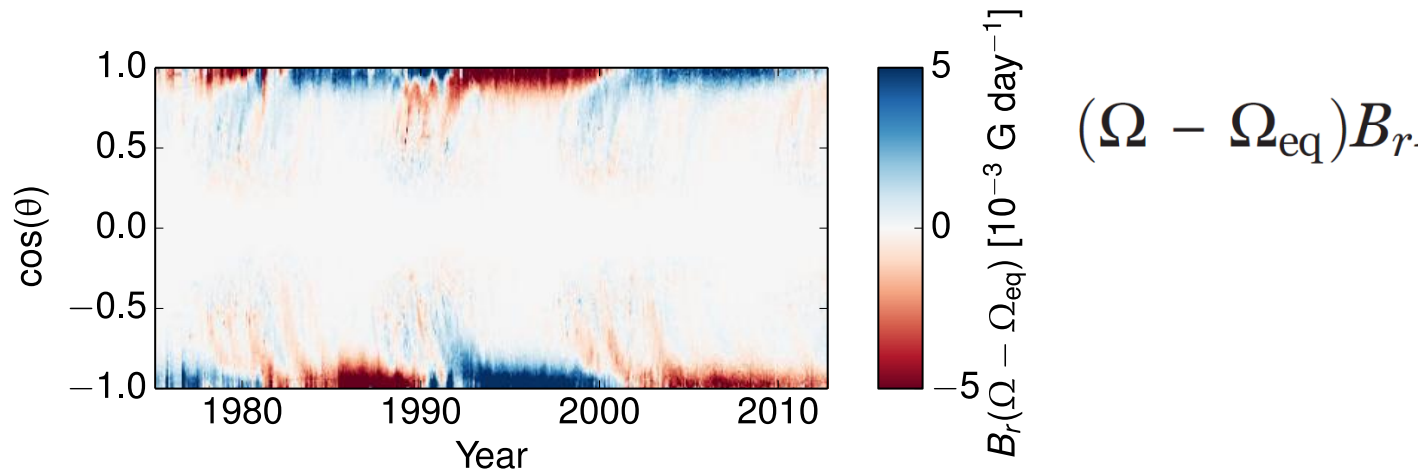
**Part d:** the surface part of the integration provides the only significant contribution



Meridional cut

Quantitative evaluation: use Kitt Peak synoptic magnetograms (1975-) and the observed surface differential rotation

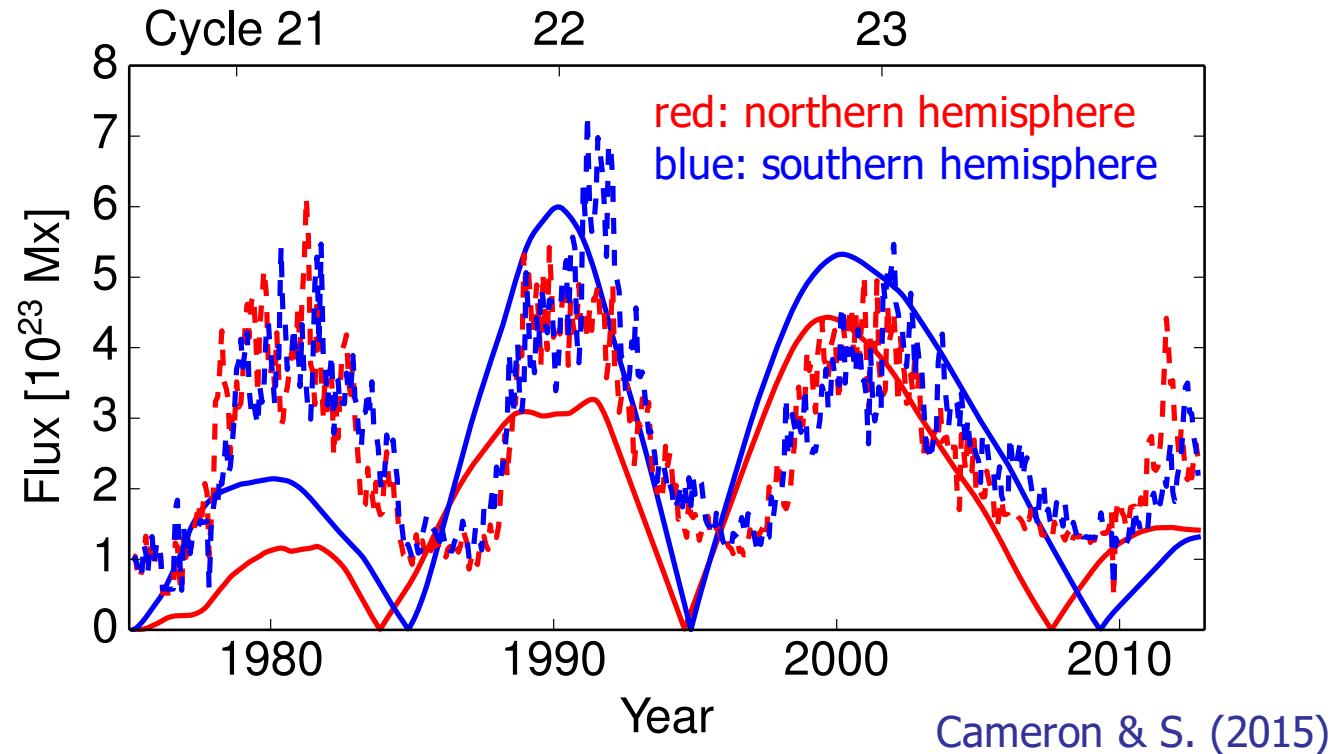
$$\int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B}) \cdot d\mathbf{l} = \int_{\pi/2}^0 U_{\phi} B_r R_{\odot} d\theta = \int_1^0 (\Omega - \Omega_{\text{eq}}) B_r R_{\odot}^2 d(\cos \theta),$$



The integrand is dominated by the contribution from the polar fields.

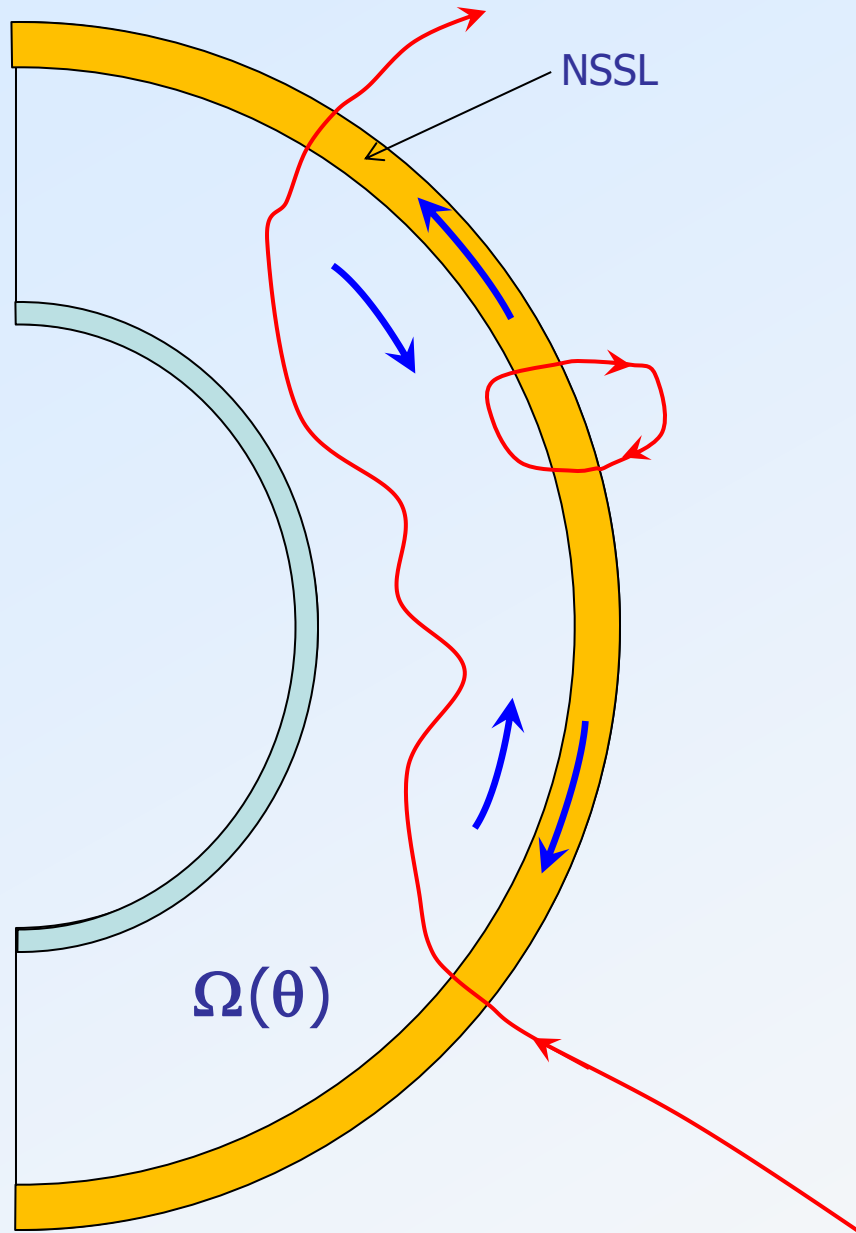
Time integration of

$$\frac{d\Phi_{\text{tor}}^N}{dt} = \int_0^1 (\Omega - \Omega_{\text{eq}}) B_r R_{\odot}^2 d(\cos\theta)$$



solid: modulus of the net toroidal flux

dashed: total unsigned surface flux,  $\Phi_U = \int |B_r| dA$  (KPNO synoptic magnetograms)



An update of the model (Cameron & S., 2017, A&A) takes into account information not available to B&L:

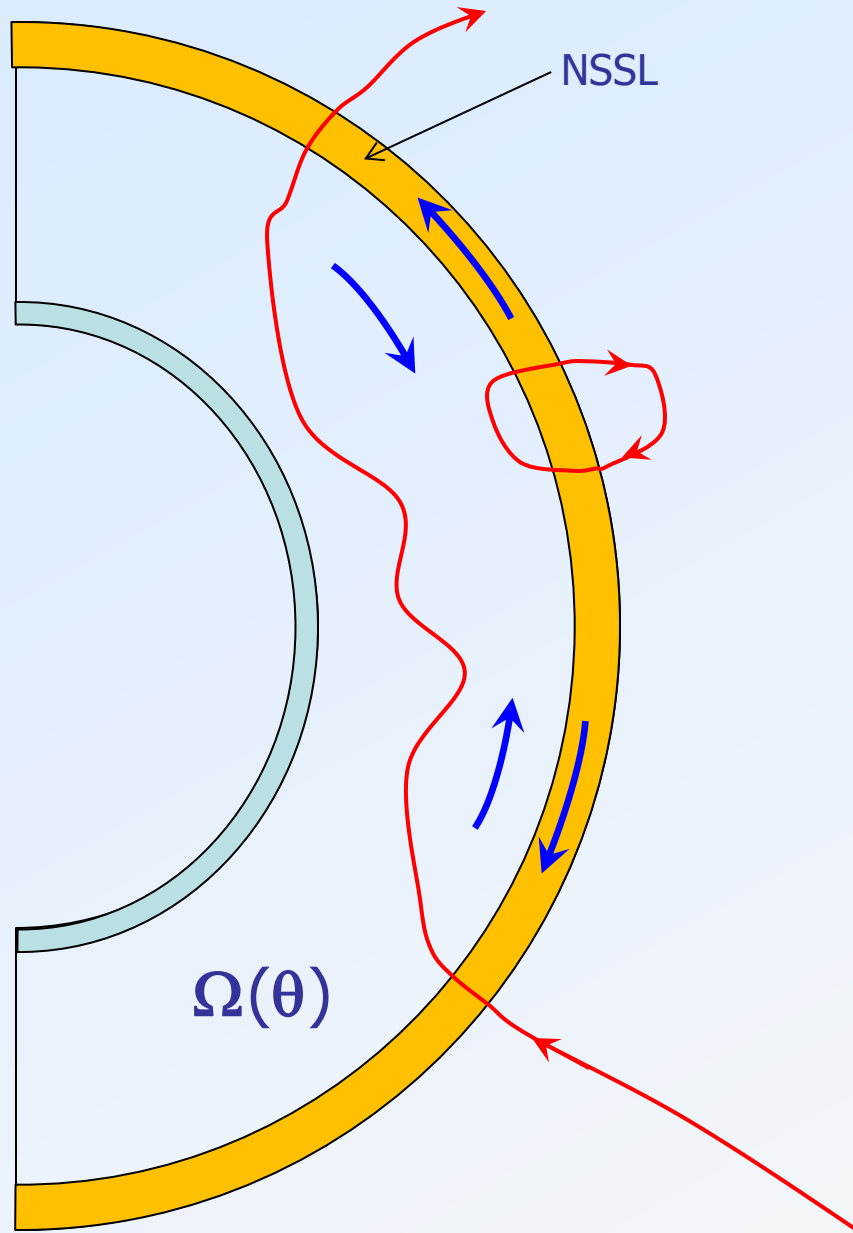
- differential rotation in the convection zone
- near-surface shear layer
- meridional flow
- (turbulent) magnetic diffusivity affecting  $B_{\text{tor}}$
- convective pumping
- randomness in flux emergence

Consider radially integrated toroidal flux and radial surface field

⇒ parameter space significantly reduced to basically three parameters:

- turbulent diffusivity
- poloidal source strength
- speed of meridional return flow





## Parameter values strongly constrained by observation:

- Dynamo period:  $\sim 22$  years
- Phase difference between maxima of flux emergence (activity) and polar fields :  $\sim 90$  deg
- Weak excitation: dipole mode excited, quadrupole mode decaying

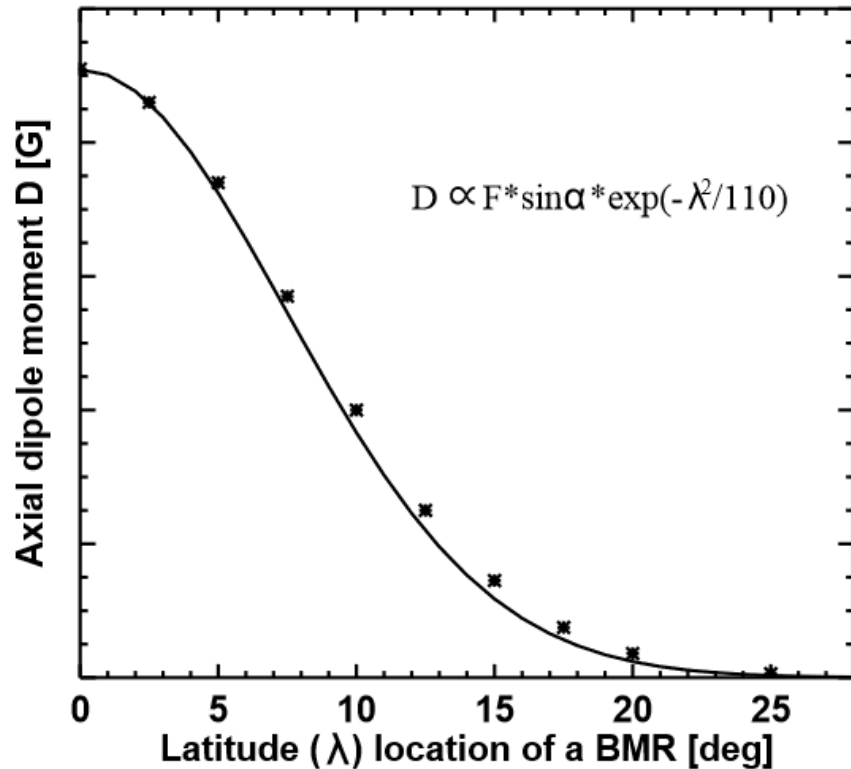
## → Constraints:

return flow speed:  $V_0 \approx 2 \dots 3 \text{ m/s}$

turbulent diffusivity:  $\eta_0 \approx 30 \dots 80 \text{ km}^2/\text{s}$

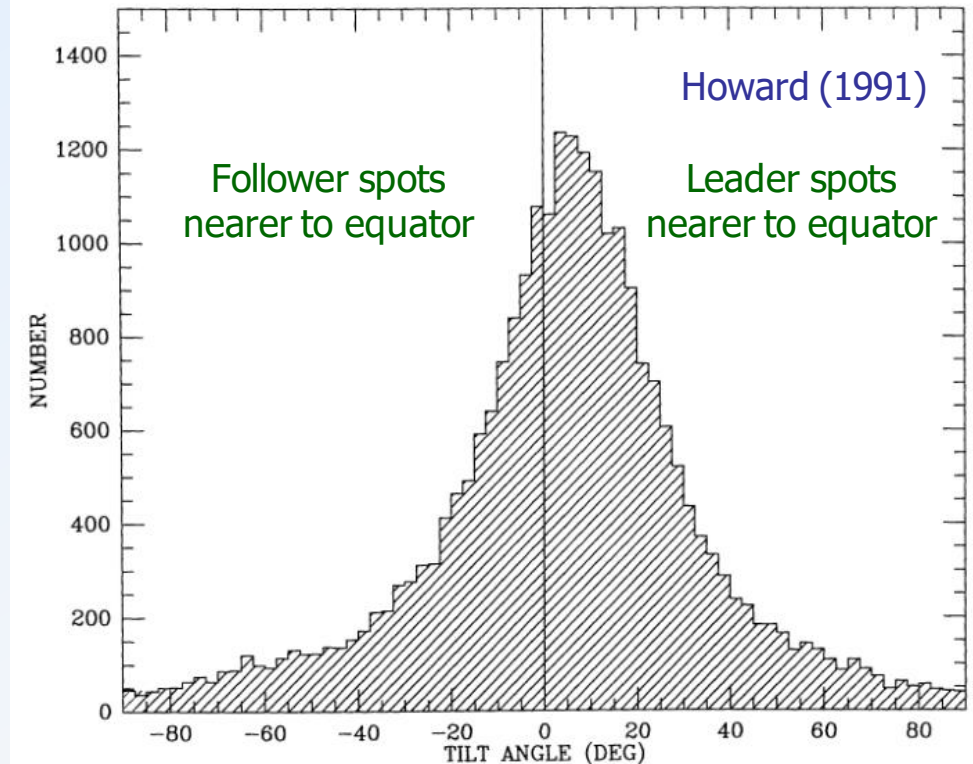
source strength:  $\alpha \approx 1 \dots 3 \text{ m/s}$

Jiang et al. (2014)



Contribution of bipolar magnetic regions with a flux of  $6 \times 10^{21}$  Mx to the axial dipole moment around solar minimum as a function of emergence latitude

The dipole moment around solar minimum – and thus the strength of the next activity cycle – is most strongly affected by the relatively small number of near-equator bipolar magnetic regions.

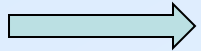


Histogram of sunspot group tilt angles (Mt. Wilson, 1917 – 1985)

Substantial **scatter** of sunspot group tilt angles

before 1960

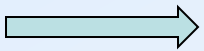
- 11-year cycle
- surface differential rotation
- equatorward migration of the activity belts
- polarity rules & tilt angles of sunspot groups
- global dipole field & reversals



Parker loop (1955), Babcock scenario (1961), Leighton model (1964/1969), Mean-field electrodynamics & „turbulent dynamos“ (1960s onward)

1980s...

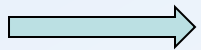
- poleward surface meridional flow
- internal differential rotation, tachocline
- long-term synoptic maps of the surface field



Surface flux transport simulations (Wang & Sheeley, ...)  
Flux transport dynamo models, Babcock-Leighton revival

1990s...today

- time-dependent deep zonal flows
- flows associated with active regions (e.g., near-surface inflows)
- flows connected to flux emergence
- deep meridional flow



Spherical 3D MHD comprehensive simulations

- dynamo effect of magnetic instabilities („dynamic dynamo“)
- fast and slow dynamos (growth rate finite as  $R_m \rightarrow \infty$  ?)
- conservation of magnetic helicity
- stochastic fluctuations of the dynamo coefficients
- nonlinear dynamics, chaos and intermittency → grand minima ?
- (partial) recovery of mean-field models:  
consistent combination of the generation of differential rotation („ $\Lambda$ -effect“) and magnetic field (Kitchatinov, Rüdiger, et al.)
- idealized box simulations show dynamo action  
for helical/non-helical as well as turbulent/laminar flows
- small-scale dynamo action at low magnetic Prandtl number,  $R_m/Re$  ?
- direct simulations in spherical shells (Brun et al.) greatly improved,  
but still no solar-like large-scale fields  
(compare with the success of realistic simulations of surface magneto-convection)



$$p_i = p_e - \frac{B^2}{8\pi} \quad \& \quad T_i = T_e \quad \Rightarrow \quad \rho_i < \rho_e$$

→ (magnetic) buoyancy

Example: Horizontal flux tube



$$\text{Density difference : } \frac{\Delta\rho}{\rho_i} = \frac{(\rho_i - \rho_e)}{\rho_i} = -\frac{B^2}{8\pi p_i} = -\frac{1}{\beta}$$

$$\text{Buoyancy force : } F_B = -\Delta\rho g = \frac{B^2}{8\pi H_p}$$

( $T_i = T_e$ ;  $H_p = \mathcal{R}T/\mu g \simeq 6 \cdot 10^4$  km; pressure scale height)

Balance by aerodyn. drag force:  $F_D = (C_D/\pi a) \rho_e v_{\perp}^2$

$$F_D = F_B \rightarrow v_{\perp} \simeq v_A \left( \frac{a}{H_p} \right)^{1/2} \simeq 30 \text{ m/s}$$

$v_A = B/\sqrt{4\pi\rho_e} \simeq 100 \text{ m/s}$  (Alfvén velocity)

$a \simeq 6 \cdot 10^3 \text{ km}$  ( $\Phi_{\text{mag}} = 10^{22} \text{ Mx}$ ,  $B = 10^4 \text{ G}$ )

⇒ Rises through the whole convection zone in 2 months

[Cameron & S., 2017]

## Why update an ancient model in the era of Flux Transport Dynamos & 3D simulations?

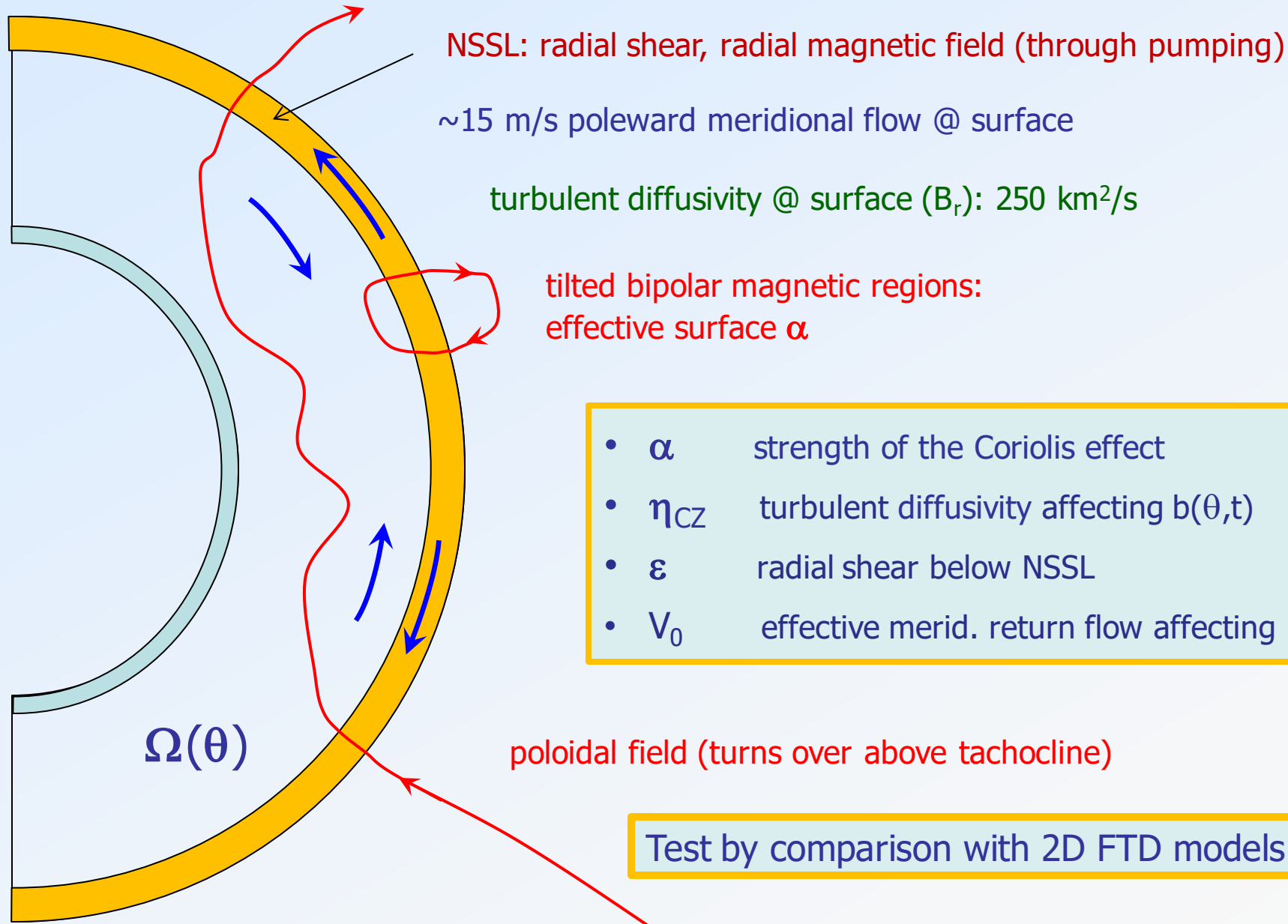
- The structure of convection, magnetic field, and meridional circulation in the convection zone is unknown:  
FTD models require extensive (arbitrary) parametrization  
and 3D MHD models probably run in the wrong physical regime  
→ a fully realistic dynamo model is not possible at the moment
- The BL model captures the essential physical processes  
and can be based as far as possible on observations.  
Unknown conditions are condensed in a few free parameters.
- Long time series (thousands of cycles) and extended parameter studies can be carried out easily.

## Leighton's model (1969):

- two-layer model: surface  $\langle B_r \rangle$  and radially averaged near-surface  $\langle B_\phi \rangle$
- turbulent diffusion (random walk) of surface field
- latitudinal differential rotation and near-surface shear layer
- flux eruption in tilted bipolar magnetic regions serves as nonlinearity and source of poloidal field

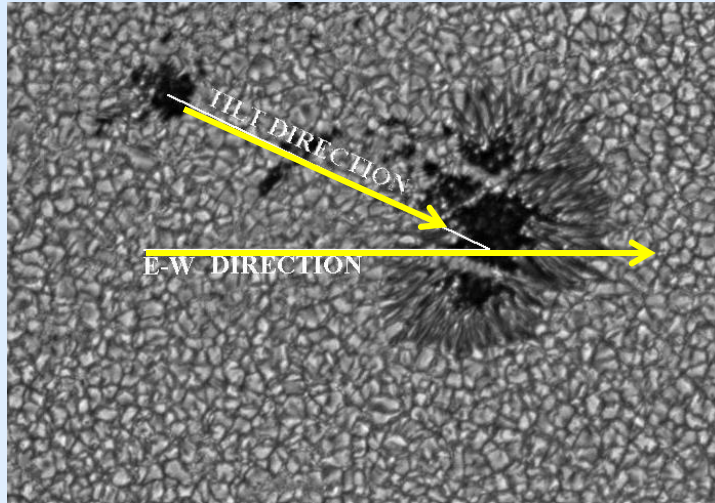
## Update Leighton's model taking into account:

- surface  $\langle B_r \rangle$  and radially integrated toroidal flux (per unit latitude)
- poleward meridional flow at the surface
- equatorward return flow *somewhere* in the convection zone
- radial differential rotation in the near-surface shear layer (NSSL)
- dominant latitudinal differential rotation below the NSSL
- downward convective pumping of horizontal field in NSSL
- turbulent diffusion also for  $\langle B_\phi \rangle$

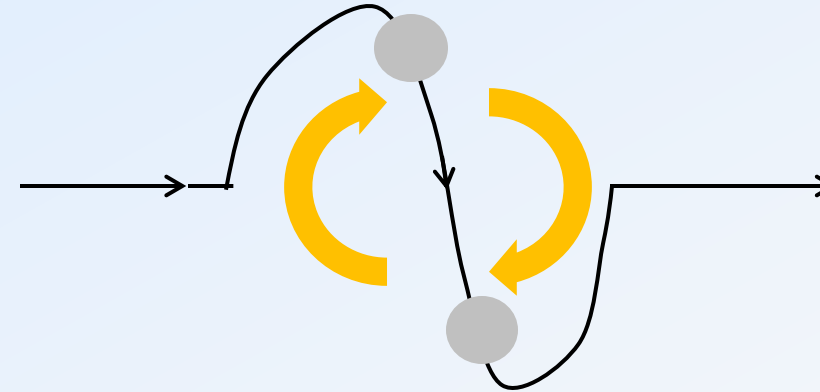


Solar rotation

Consistent with the Coriolis effect on rising & expanding loops of magnetic flux

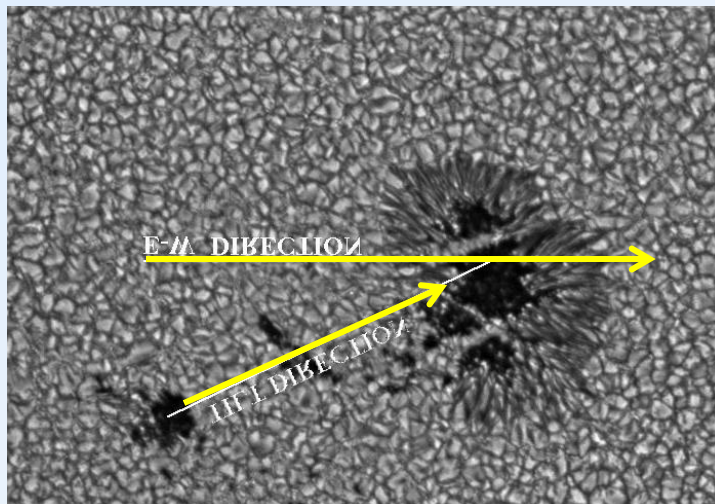


Northern hemisphere

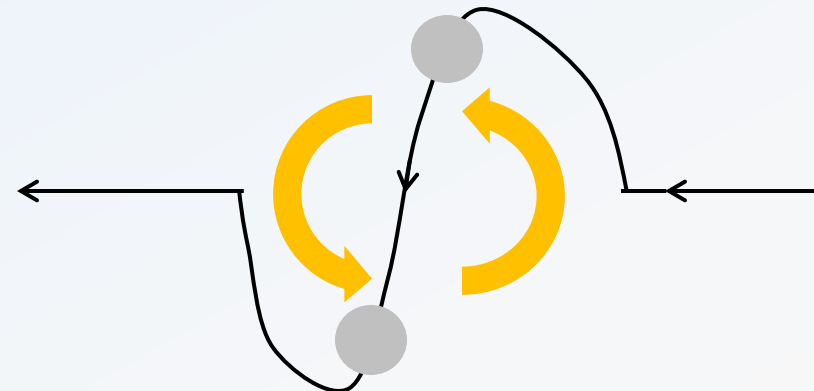


Equator

Solar rotation



Southern hemisphere





$$\frac{\partial B_\phi}{\partial t} = \frac{1}{r} \left\{ \frac{\partial [r(U_\phi B_r - U_r B_\phi)]}{\partial r} + \frac{\partial (U_\phi B_\theta - U_\theta B_\phi)}{\partial \theta} \right. \\ \left. + \frac{\partial}{\partial r} \left[ \eta \frac{\partial(r B_\phi)}{\partial r} \right] + \frac{\partial}{\partial \theta} \left[ \frac{\eta}{r \sin \theta} \frac{\partial(B_\phi \sin \theta)}{\partial \theta} \right] \right\}.$$

$$\frac{\partial B_{r,R_\odot}}{\partial t} = -\frac{1}{R_\odot \sin \theta} \frac{\partial}{\partial \theta} (U_{\theta,R_\odot} B_{r,R_\odot} \sin \theta) \\ + \frac{\eta_{R_\odot}}{R_\odot^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial B_{r,R_\odot}}{\partial \theta} \right) + S(\theta, t)$$



$$b(\theta, t) = \int_{R_b}^{R_\odot} B_\phi r dr.$$



$$a(\theta, t) = \frac{1}{\sin \theta} \int_0^\theta \sin \theta R_\odot^2 B_{r,R_\odot} d\theta,$$

Differential rotation



$$\frac{\partial b}{\partial t} = \frac{\partial a \sin \theta}{\partial \theta} \epsilon (\Omega_{R_\odot} - \Omega_{R_{NSSL}}) - \left( \frac{\partial \Omega_{R_{NSSL}}}{\partial \theta} \right) a \sin \theta \\ - \frac{1}{R_\odot} \frac{\partial (V_0 \sin(2\theta) b)}{\partial \theta} + \frac{\eta_0}{R_\odot^2} \frac{\partial}{\partial \theta} \left[ \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} (\sin(\theta) b) \right]$$



Meridional return flow



Turbulent diffusion

$$\frac{\partial B_\phi}{\partial t} = \frac{1}{r} \left\{ \frac{\partial [r(U_\phi B_r - U_r B_\phi)]}{\partial r} + \frac{\partial (U_\phi B_\theta - U_\theta B_\phi)}{\partial \theta} \right. \\ \left. + \frac{\partial}{\partial r} \left[ \eta \frac{\partial (r B_\phi)}{\partial r} \right] + \frac{\partial}{\partial \theta} \left[ \frac{\eta}{r \sin \theta} \frac{\partial (B_\phi \sin \theta)}{\partial \theta} \right] \right\}.$$

$$\frac{\partial B_{r,R_\odot}}{\partial t} = -\frac{1}{R_\odot \sin \theta} \frac{\partial}{\partial \theta} (U_{\theta,R_\odot} B_{r,R_\odot} \sin \theta) \\ + \frac{\eta_{R_\odot}}{R_\odot^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial B_{r,R_\odot}}{\partial \theta} \right) + S(\theta, t)$$



$$b(\theta, t) = \int_{R_b}^{R_\odot} B_\phi r dr.$$



$$a(\theta, t) = \frac{1}{\sin \theta} \int_0^\theta \sin \theta R_\odot^2 B_{r,R_\odot} d\theta,$$

Meridional flow



$$\frac{\partial a}{\partial t} = -\frac{U_0 \sin(2\theta)}{R_\odot \sin \theta} \frac{\partial (a \sin \theta)}{\partial \theta} \\ + \frac{\eta_{R_\odot}}{R_\odot^2} \frac{\partial}{\partial \theta} \left( \frac{1}{\sin \theta} \frac{\partial (a \sin \theta)}{\partial \theta} \right) + \alpha \cos \theta \sin \theta b(\theta, t)$$

No artificial restriction  
of flux emergence  
to low latitudes!



Turbulent diffusion



Tilted bipolar magnetic regions

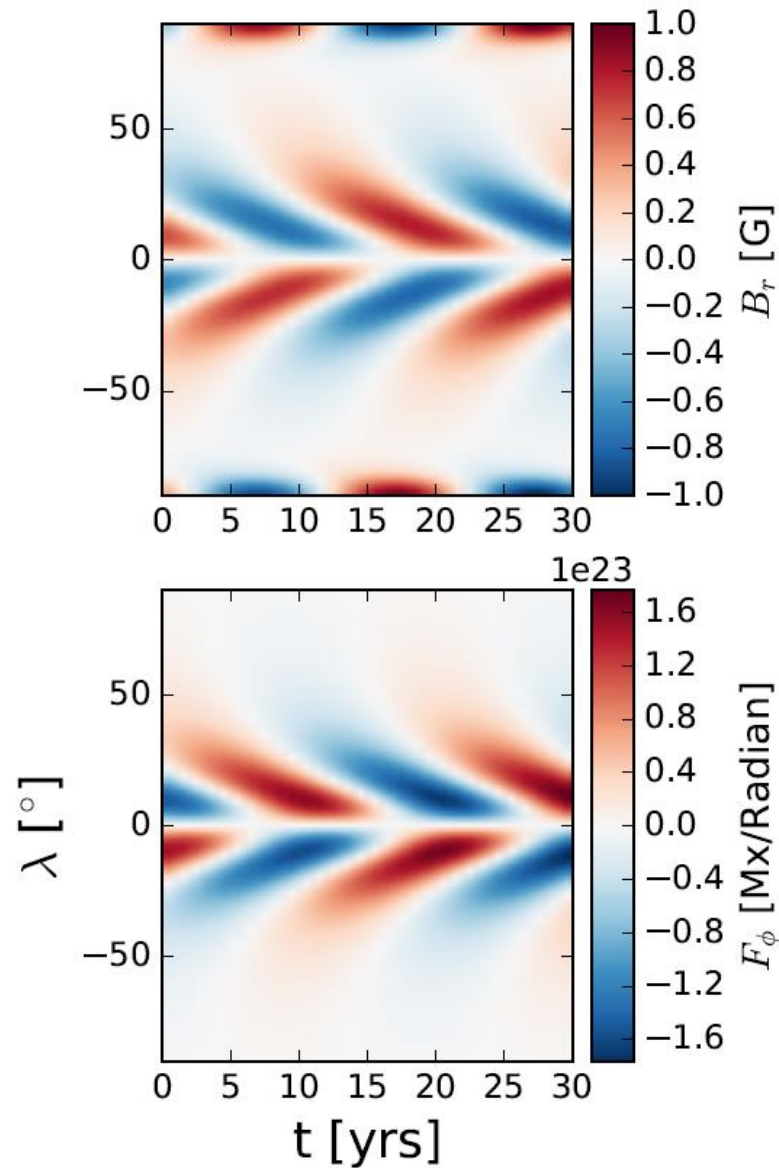
Parameters:

$$\eta_{\text{CZ}} = 80 \text{ km}^2/\text{s}$$

$$\alpha = 1.4 \text{ m/s}$$

$$\varepsilon = 1.$$

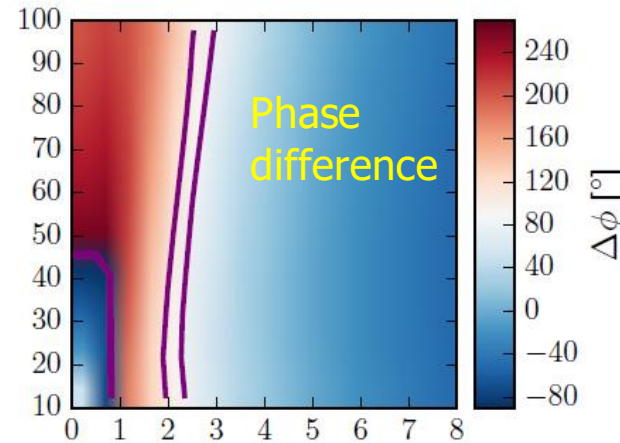
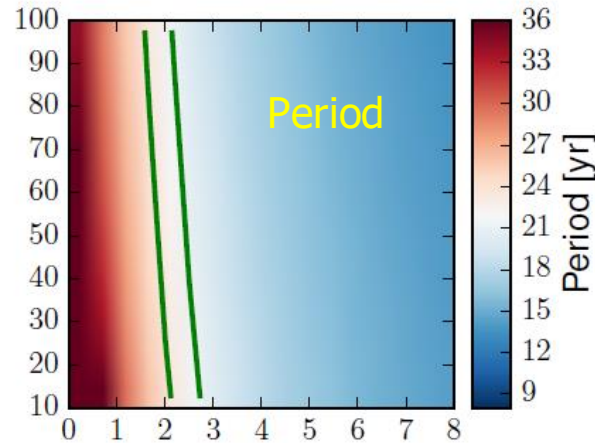
$$V_0 = 2.5 \text{ m/s}$$



**Radial field  $B_r(\theta, t)$   
@ surface**

**Toroidal flux  $b(\theta, t)$**

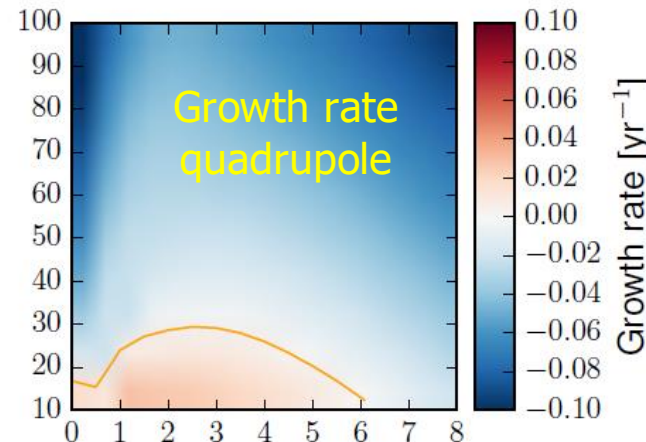
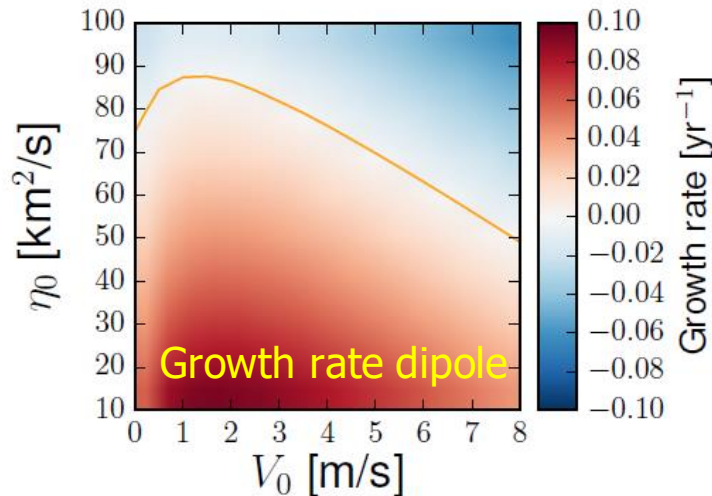
(Cameron & S., 2017, A&A)



— period between  
21 and 23 years

$\alpha = 1.4 \text{ m/s}$   
 $\varepsilon = 1.$

— phase diff. between  
80° and 100°



## Requirements:

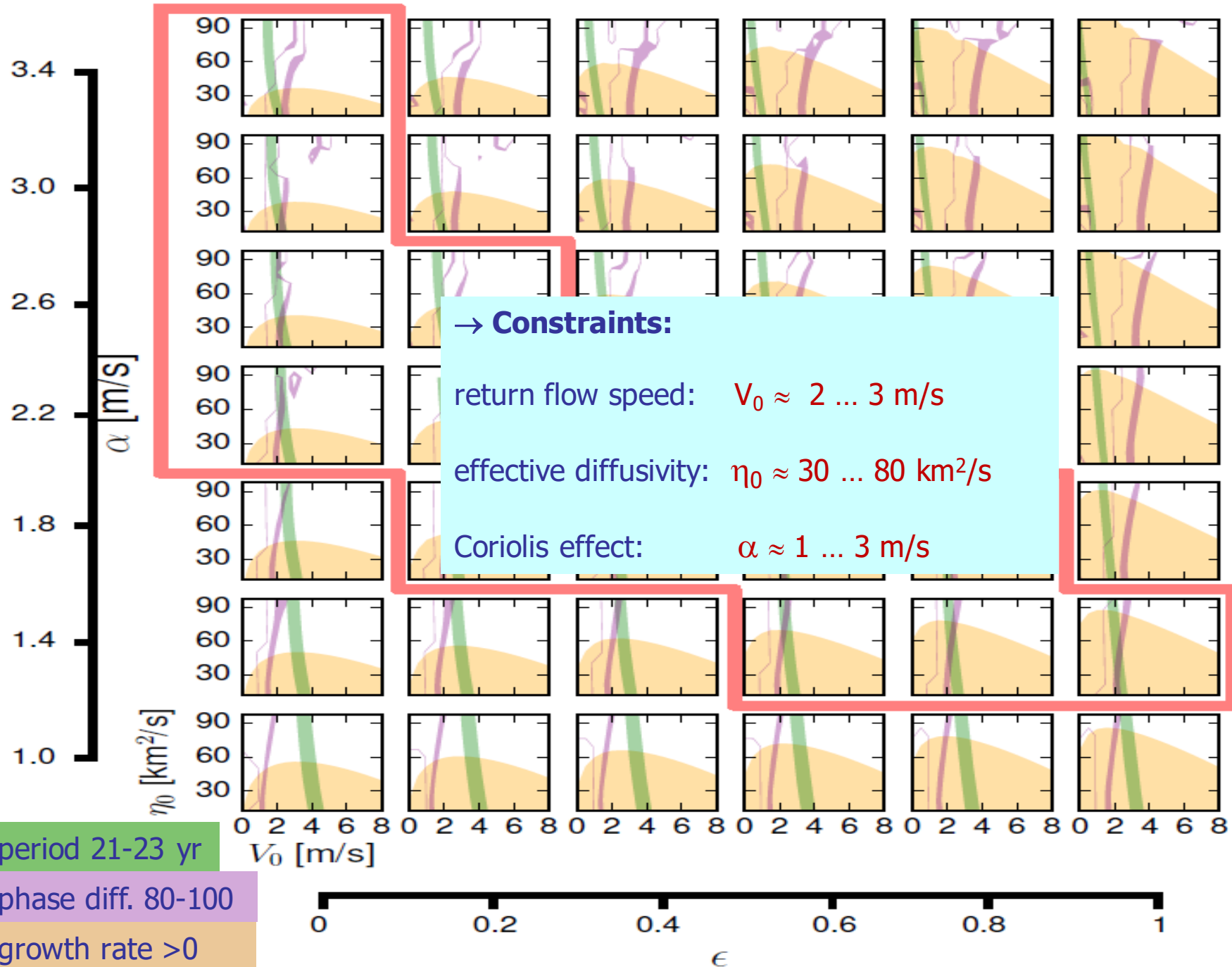
- Period should be  $\sim 22$  years
- Phase difference between maxima of flux emergence (activity) and polar fields should be  $\sim 90$  deg
- Dipole mode should be excited, quadrupole mode should be decaying

## → Constraints:

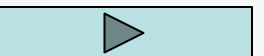
return flow speed:  $V_0 \approx 2 \dots 3 \text{ m/s}$

effective diffusivity:  $\eta_0 \approx 30 \dots 80 \text{ km}^2/\text{s}$

Coriolis effect:  $\alpha \approx 1 \dots 3 \text{ m/s}$



long-term





- Polar fields reversed and built-up by surface transport of emerged flux  
(flux transport models by Wang & Sheeley + many others)
- Strength of a cycle correlates with the amplitude of the polar fields in the preceding minimum  
(precursor methods for cycle prediction)
- Only flux connected to the surface provides a source for net toroidal flux in a hemisphere. The winding up of the flux connected to the polar fields by (azimuthal) differential rotation generates sufficient toroidal field to cover the flux emerging in the subsequent cycle  
(Cameron & S., 2015)
- BL models with source fluctuations reproduce long-term statistics of activity levels, including grand minima and maxima  
(Cameron & S. 2017, 2019)
- The observed azimuthal surface field (a proxy for flux emergence) evolves in accordance with the updated BL model  
(Cameron et al. 2018)
- The hemispheric asymmetry of solar activity can be quantitatively understood by a superposition of an excited dipole mode and a damped quadrupole mode of the BL dynamo  
(S. & Cameron, 2018)

- What is the spatial structure and time dependence of the meridional flow?
- Which are the characteristics of deep large-scale convection?
- How is magnetic flux distributed in the convection zone?
- How is flux emergence connected to the structure and distribution of the magnetic field?



**Helioseismology**, surface observations, comprehensive simulations

- How can transport of magnetic flux reliably and quantitatively be described in terms of „turbulent diffusion“, „turbulent/convective pumping“, ... ?
- How important are small-scale induction processes within the convection zone (Parker loop,  $\alpha$ -effect, ...) in comparison to the large-scale Babcock-Leighton mechanism (active region tilt)?

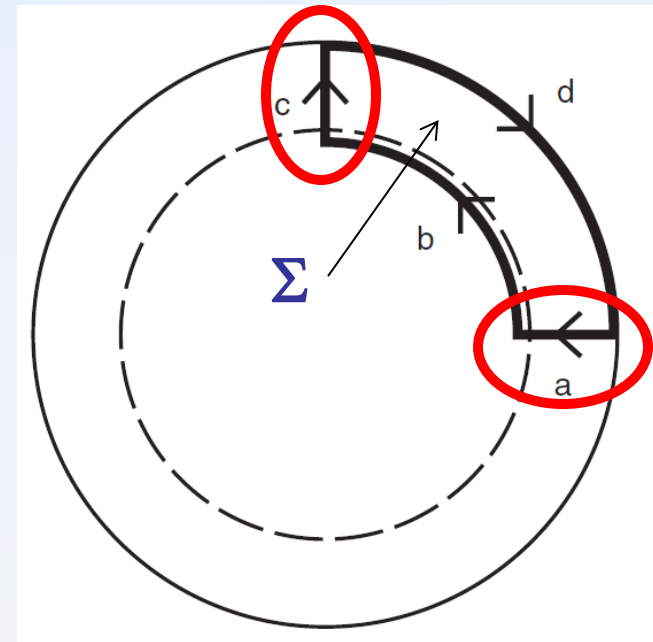


**Comprehensive simulations**, surface observations

Generally we have

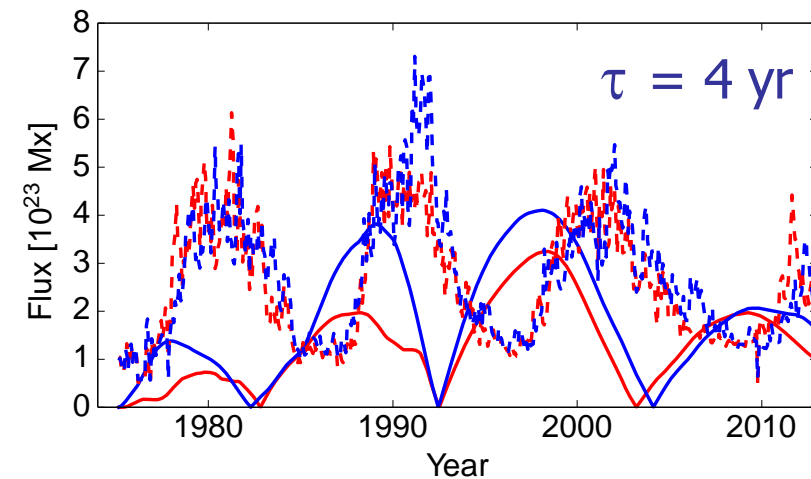
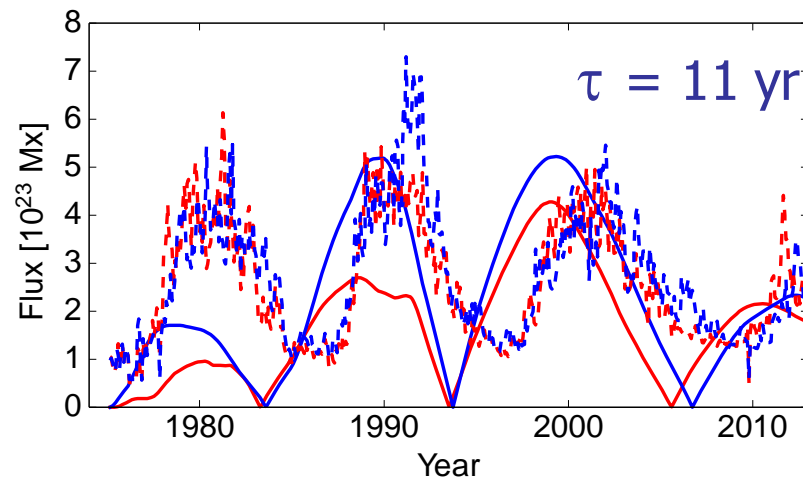
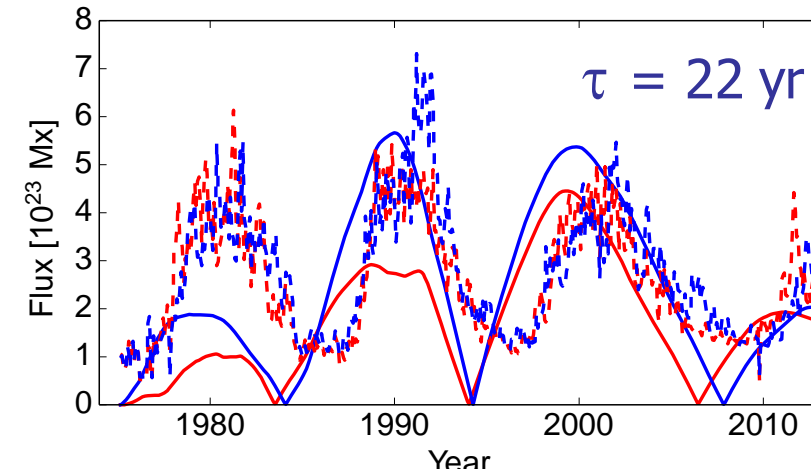
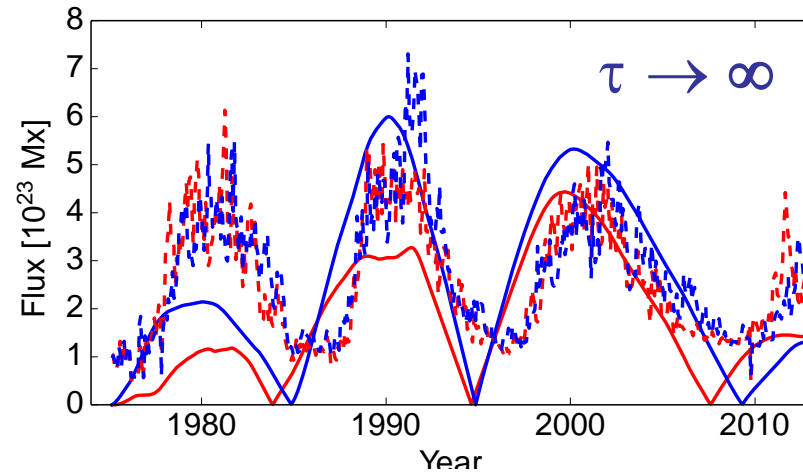
$$\frac{d\Phi_{\text{tor}}^N}{dt} = \int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B} - \eta_t \nabla \times \mathbf{B}) \cdot d\mathbf{l}$$

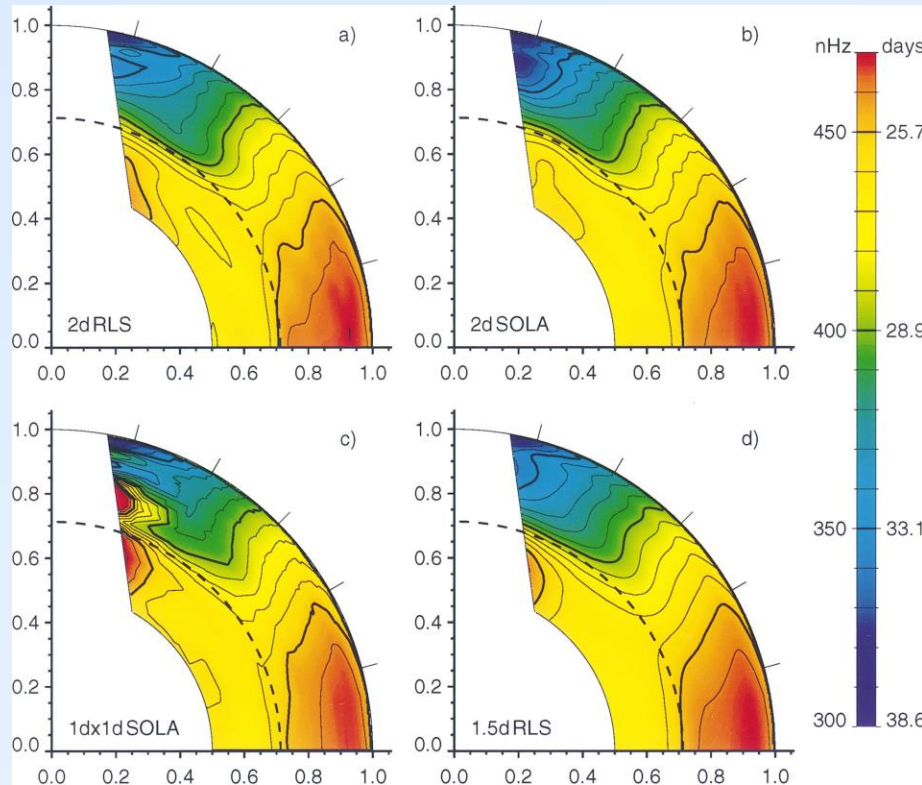
„Turbulent“ diffusion (flux loss at the axis and random-walk transport over the equator) is crudely approximated by an exponential decay term:



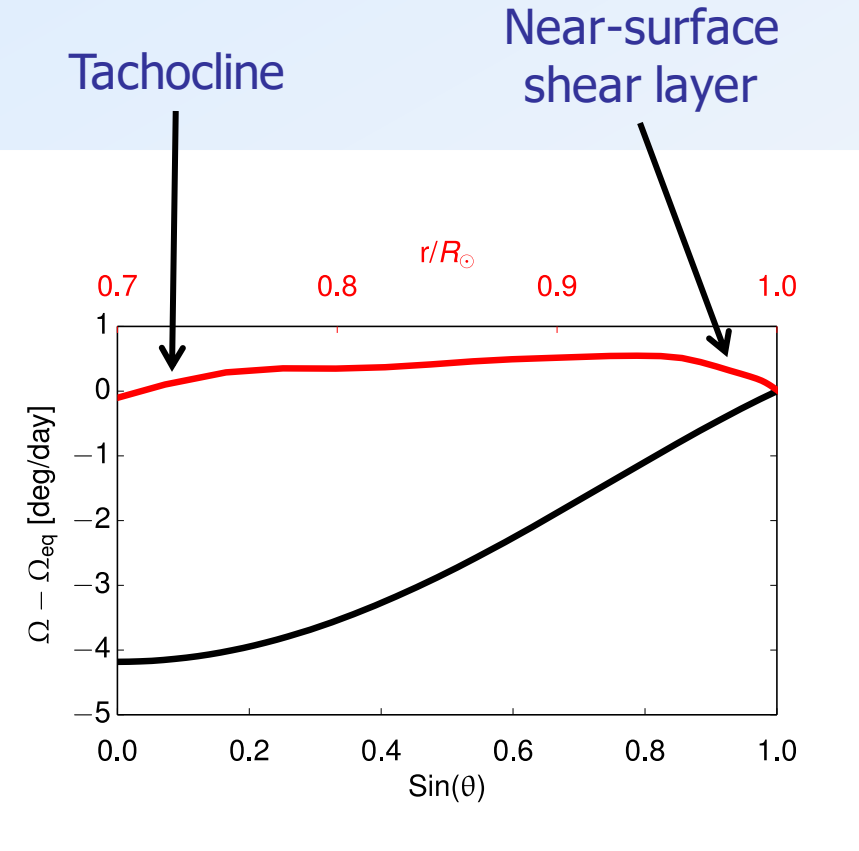
$$\frac{d\Phi_{\text{tor}}^N}{dt} = \int_0^1 (\Omega - \Omega_{\text{eq}}) B_r R_{\odot}^2 d(\cos\theta) - \frac{\Phi_{\text{tor}}^N}{\tau}$$

# Effect of the decay term



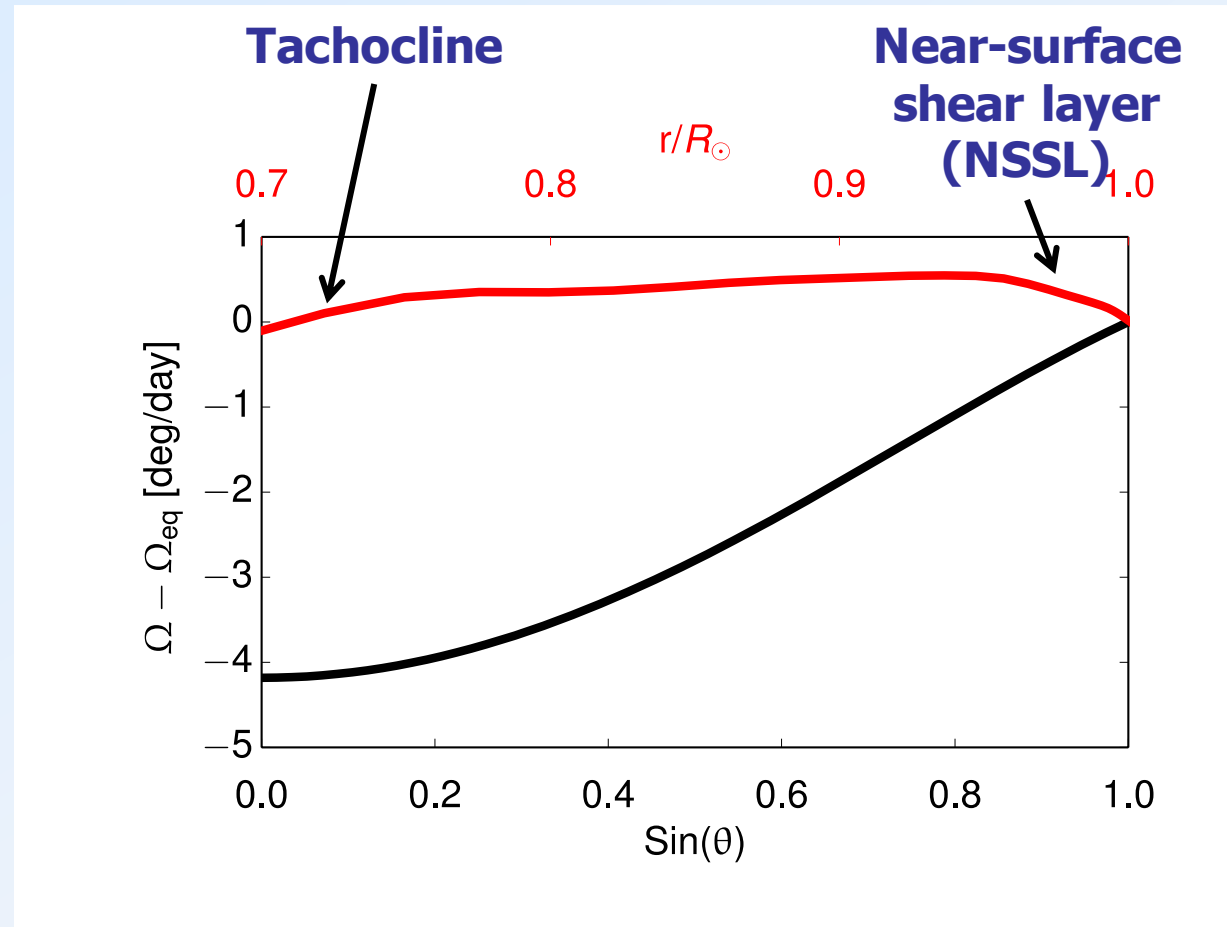


Schou et al. (1998)

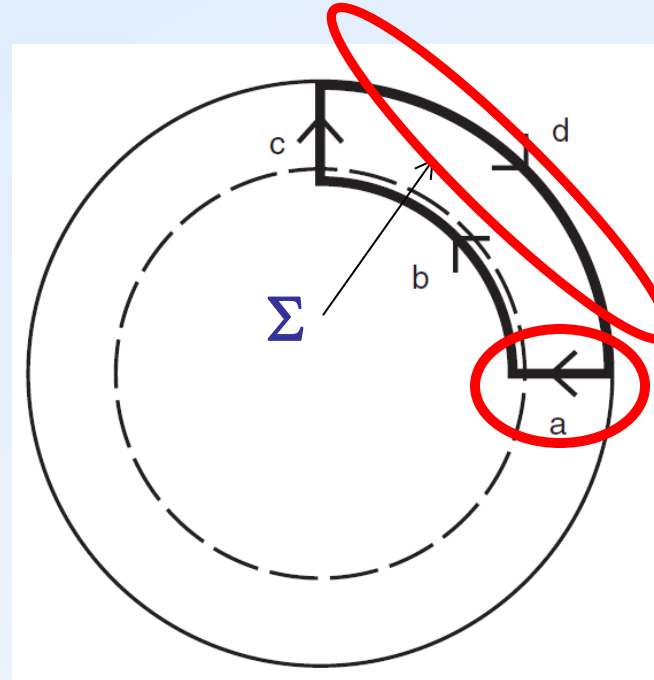


The contribution to  $\Phi_{\text{tor}}$  by radial diff. rotation is a few % of that of latitudinal diff. rotation.





# Compare contributions from parts **a** und **d**



$$\frac{d\Phi_{\text{tor}}^N}{dt} = \int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B}) \cdot d\mathbf{l} = - \int_{r_0}^{R_{\odot}} \underbrace{U_{\phi} B_{\theta}}_{\mathbf{a}} dr + \int_{\pi/2}^0 \underbrace{U_{\phi} B_r R_{\odot}}_{\mathbf{d}} d\theta,$$

**Part a:** Assume  $5 \times 10^{22}$  Mx poloidal flux threading the NSSL

$$\left| \frac{d\Phi_{\text{tor,NSSL}}^N}{dt} \right| \approx 0.5(\Delta U_{\phi}) B_{\theta} \Delta r \approx 0.5(\Delta U_{\phi}) \Phi_P / 2\pi R_{\odot} \approx 1.3 \cdot 10^{22} \text{ Mx yr}^{-1}$$

$$\Delta U_{\phi} \approx 7.5 \cdot 10^3 \text{ cm s}^{-1}$$

**Part d:** Assume  $5 \times 10^{22}$  Mx poloidal flux through 30 deg polar cap

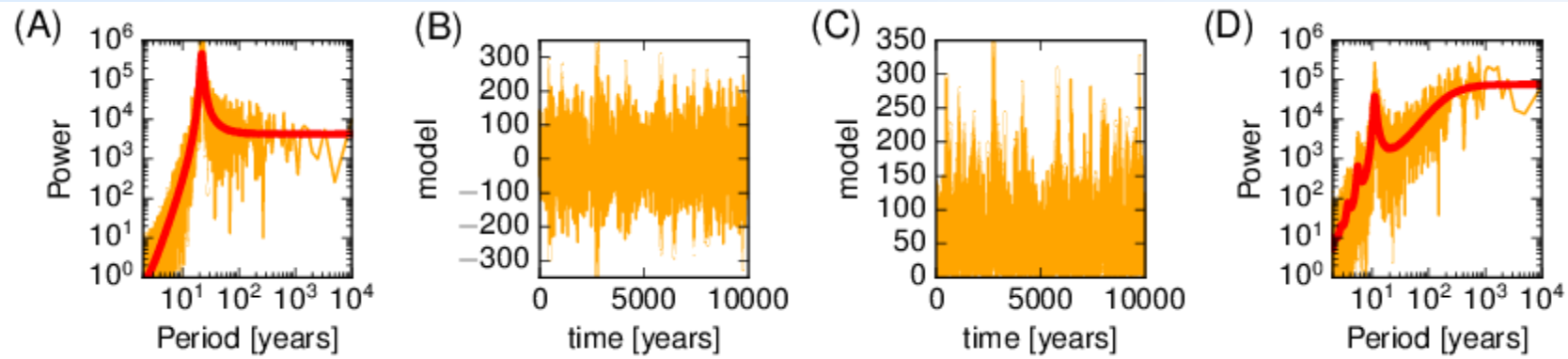
$$\left| \frac{d\Phi_{\text{tor,surf}}^N}{dt} \right| \approx (\Delta V) B_r R_{\odot} \Delta(\cos \theta) \approx (\Delta V) \Phi_P / 2\pi R_{\odot} \approx 1.8 \cdot 10^{23} \text{ Mx yr}^{-1}$$

$$\Delta V = |\Omega - \Omega_{\text{eq}}| R_{\odot} \approx 5 \cdot 10^4 \text{ cm s}^{-1}$$

$$\left| \frac{d\Phi_{\text{tor,NSSL}}^N}{dt} \right| / \left| \frac{d\Phi_{\text{tor,surf}}^N}{dt} \right| \approx 0.07,$$

$$\begin{aligned} \frac{\partial B}{\partial t} = & -\Omega(\lambda) \frac{\partial B}{\partial \phi} - \frac{1}{R_{\odot} \cos \lambda} \frac{\partial}{\partial \lambda} [v(\lambda) B \cos \lambda] \\ & + \eta_H \left[ \frac{1}{R_{\odot}^2 \cos \lambda} \frac{\partial}{\partial \lambda} \left( \cos \lambda \frac{\partial B}{\partial \lambda} \right) + \frac{1}{R_{\odot}^2 \cos^2 \lambda} \frac{\partial^2 B}{\partial \phi^2} \right] \\ & + D(\eta_r) + S(\lambda, \phi, t), \end{aligned} \quad (1)$$

... were rather successful in reproducing the observed (or reconstructed) evolution of polar fields in cycles 15-22, but...

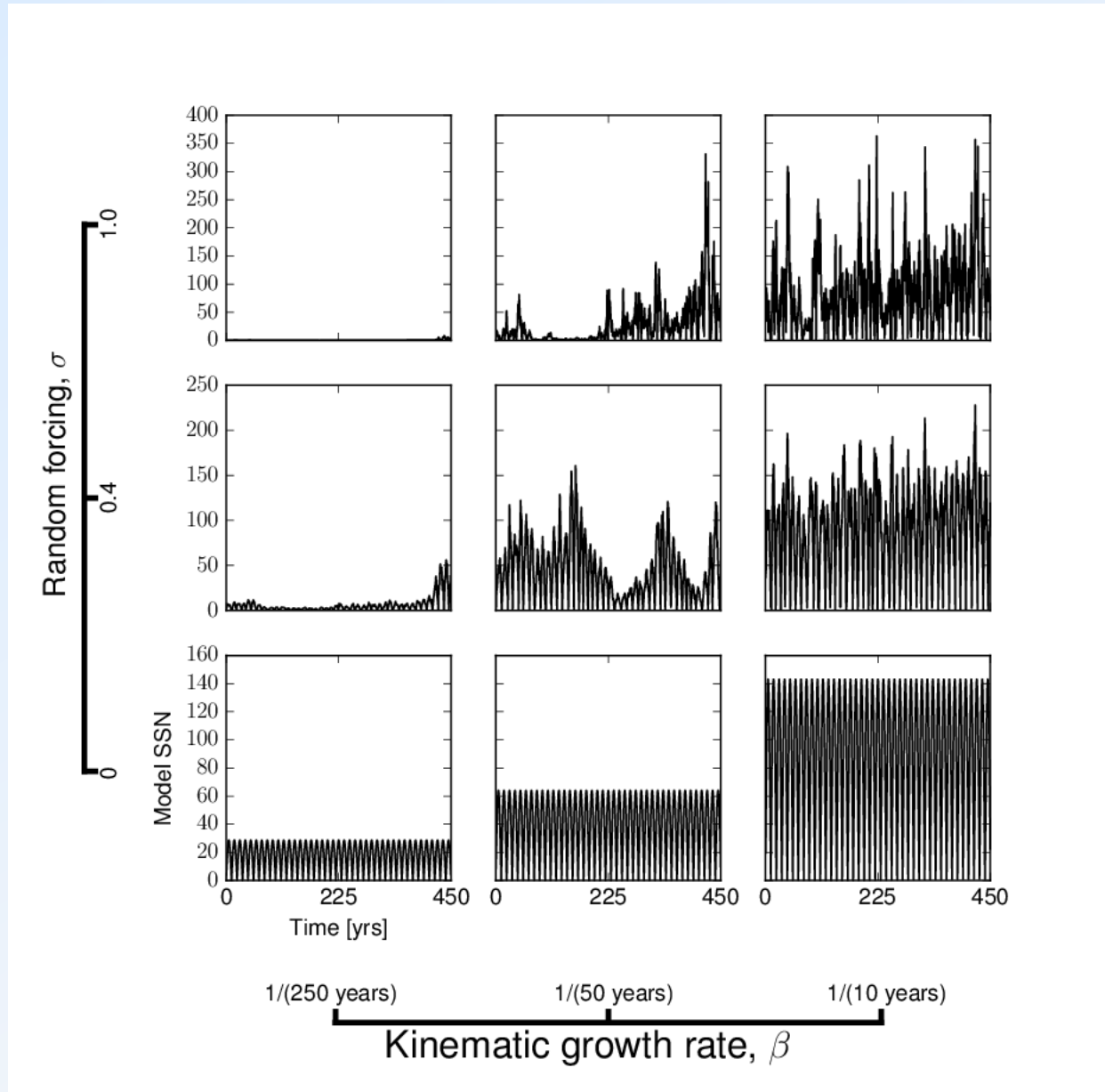


signed quantity

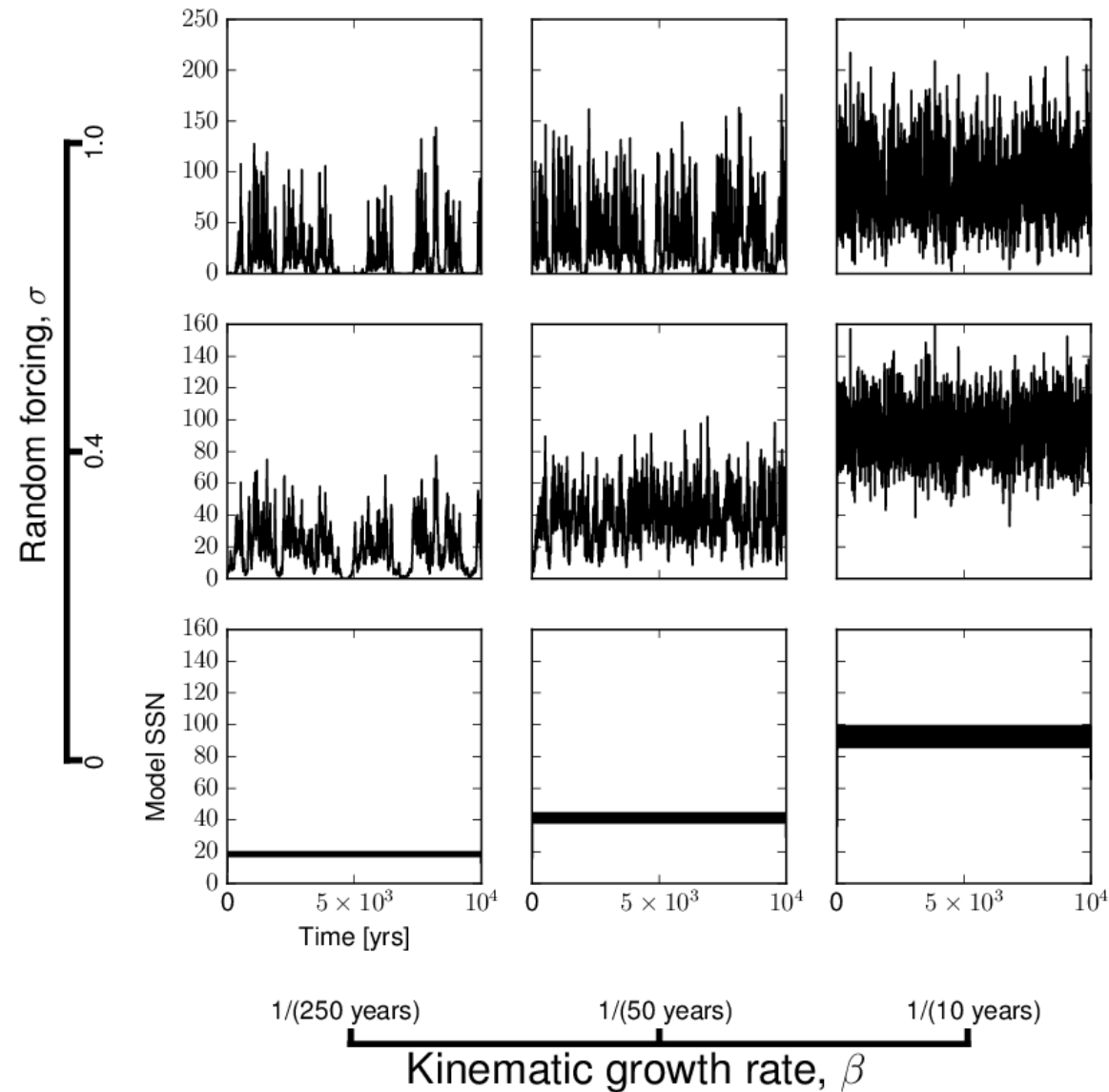
unsigned quantity

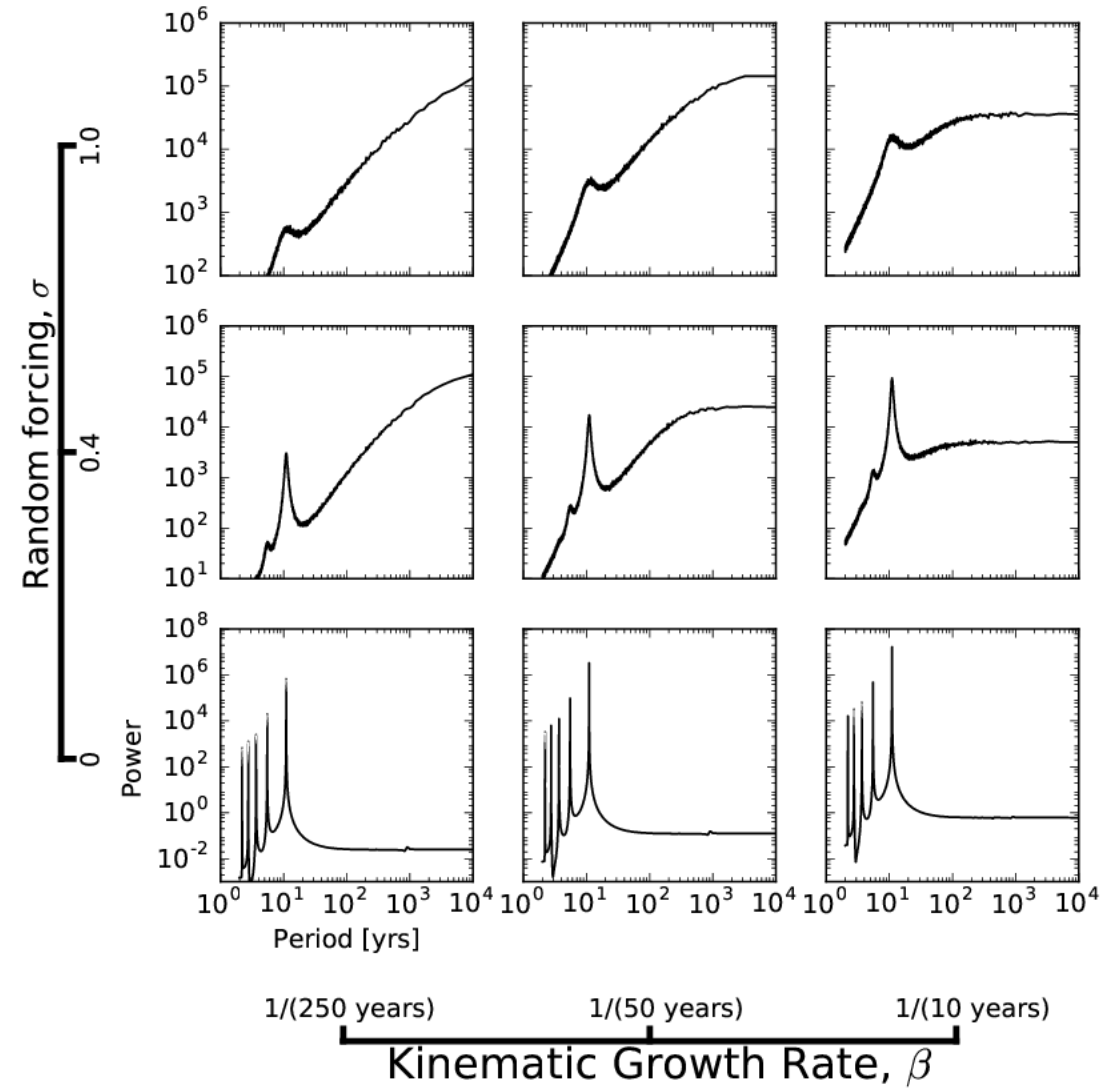


# Examples (450 yrs) : normal form model



# Examples (10 kyrs) : normal form model





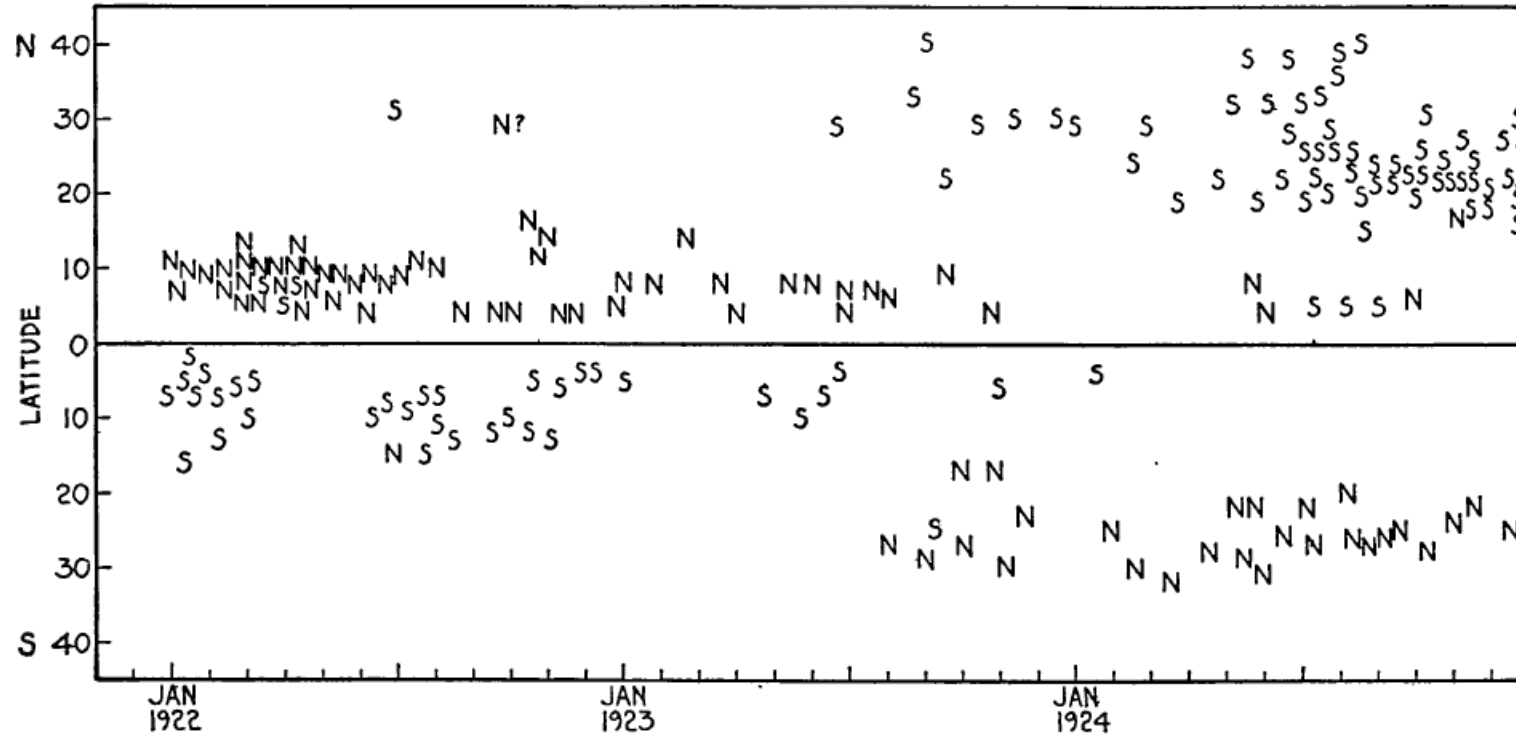


FIG. 16.—Heliocentric latitudes and magnetic polarities of sun-spot groups observed at Mount Wilson from January 1, 1922, to January 1, 1925. *N* (north-seeking) or *S* (south-seeking) represents the polarity of the preceding spot of each group.

Hale & Nicholson (1925)

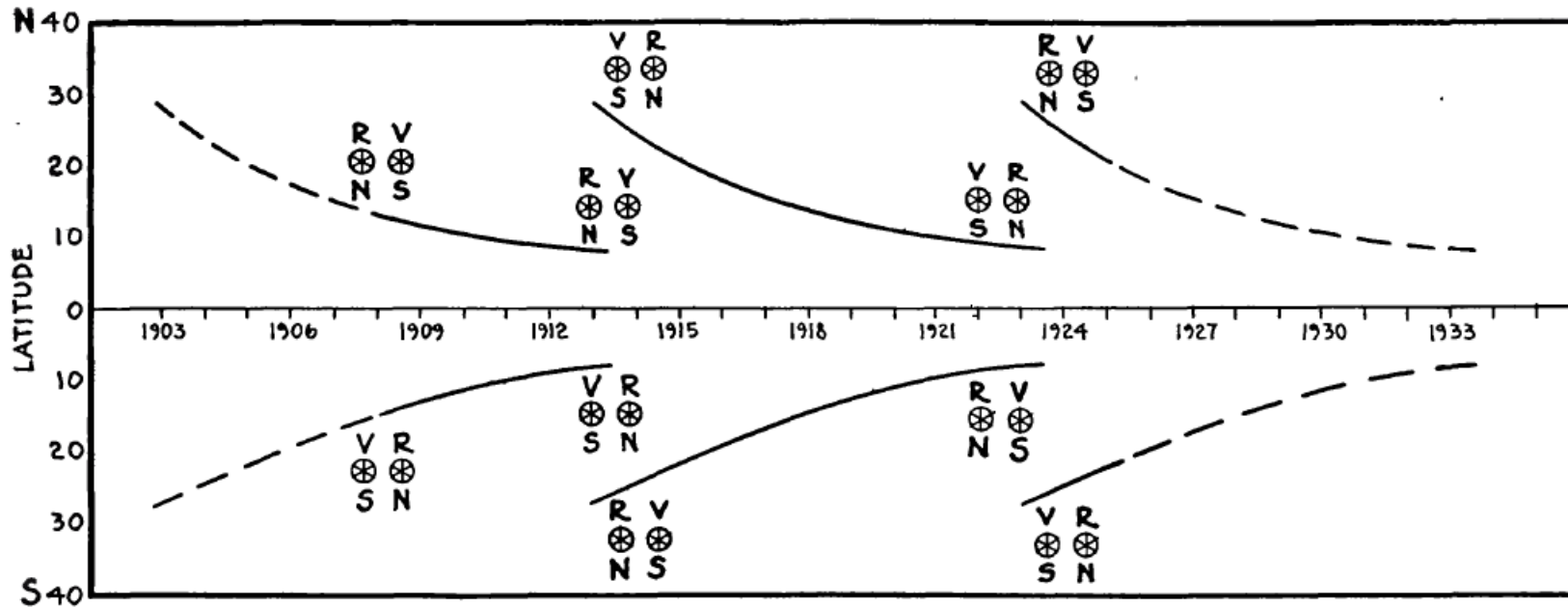


FIG. 18.—The law of sun-spot polarity. The curves represent the approximate variation in mean latitude and the corresponding magnetic polarities of spot groups observed at Mount Wilson from June 1908 to January 1925. The preceding spot is shown on the right.



$$\frac{d\Phi_{\text{tor}}^N}{dt} = \int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B} - \eta_t \nabla \times \mathbf{B}) \cdot d\mathbf{l}$$

$$\begin{aligned} \int_{\delta\Sigma} (\mathbf{U} \times \mathbf{B}) \cdot d\mathbf{l} &= \int_0^{\pi/2} U_\phi B_r R_\odot d\theta \\ &= \int_0^1 (\Omega - \Omega_{\text{eq}}) B_r R_\odot^2 d(\cos\theta) \end{aligned}$$

An analogous expression is valid for the southern hemisphere.

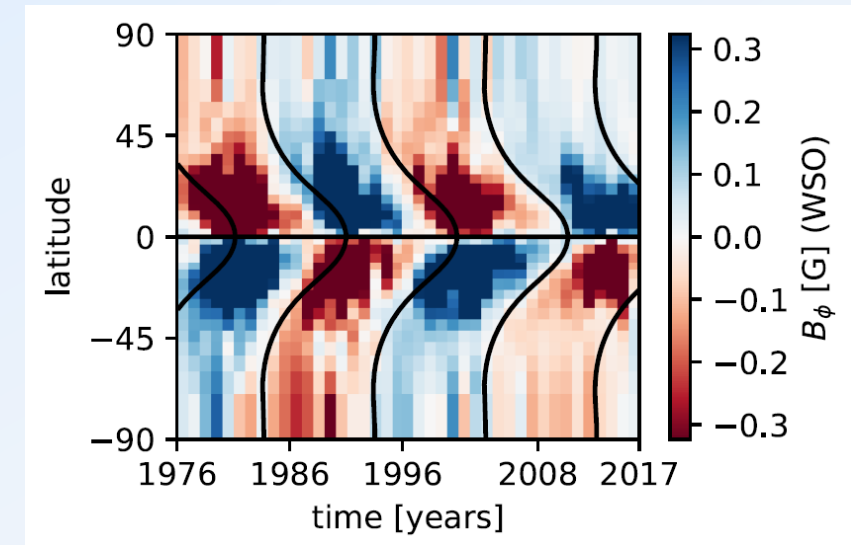
**Result: the amount of net toroidal flux is determined by the surface distribution of emerged magnetic flux and the latitudinal differential rotation.**

Comparison between SFT and 2D flux transport dynamo  
(Cameron et al., 2012)  
→ radial pumping required!

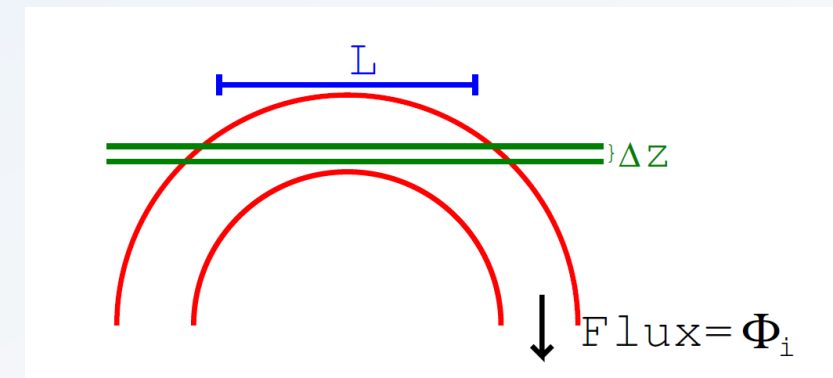
Results:

- solar-like solutions with reasonable parameter values
- consistent with observed toroidal surface field (ref)
- frequencies of N-S asymmetry (ref)

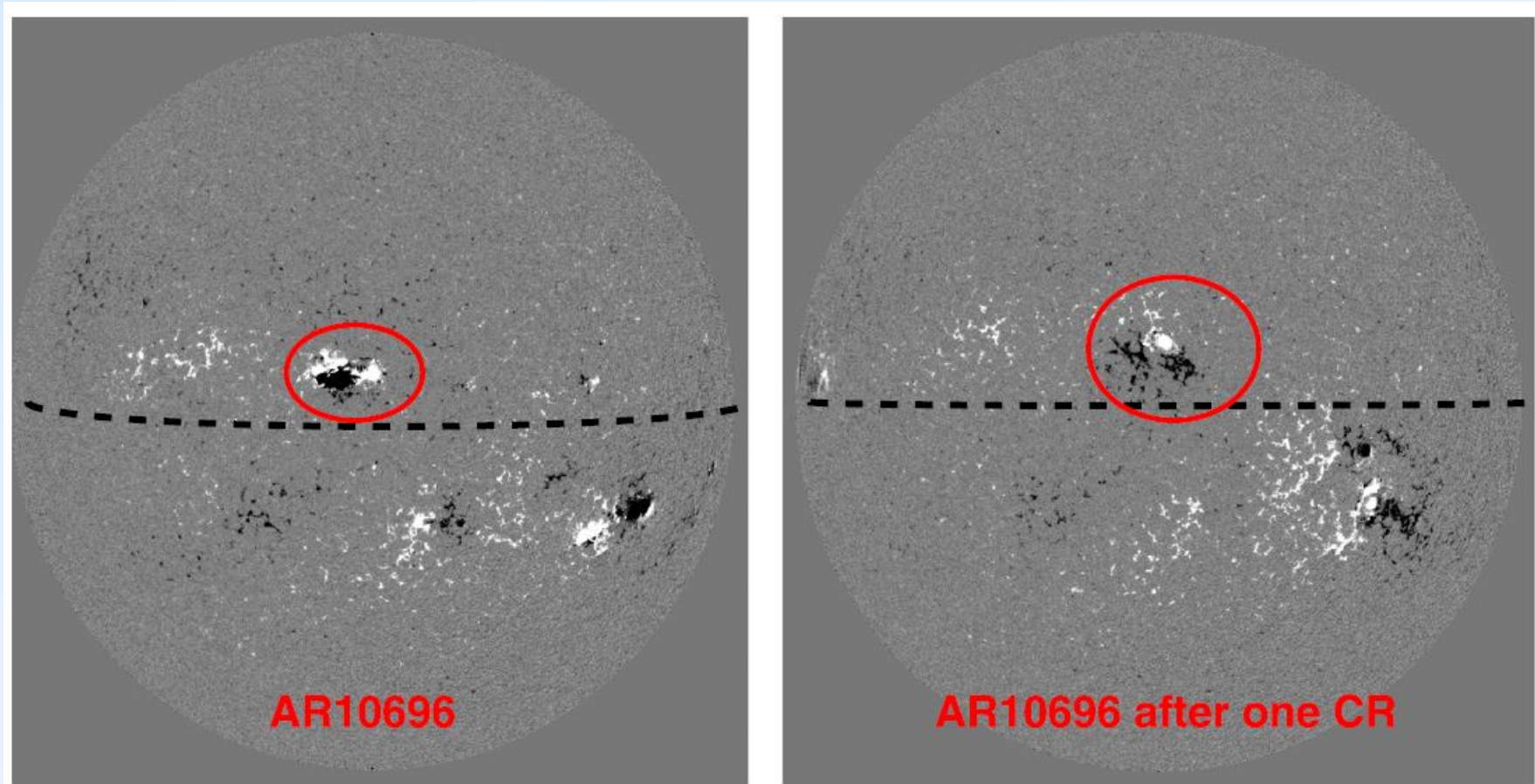
$B_\phi$  at solar surface...



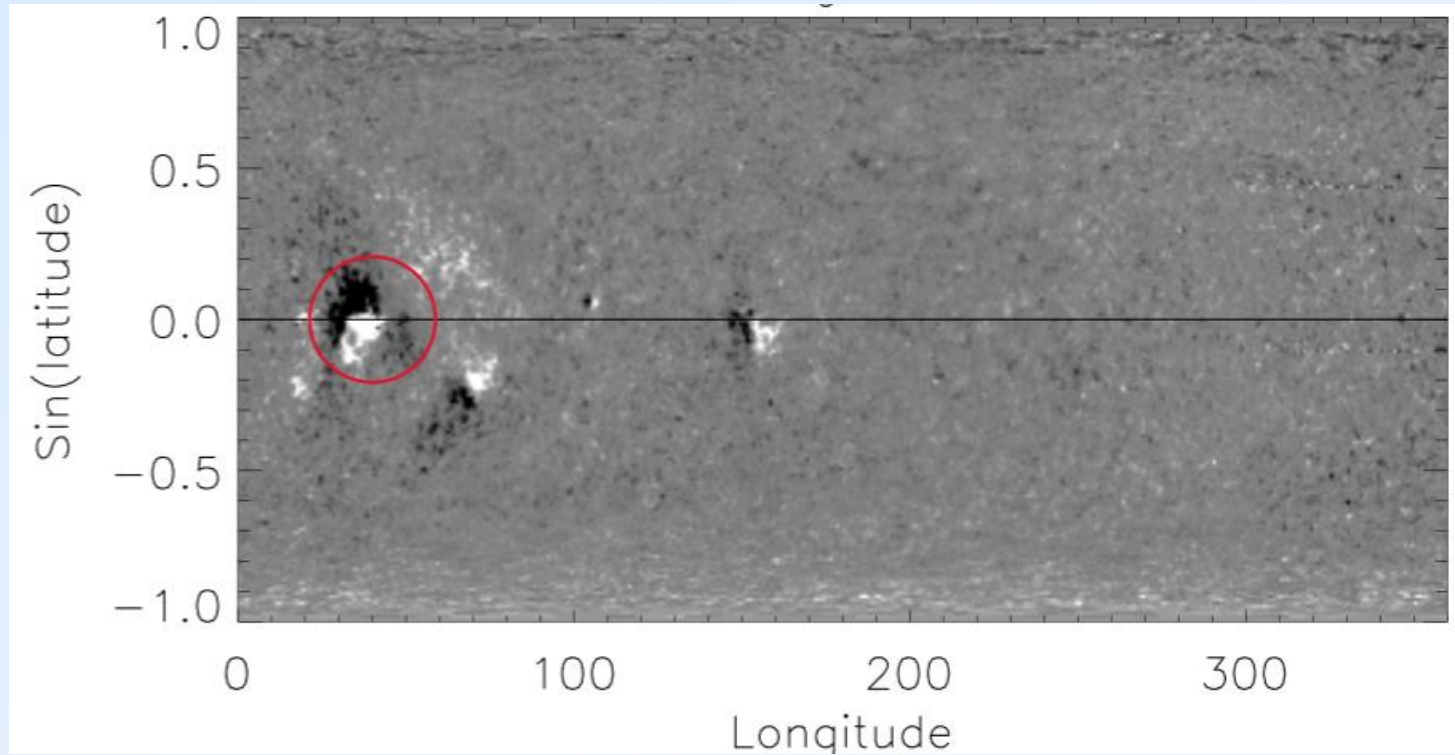
...resulting from flux emergence



Cameron et al. (2018)



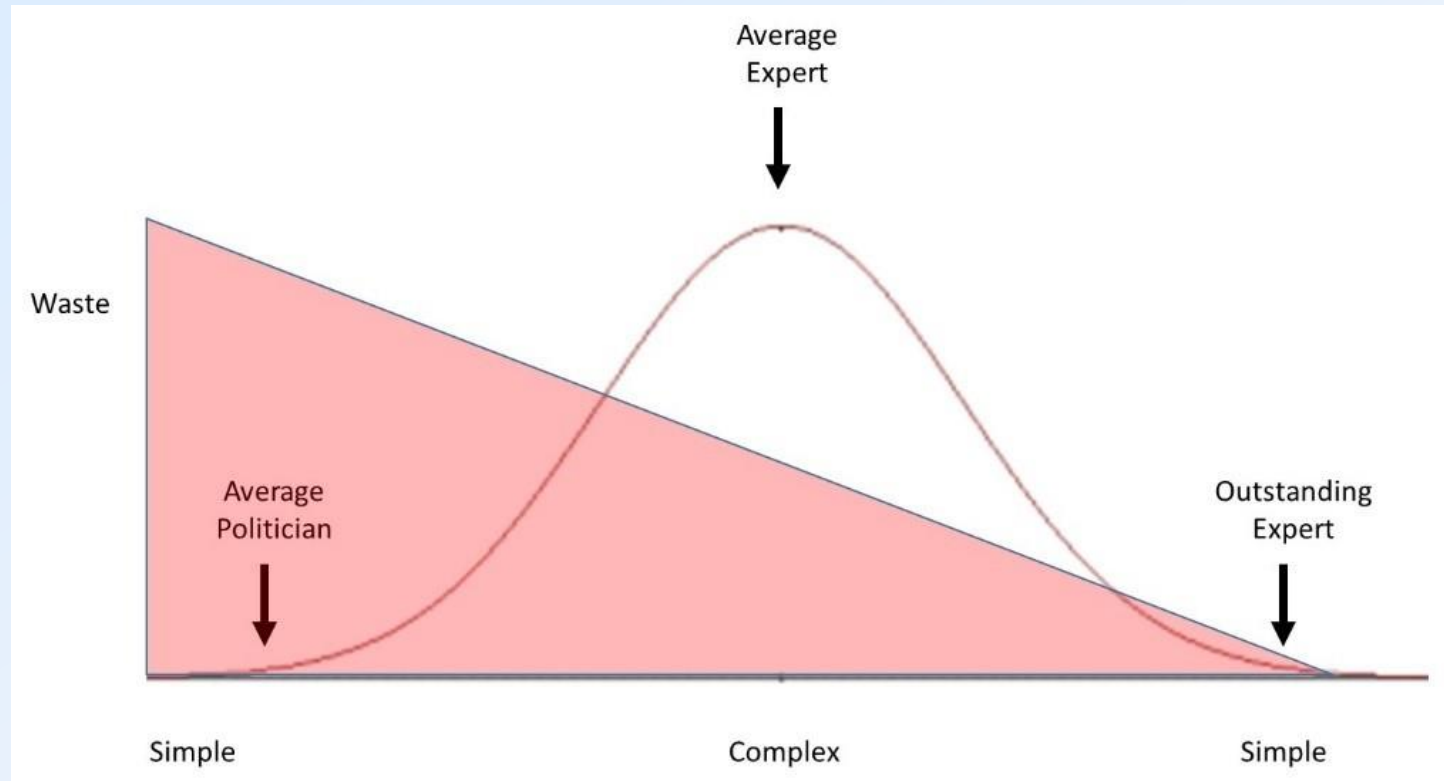
Jiang et al. (2015)



Cameron et al.  
(2012)

Kitt Peak synoptic magnetogram for CR 1772 (February 1986)

Single bipolar regions emerging near or across the equator  
can have a significant impact on the built-up of the polar flux.



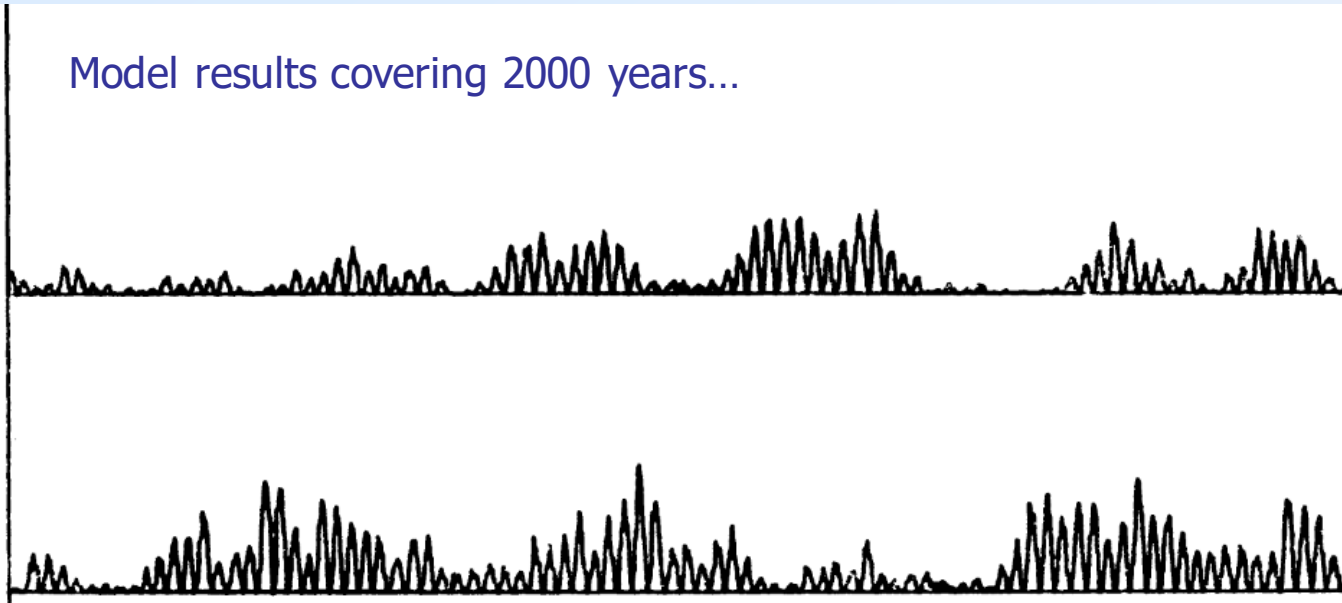


Barnes et al. (1980):

Auto-regressive moving-average (ARMA) model (iterative map)  
→ white noise filtered around  $1/22$  cyc/year with bandwidth 0.002 cyc/year

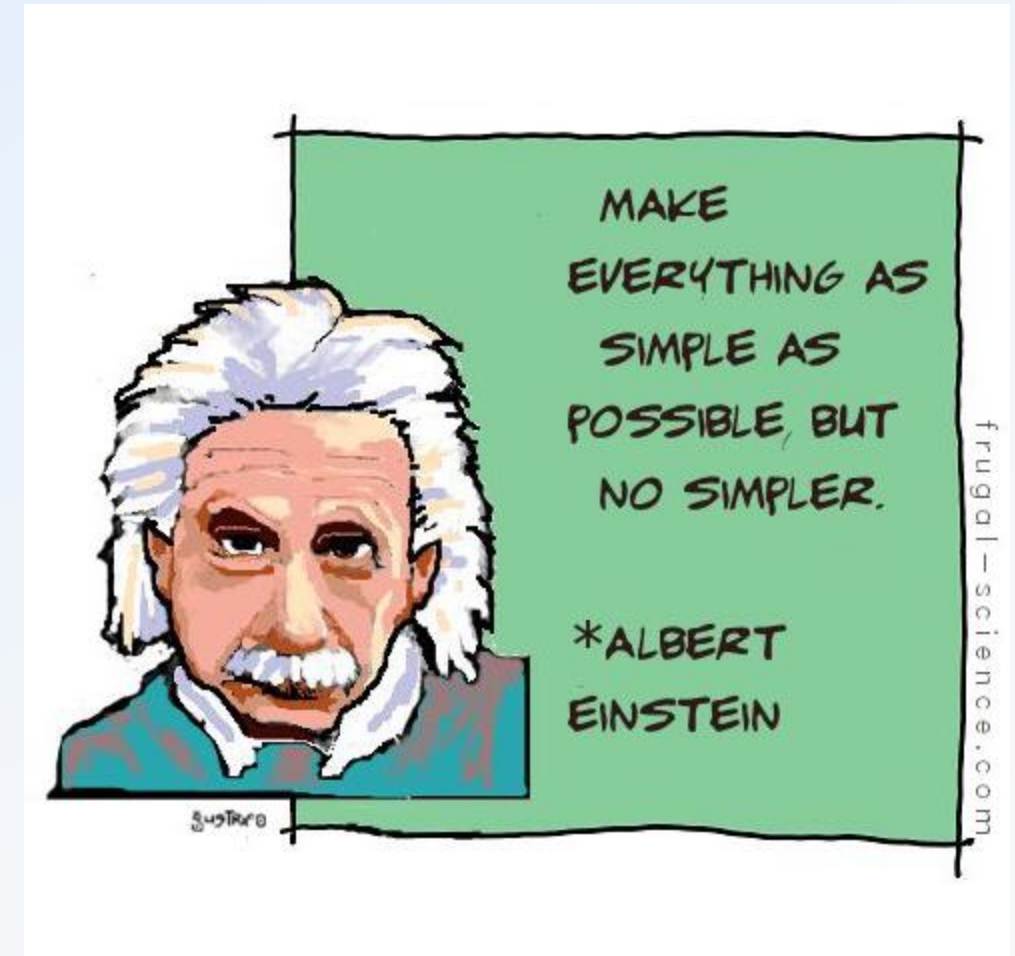
Long-term evolution:

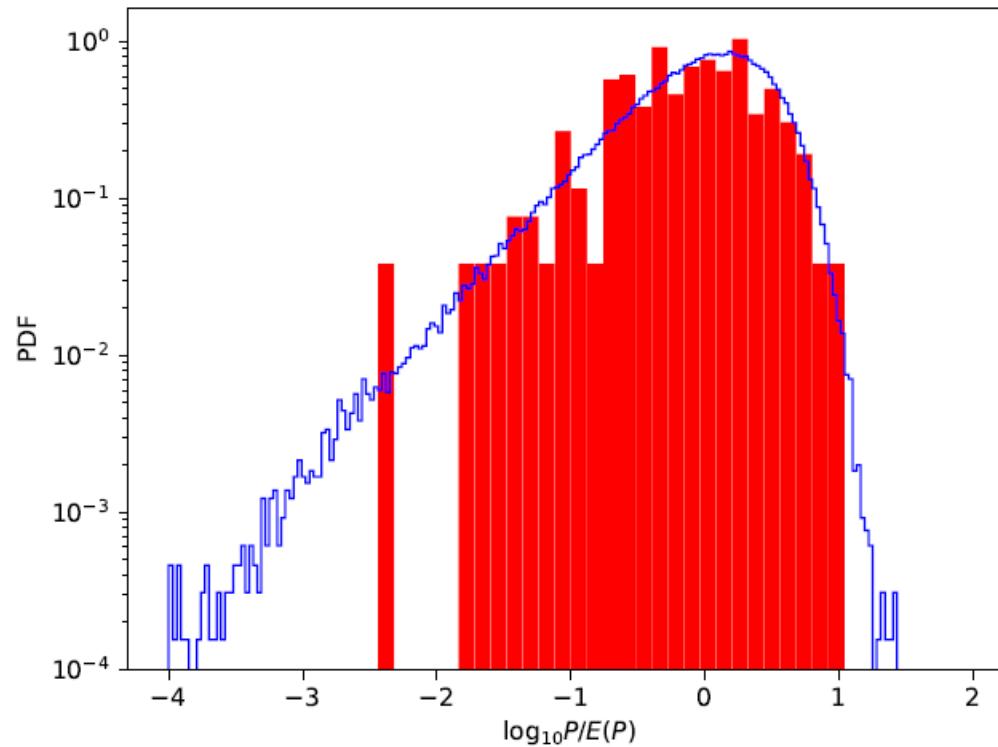
Model results covering 2000 years...



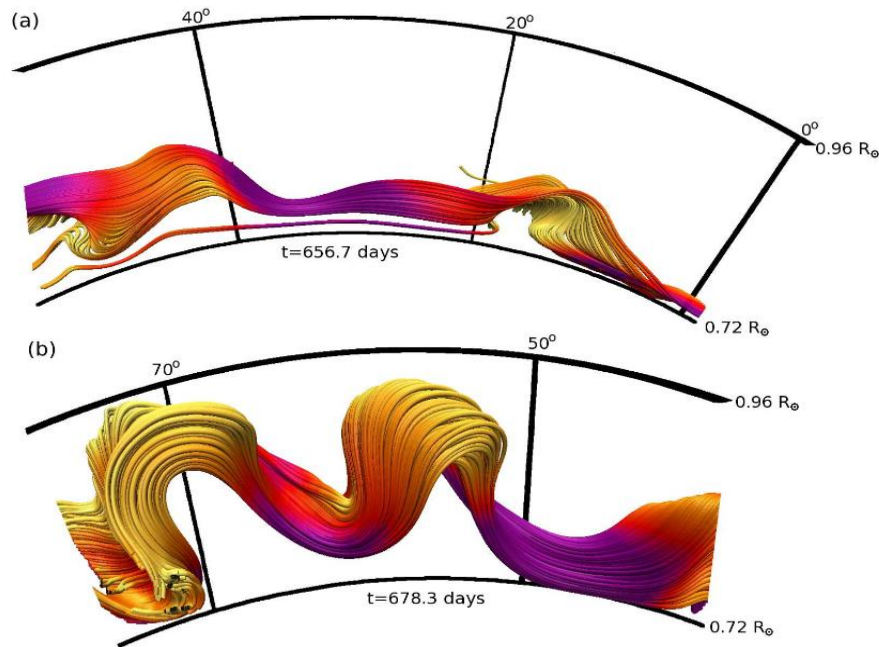
Is there anything we could learn from such a 'model'?

Perhaps yes: **Randomness could be important  
for the variability of the solar cycle**

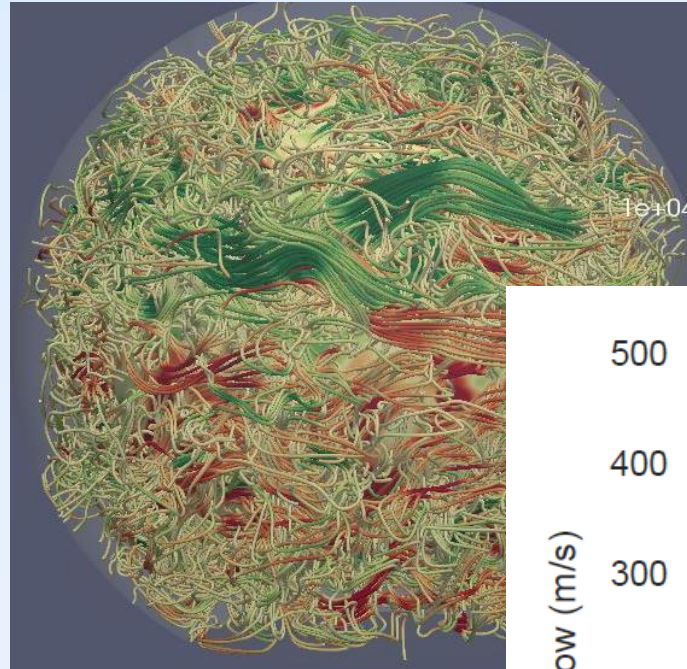




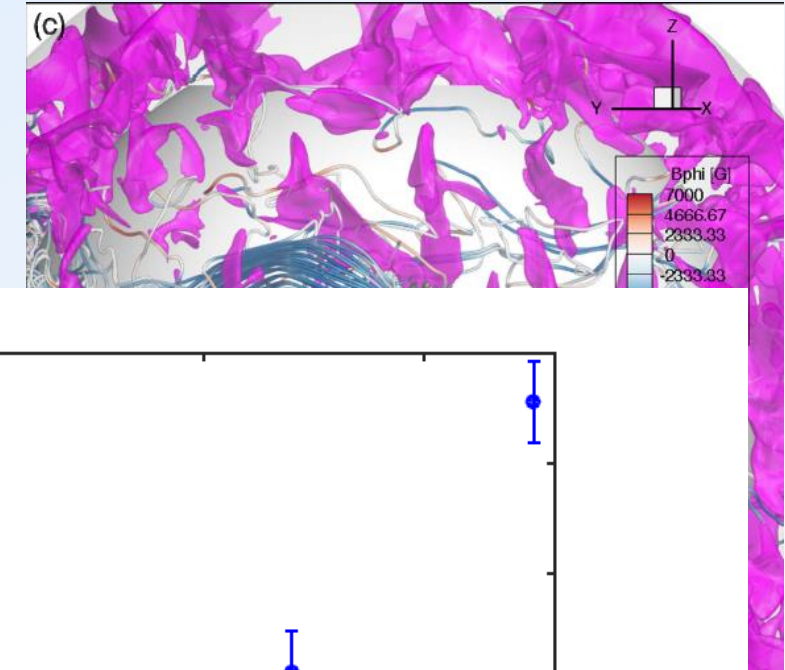
**Fig. 3.** Probability distribution function of the ratio of the power in a frequency divided by the median power of the NNF model realizations at that frequency. The blue curve is the expectation value based on 1000 realizations of the model, the red bars correspond to the reconstruction from cosmogenic isotopes.



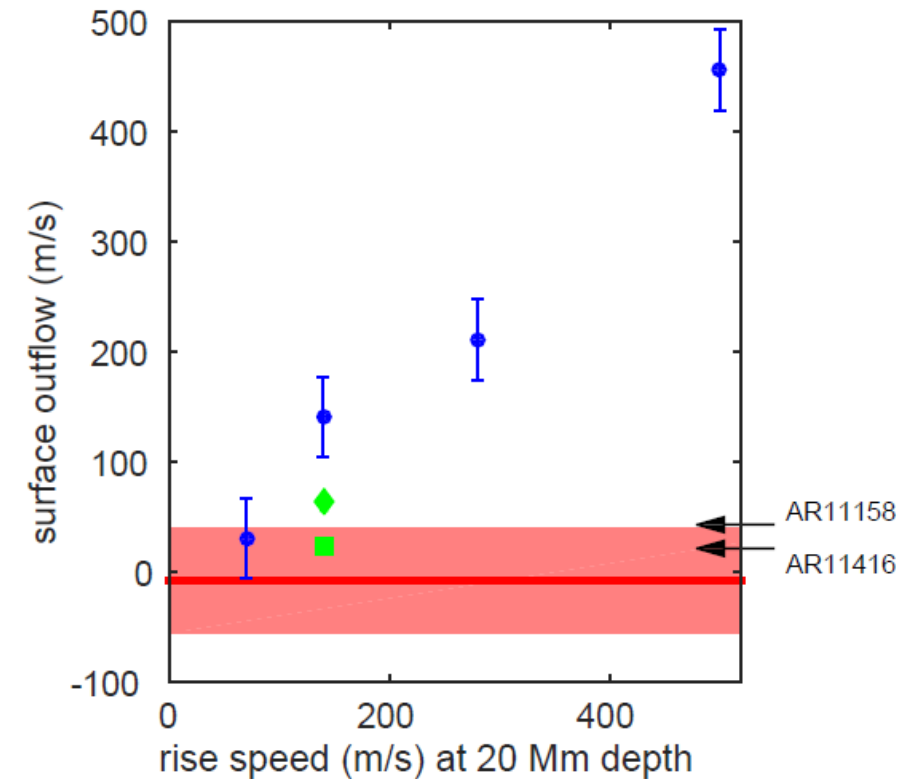
Nelson & Miesch (2014)



Fang & Fan (2014)

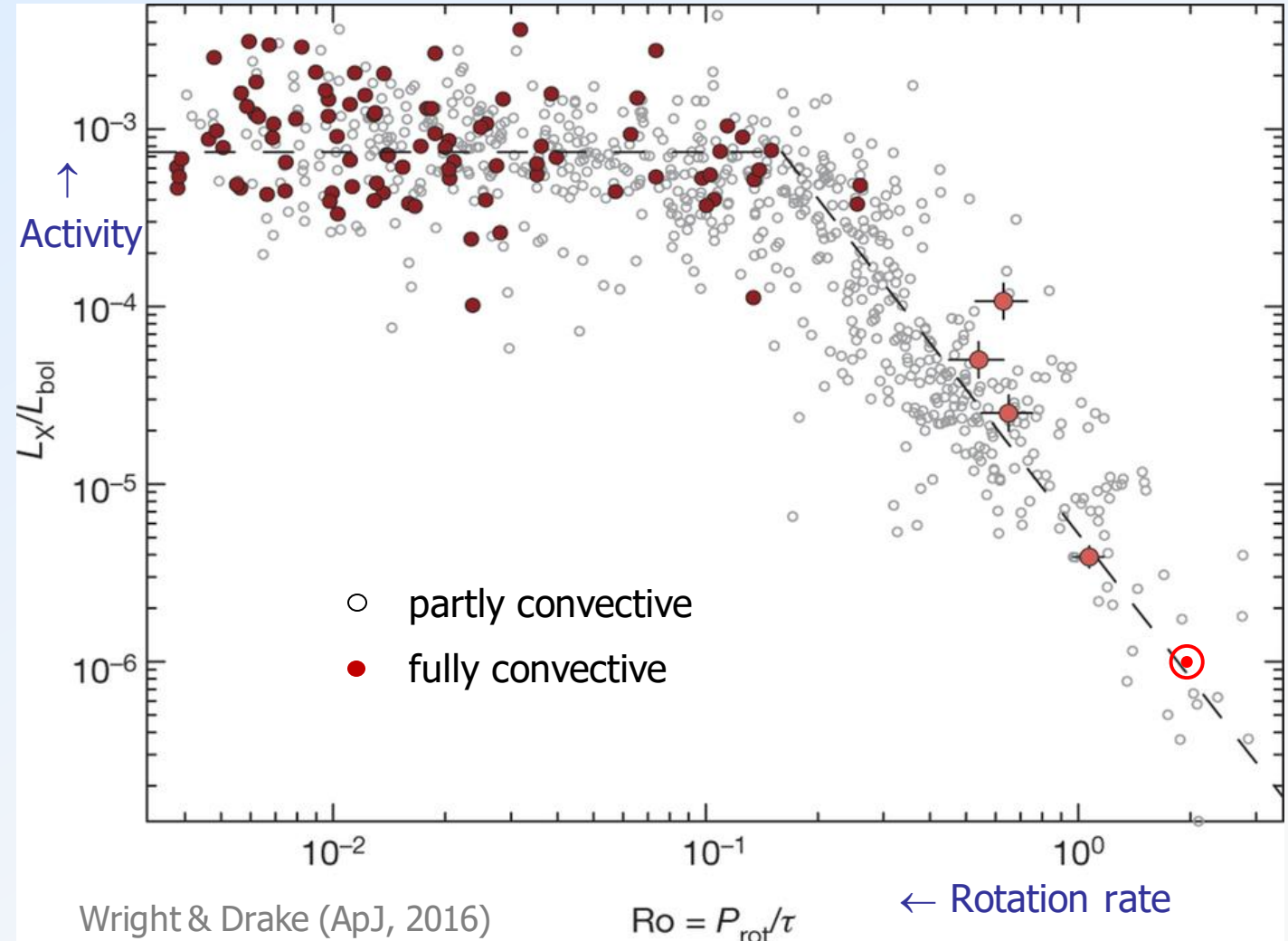


**Birch et al. (2016):** rise speed of flux loops consistent with convective velocities down to 20 Mm depth

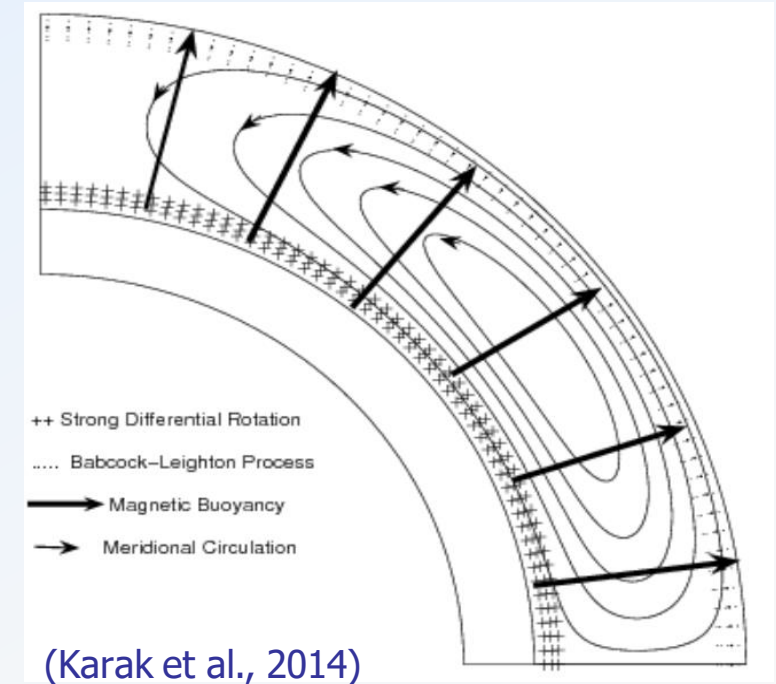




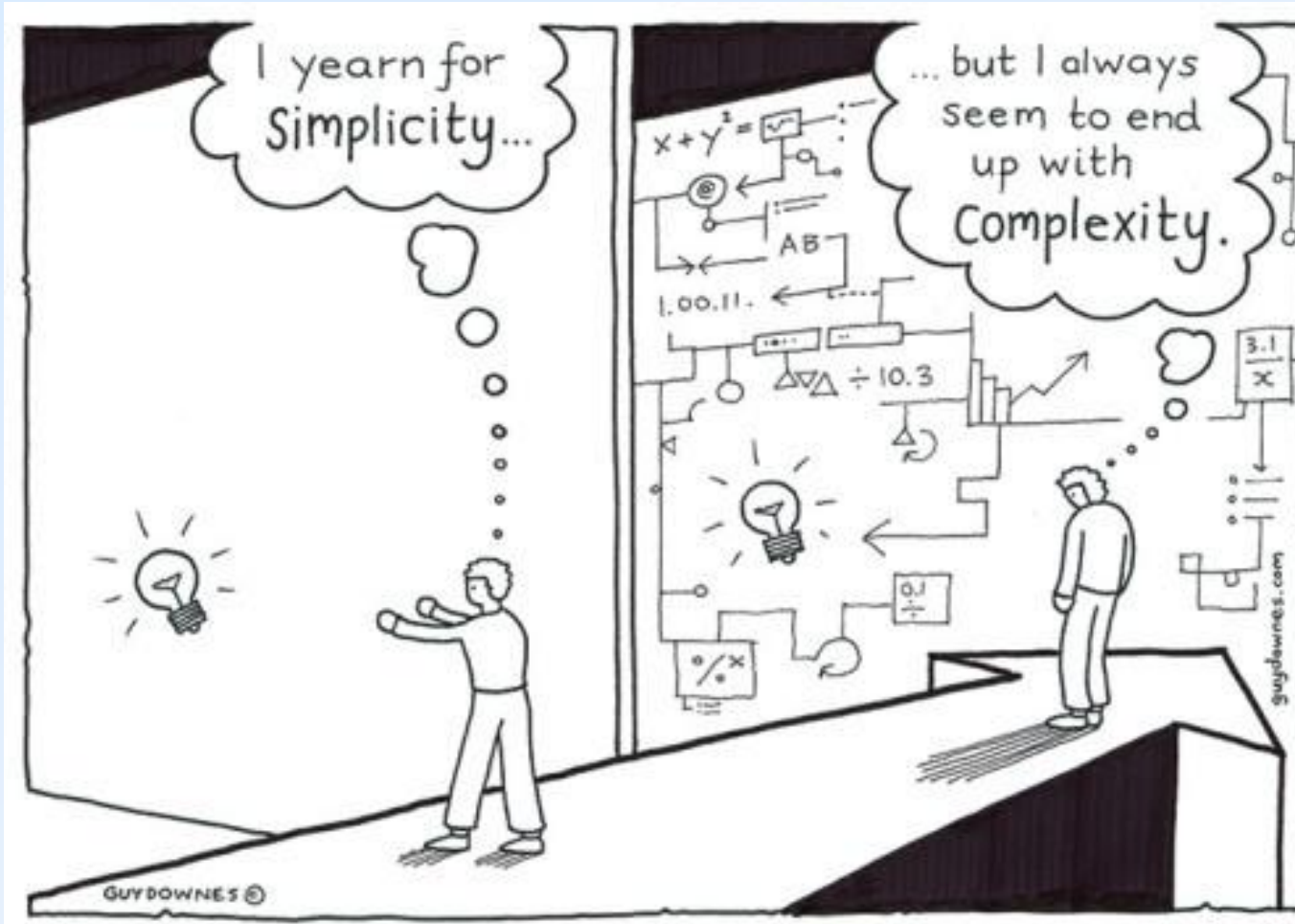
- Absence of a significant cycle variability of tachocline rotation  
( $E_{\text{kin}} \approx E_{\text{mag}}$  for  $B \sim 10^5$  G; Rempel, 2006)
- Maintenance of a magnetic tachocline?  
(Spruit, 2010)
- Toroidal flux generated by latitudinal differential rotation from flux of the polar field is sufficient to supply the emerged flux  
(Cameron & S., 2015)
- Strong toroidal field bands in the bulk of the convection zone and emergence of loops are exhibited by 3D MHD simulations  
(e.g., Nelson & Miesch, 2014; Fan & Fang, 2014)
- Activity cycles shown by ultracool, fully convective dwarfs ( $\geq M7$ )  
(Route, 2016)
- Partly and fully convective stars follow the same activity-rotation law  
(Wright & Drake, 2016)



- 3D MHD simulations show cyclic dynamo action within the convection zone (without tachocline, overshoot layer, etc.)
- Super-equipartition fields and rising flux loops may form within the convection zone
- Maintenance of tachocline differential rotation against magnetic stresses (Rempel, 2006; Spruit, 2010)?
- Existence of a sufficiently extended & subadiabatic 'storage region' (e.g. Hotta, 2017)?
- Fully convective stars fit well in the activity-rotation relations







- How long will we have to wait until we have a reliable 3D-MHD simulation of the solar cycle?
- Do the non-simulators need to sit idle and wait until then?
- Or can we still learn something useful in the meantime through **observations**, theory & simple models?